KENDALL COUNTY FOREST PRESERVE DISTRICT

MEETING AGENDA

TUESDAY, JUNE 1, 2021

6:00 P.M.

KENDALL COUNTY OFFICE BUILDING - ROOMS 209 & 210

| I | Call to | Order |
|---|---------|-------|

- II. Pledge of Allegiance
- III. Invocation
- IV. Roll Call
- V. Approval of Agenda
- VI. Public Comments

*CONSENT AGENDA

- VII. Approval of Minutes
 - Kendall County Forest Preserve District Commission Meeting of May 18, 2021
- VIII. *Approval of Claims in the Amount of \$23,637.58
- IX. *Approval of an Illinois Clean Energy Community Foundation Grant Amendment to the District's Land Acquisition Grant Agreement #8133 Providing for Disbursement of the Full \$10,000.00 Portion of the Grant for Natural Area Restoration Activities Following Completion of Land Acquisition OLD BUSINESS
- X. *ORDINANCE #06-21-001: Amending the Combined Annual Budget and Appropriations Ordinance #02-21-001 Setting Forth the Annual Budget of the Kendall County Forest Preserve District, Kendall County, Illinois for the Fiscal Year Beginning December 1, 2020 and Ending November 30, 2021 for an Amount Not-to-Exceed \$9,488,295

NEW BUSINESS

- XI. *ORDINANCE #06-21-002: Consideration of the Adoption of Ordinance #06-21-002 Authorizing the Issuance of Not to Exceed \$1,300,000 General Obligation Limited Tax Bonds, Series 2021, of the Kendall County Forest Preserve District
- XII. *Approval of Grant Agreement No. PARC 21-114 between the State of Illinois Department of Natural Resources and the Kendall County Forest Preserve District Awarding \$828,200.00 of Grant Funding and a District Required Match of \$279,695.10 for Completion of the Pickerill-Pigott Estate House Public Access Improvements Project
- XIII. *Approval of a Capital Fund 1913 Reserve Policy to Retain the Full Balance of the Anticipated \$828,200.00 Reimbursed Grant Funds from the State of Illinois Department of Natural Resources Grant Agreement No. PARC 21-114 as a Capital Reserve to be Expended, with Commission Pre-Approval, on Upfront and Fully Reimbursable Capital Project Costs Only
- *Approval of an AIA Professional Services Agreement with Kluber Architects + Engineers of Batavia, Illinois for Completion of Schematic Designs, Construction Documents, Bidding, and Construction Administration for Renovation and Public Access Improvements of the Pickerill Estate House at Pickerill-Pigott Forest Preserve for a Cost Not-to-Exceed \$71,830.00 plus Reimbursable Expenses up to \$1,500.00, plus Well and Septic System Design and Permitting in the Amount of \$2,500.00 for a Total Cost Not-to-Exceed \$75,830.00
- XV. *Approval of Proposal 210430.01 from Kluber Architects + Engineers of Batavia, Illinois for Development of Construction Documents, Competitive Bidding, and Construction Administration for the Pickerill Estate House Roof Replacement Project at Pickerill-Pigott Forest Preserve for a Cost Not-to-Exceed \$8,370.00, plus Reimbursable Expenses up to \$450.00
- XVI. Public Comments
- XVII. Executive Session
- XVIII. Other Items of Business
- XIX. Adjournment

KENDALL COUNTY FOREST PRESERVE DISTRICT COMMISSION MEETING MINUTES

MAY 18, 2021

I. Call to Order

President Gilmour called the meeting to order at 10:13 am in the Kendall County Office Building - Second Floor Board Rooms 209 and 210.

II. Pledge of Allegiance

The Pledge of Allegiance was recited at the start of the Kendall County Board meeting.

III. Invocation

Commissioner Gengler offered an invocation at the start of the Kendall County Board meeting.

IV. Roll Call

| | Cesich | X | Gryder | |
|---|------------------|---|------------------|--|
| Х | DeBolt | Х | Kellogg | |
| Χ | Flowers (remote) | Х | Koukol | |
| Χ | Gengler | Х | Rodriguez | |
| Χ | Gilmour | X | Vickers (remote) | |

Roll call: Commissioners DeBolt, Flowers, Gengler, Gryder, Kellogg, Koukol, Rodriguez, Vickers, and Gilmour were all present.

V. Approval of Agenda

Commissioner Gengler made a motion to approve the Commission meeting agenda as amended with Item X "Keeper" removed from the Consent Agenda. Seconded by Commissioner DeBolt.

Motion: Commissioner Gengler

Second: Commissioner DeBolt

Roll call: Approval of Agenda

| Commissioner | Aye | Opposed | Commissioner | Aye | Opposed |
|--------------|-----|---------|--------------|-----|---------|
| Cesich | | | Gryder | Х | |
| DeBolt | Х | | Kellogg | Χ | |
| Flowers | Х | | Koukol | Х | |
| Gengler | Х | | Rodriguez | Х | |
| Gilmour | Х | | Vickers | Χ | |

Motion unanimously approved.

Roll call: Commissioners DeBolt, Flowers, Gengler, Gryder, Kellogg, Koukol, Rodriguez, Vickers, and Gilmour, aye. Opposed, none. Motion unanimously approved.

VI. Public Comment

No public comments were offered from citizens in attendance.

CONSENT AGENDA

VII. Approval of Minutes

- Kendall County Forest Preserve District Commission meeting of May 4, 2021
- Kendall County Forest Preserve District Operations Committee meeting of May 5, 2021
- Kendall County Forest Preserve District Committee of the Whole meeting of April 13, 2021
- VIII. Approval of Claims in the Amount of \$21,731.94
- IX. Acceptance of the Mack & Associates, PC Audit Report for the Kendall County Forest Preserve District for the Fiscal Year Ending November 30, 2020
- X. Approval of the Notification of State Award (NOSA) for the Illinois Department of Natural Resources Parks and Recreational Facilities Construction Grant (PARC#1165-25085) for the Pickerill-Pigott Estate House Public Access Improvements Project in the Amount of \$828,200 including a Required District Match of \$279,695

Commissioner DeBolt made a motion to approve the Consent Agenda. Seconded by Commissioner Gengler.

Motion: Commissioner DeBolt Second: Commissioner Gengler

Roll call: Consent Agenda

| Commissioner | Aye | Opposed | Commissioner | Aye | Opposed |
|--------------|-----|---------|--------------|-----|---------|
| Cesich | | | Gryder | Χ | |
| DeBolt | Х | | Kellogg | Χ | |
| Flowers | Х | | Koukol | Х | |
| Gengler | Χ | | Rodriguez | Х | |
| Gilmour | Χ | | Vickers | Х | |

Motion unanimously approved.

Roll call: Commissioners DeBolt, Flowers, Gengler, Gryder, Kellogg, Koukol, Rodriguez, Vickers, and Gilmour, aye. Opposed, none. Motion unanimously approved.

OLD BUSINESS

No items were presented for consideration.

NEW BUSINESS

DRAFT FOR COMMISSION APPROVAL: 06/01/2021

XI. Approval of a Bill of Sale and Purchase of the Lesson Horse "Keeper" from Kasey Wilson of Ottawa, Illinois for an Amount Not-to-Exceed \$1,500.00 following Completion of a 30-day Trial Period

Commissioner Rodriguez made a motion to approve a Bill of Sale and purchase of the lesson horse "Keeper" from Kasey Wilson of Ottawa, Illinois for an amount not-to-exceed \$1,500.00 following completion of a 30-day trial period. Seconded by Commissioner Flowers.

Motion: Commissioner Rodriquez Second: Commissioner Flowers

Roll call: Lesson Horse

| Commissioner | Aye | Opposed | Commissioner | Aye | Opposed |
|--------------|-----|---------|--------------|-----|---------|
| Cesich | | | Gryder | Χ | |
| DeBolt | Х | | Kellogg | Χ | |
| Flowers | Χ | | Koukol | | Х |
| Gengler | Χ | | Rodriguez | Х | |
| Gilmour | Χ | | Vickers | Х | |

Motion carried by a vote of 8:1.

Roll call: Commissioners DeBolt, Flowers, Gengler, Gryder, Kellogg, Rodriguez, Vickers, and Gilmour, aye. Opposed, Commissioner Koukol. Motion carried by a vote of 8:1.

XII. ORDINANCE #05-21-001: Amending the Combined Annual Budget and Appropriations Ordinance #02-21-001 Setting Forth the Annual Budget of the Kendall County Forest Preserve District, Kendall County, Illinois for the Fiscal Year Beginning December 1, 2020 and Ending November 30, 2021 for an Amount Not-to-Exceed \$9,088,295

Commissioner Vickers made a motion to approve ordinance #05-21-001 to amend the combined annual budget and appropriations ordinance #02-21-001 setting forth the annual budget of the Kendall County Forest Preserve District, Kendall County, Illinois for the fiscal year beginning December 1, 2020 and ending November 30, 2021 for an amount not-to-exceed \$9,088,295. Seconded by Commissioner Gengler.

Commissioner Kellogg requested that Commission first consider approving a capital fund balance policy prior to approving the amended FY21 budget for the District.

Commission discussed the need for the policy.

Commissioner Kellogg made a motion to table ordinance #05-21-001 for consideration at the June 1, 2021 Commission meeting. Seconded by Commissioner Koukol.

Motion: Commissioner Kellogg Second: Commissioner Koukol

Roll call: Table Ordinance #05-21-001 for Consideration at the June 1, 2021 Commission Meeting

| | | IVIC | Ctilig | | |
|--------------|-----|---------|--------------|-----|---------|
| Commissioner | Aye | Opposed | Commissioner | Aye | Opposed |
| Cesich | | | Gryder | Χ | |
| DeBolt | Χ | | Kellogg | Х | |
| Flowers | Х | | Koukol | Х | |
| Gengler | Х | | Rodriguez | Х | |
| Gilmour | Χ | | Vickers | Χ | |
| A-+! | | | Tiekers | | 1 |

Motion unanimously approved.

Roll call: Commissioners DeBolt, Flowers, Gengler, Gryder, Kellogg, Koukol, Rodriguez, Vickers, and Gilmour, aye. Opposed, none. Motion unanimously approved.

XIII. Approval of the Revised Education Programs Manager Description, Including the Retitling of the Position to Assistant Director and Education Manager, and \$3,000.00 Annualized Salary Increase for Emily Shanahan, Effective May 22, 2021

Commissioner Rodriquez made a motion to approve the revised Education Programs Manager description, including the retitling of the position to Assistant Director and Education Manager, and \$3,000.00 annualized salary increase for Emily Shanahan, effective May 22, 2021. Seconded by Commissioner DeBolt.

Motion: Commissioner Rodriguez Second: Commissioner DeBolt

Roll call: Assistant Director and Education Manger Position Description and \$3,000.00

Annualized Salary Increase for Emily Shanahan

| Commissioner | Aye | Opposed | Commissioner | Aye | Opposed |
|--------------|-----|---------|--------------|-----|---------|
| Cesich | | | Gryder | Χ | |
| DeBolt | Х | | Kellogg | Х | |
| Flowers | Χ | | Koukol | Χ | |
| Gengler | Х | | Rodriguez | Х | |
| Gilmour | Χ | | Vickers | Χ | |

Motion unanimously approved.

Roll call: Commissioners DeBolt, Flowers, Gengler, Gryder, Kellogg, Koukol, Rodriguez, Vickers, and Gilmour, aye. Opposed, none. Motion unanimously approved.

XIV. Approval of the Updated Communications and Natural Resources Manager Position Description, Including an Annualized Salary Increase of \$3,000.00 for Antoinette White, Effective May 22, 2021

Commissioner Rodriguez made a motion to approve the Updated Communications and Natural Resources Manager Position Description, including an annualized salary increase of \$3,000.00 for Antoinette White, effective May 22, 2021. Seconded by Commissioner Vickers.

Motion: Commissioner Rodriguez Second: Commissioner Vickers

Roll call: Communications and Natural Resources Project Manager Position Description

Approval and \$3.000.00 Annualized Salary Increase for Antoinette White

| Commissioner | Aye | Opposed | Commissioner | Aye | Opposed |
|--------------|-----|---------|--------------|-----|---------|
| Cesich | | | Gryder | Х | |
| DeBolt | Х | | Kellogg | Х | |
| Flowers | Х | | Koukol | Х | |
| Gengler | Χ | | Rodriguez | Х | |
| Gilmour | Х | | Vickers | Х | |

Motion unanimously approved.

Roll call: Commissioners DeBolt, Flowers, Gengler, Gryder, Kellogg, Koukol, Rodriguez, Vickers, and Gilmour, aye. Opposed, none. Motion unanimously approved.

XV. Approval of the Updated Natural Beginnings and Special Projects Manager Position Description, Including an Annualized Salary Increase of \$3,000.00 for Stefanie Wiencke, Effective May 22, 2021

Commissioner Gengler made a motion to approve the updated Natural Beginnings and Special Projects Manager position description, including an annualized salary increase of \$3,000.00 for Stefanie Wiencke, effective May 22, 2021. Seconded by Commissioner Gryder.

Motion: Commissioner Gengler Second: Commissioner Gryder

Roll call: Natural Beginnings and Special Projects Manager Position Description and Annualized Salary Increase of \$3,000.00 for Stefanie Wiencke

| Commissioner | Aye | Opposed | Commissioner | Aye | Opposed |
|--------------|-----|---------|--------------|-----|---------|
| Cesich | | | Gryder | Х | |
| DeBolt | Х | | Kellogg | Χ | |
| Flowers | Х | | Koukol | Х | |
| Gengler | Х | | Rodriguez | Х | |
| Gilmour | Χ | | Vickers | Х | 14 |

Motion unanimously approved.

Roll call: Commissioners DeBolt, Flowers, Gengler, Gryder, Kellogg, Koukol, Rodriguez, Vickers, and Gilmour, aye. Opposed, none. Motion unanimously approved.

XVI. Approval of the Revised FY21 Organizational Chart for the Kendall County Forest Preserve District

Commissioner Koukol made a motion to approve the revised FY21 Organizational Chart for the Kendall County Forest Preserve District. Seconded by Commissioner Gryder.

Motion: Commissioner Koukol Second: Commissioner Gryder

Roll call: Revised FY21 KCFPD Organizational Chart

| Commissioner | Aye | Opposed | Commissioner | Aye | Opposed |
|--------------|-----|---------|--------------|-----|---------|
| Cesich | | | Gryder | Χ | |
| DeBolt | Χ | | Kellogg | Х | |
| Flowers | Χ | | Koukol | Х | |
| Gengler | Χ | | Rodriguez | Х | |
| Gilmour | Χ | | Vickers | Х | |

Motion unanimously approved.

Roll call: Commissioners DeBolt, Flowers, Gengler, Gryder, Kellogg, Koukol, Rodriguez, Vickers, and Gilmour, aye. Opposed, none. Motion unanimously approved.

XVII. Approval of a Proposal from Upland Designed, LTD for Development of a Concept Plan and Construction Cost Estimates for Completion of a Multi-Use Trail Connection between Hoover Forest Preserve and Fox River Bluffs Forest Preserve for an Amount Not-to-Exceed \$4,800.00, Plus Reimbursable Expenses Estimated at \$1,500.00

Commissioner DeBolt made a motion to approve a proposal from Upland Designed, LTD for development of a concept plan and construction cost estimates for completion of a multi-use trail connection between Hoover Forest Preserve and Fox River Bluffs Forest Preserve for an amount not-to-exceed \$4,800.00, plus reimbursable expenses estimated at \$1,500.00. Seconded by Commissioner Gengler.

Motion: Commissioner DeBolt Second: Commissioner Gengler

Roll call: Upland Designed, LTD Proposal

| Commissioner | Aye | Opposed | Commissioner | Aye | Opposed |
|--------------|-----|---------|--------------|-----|---------|
| Cesich | | | Gryder | Χ | |
| DeBolt | Χ | | Kellogg | Х | |
| Flowers | Х | | Koukol | Х | |
| Gengler | Х | | Rodriguez | Х | |
| Gilmour | Х | | Vickers | Х | |

Motion unanimously approved.

Roll call: Commissioners DeBolt, Flowers, Gengler, Gryder, Kellogg, Koukol, Rodriguez, Vickers, and Gilmour, aye. Opposed, none. Motion unanimously approved.

XVIII. Public Comments

Jim Wyman asked the name of the retiree and the status of the D. Construction Bridge.

Director Guritz responded that Rebecca Antrim had retired from the District, and reported that there is a meeting scheduled for next week with D. Construction.

XIX. Executive Session

None.

XX. Other Items of Business

None.

XXI. Adjournment

Commissioner DeBolt made a motion to adjourn. Seconded by Commissioner Rodriguez.

Motion: Commissioner DeBolt Second: Commissioner Rodriquez

Roll call: Adjournment

| Commissioner | Aye | Opposed | Commissioner | Aye | Opposed |
|--------------|-----|---------|--------------|-----|---------|
| Cesich | | | Gryder | Χ | |
| DeBolt | Х | | Kellogg | Χ | |
| Flowers | Х | | Koukol | Х | |
| Gengler | Χ | | Rodriguez | Χ | |
| Gilmour | Χ | | Vickers | Χ | |

Motion unanimously approved.

Roll call: Commissioners DeBolt, Flowers, Gengler, Gryder, Kellogg, Koukol, Rodriquez, Vickers, and Gilmour, aye. Opposed, none. Motion unanimously approved.

Meeting adjourned at 10:50 pm.

Respectfully submitted,

David Guritz

Director, Kendall County Forest Preserve District

1 of 4

Claims Listing

| Cidii iis Listii ig | را الر الرا | | | | 5/26/2021 3:36:26 PM | | |
|----------------------|----------------|--------------|------------------------|--|---------------------------|--------------------------------|-------------------|
| Department | Vendor # | Vendor Name | Invoice # | Invoice Description | GL Account | Description | Invoice Amount |
| Ellis House | | | | | | | |
| | 51 | SYNCB/AMAZON | 11CC-GYJG- V493 | Ellis office supplies | 19001160 62000 | Office Supplies | \$25.96 |
| | | | | | | Sub-Total | \$25.96 |
| | 2047 | COMED | 9361548011 May 2021 | 9361548011 May Electricity Ellis House 2021 | 19001160 62270 | Utilities | \$346.26 |
| | | | | | | Sub-Total | \$346.26 |
| | | | | | Ellis House | Total | \$372.22 |
| | 3142 | KASEY WILSON | 3080 05-18-2021 | 3080 05-18-2021 Ellis lesson horse Keeper | 19001164 63010 | Horse Acquisition & Tack | \$1,500.00 |
| | | | | | | Sub-Total | \$1,500.00 |
| inviron. Educ. Other | | | | | Ellis Riding Lessions | Total | \$1,500.00 |
| Pblc Prg | 51 | SYNCB/AMAZON | 11N9-MYPJ- 1WD3 | grounds, camps, public programs | 19001179 63030 | Program Supplies | \$24.44 |
| | | | | | | Sub-Total | \$24.44 |
| | | | | | Environ. Educ. Other Pblc | Total | \$24.44 |
| Environmental Educ. | | | | | | | |
| attı beg. | 51 | SYNCB/AMAZON | 1R64-XXDK- NMFK | NB SUPPLIES | 19001178 63030 | Program Supplies | \$202.39 |
| | | | | | | | |

| Forest Preserve | | | | | | | |
|---------------------|------|---|-------------------------|--|--------------------------|------------------------------------|-------------|
| Director | 29 | AMEREN IP | 2786444006 May 2021 | 2786444006 May Electric millbrook 2021 | 190011 63510 | Electric | \$26.51 |
| | 2047 | COMED | 9361578000 May 2021 | 9361578000 May Electricity Baker Woods 2021 | 190011 63510 | Electric | \$19.61 |
| | | | | | | Sub-Total | \$46.12 |
| | 498 | MACK & ASSOCIATES | 10574 | Auditing services | 190011 65490 | Auditing & Accounting | \$8,000.00 |
| | | | | | | Sub-Total | \$8,000.00 |
| | 498 | MACK & ASSOCIATES | 10635 | Oslad project attestation | 190711 66500 | Miscellaneous Expense | \$1,050.00 |
| | 1823 | ULINE | 133579603 | Pickerill Pigott trash bins | 190711 66500 | Miscellaneous Expense | \$344.60 |
| | | | | | | Sub-Total | \$1,394.60 |
| | 1007 | ILLINOIS COUNTIES RISK MANAGEMENT TRUST | RCB0000000254 98 | workers' comp | 190011 68000 | Liability Insurance Premiums | \$7,424.00 |
| | | | | | | Sub-Total | \$7,424.00 |
| | 1141 | KENDALL COUNTY COLLECTOR | 1234 May 2021 | KC real estate taxes | 190011 68540 | Contributions | \$891.94 |
| | | | | | | Sub-Total | \$891.94 |
| Grounds and Natural | | | | | Forest Preserve Director | r Total | \$20,182.33 |
| Resources | 1452 | NICOR | 18-66-26-1012 May20 | Millbrook South Gas | 19001183 63090 | Natural Gas | \$129.90 |
| | 1452 | NICOR | 87-94-61-1000 1 May2 | Harris Gas | 19001183 63090 | Natural Gas | \$123.13 |
| | | | | | | Sub-Total | \$253.03 |

| Shop Supplies \$9.99 |
|------------------------------------|
| Shop |
| 19001183 63110 |
| grounds, camps, public programs |
| |
| 1WD3 |
| - 1 |

May 24, 2021

Mr. David Guritz Executive Director Kendall County Forest Preserve District 110 West Madison Street Yorkville, IL 60560

Re: Request ID: 8133

(November 1, 2020 to April 30, 2022)

Dear Mr. Guritz:

The Foundation recently changed the procedure regarding restoration payments being made in two (2) installments.

When the Foundation awarded the above referenced grant to assist in the purchase of the property it also awarded \$10,000 to be applied towards the restoration activities. The \$10,000 payment was to be made in two installments, the first was \$7,500 included in the check supporting the purchase of the land. The second payment of \$2,500 was to be made upon receipt of a payment request and report as specified on page 2 of your grant agreement.

The Foundation determined that it is more efficient for purposes of managing our acquisition grants to include the full amount of the restoration payment with the payment supporting the purchase of the land. You will note specific reporting requirements to be met to receive the second payment of \$2,500 (see *paragraph b* of the section titled *Payment 2* in your Grant Agreement). The Foundation is now requiring that you include those reporting requirements from *paragraph b* in your Final Report for the grant.

If you have questions, please contact Frances Kane either by email (fkane@illinoiscleanenergy.org) or by phone.

Please acknowledge you have received this letter and that you accept the reporting requirement by having the proper official in your organization sign the acknowledgement below and returning the letter to the Foundation.

Dennis O'Brien, Executive Director

Dennis 70 Suen

| Grantee | Kendall County Forest Preserve D | Pistrict | |
|------------|-------------------------------------|--------------|--|
| Name of | Authorized Signer for the Grantee _ | Judy Gilmour | |
| Title of S | igner | | |
| Authorize | ed Signature | | |
| Date Sigr | ned June 1, 2021 | | |

ORDINANCE #06-21-001 AMENDING

ORDINANCE #02-21-001

COMBINED ANNUAL BUDGET AND APPROPRIATION ORDINANCE

AN ORDINANCE SETTING FORTH THE ANNUAL BUDGET OF THE KENDALL COUNTY FOREST PRESERVE DISTRICT FOR THE FISCAL YEAR BEGINNING DECEMBER 1, 2020 AND ENDING NOVEMBER 30, 2021

APPROPRIATING THE VARIOUS SUMS OF MONEY DEEMED NECESSARY TO DEFRAY ALL EXPENSES AND LIABILITIES OF THE KENDALL COUNTY FOREST PRESERVE DISTRICT FOR THE FISCAL YEAR BEGINNING DECEMBER 1, 2020 AND ENDING NOVEMBER 30, 2021

BE IT ORDAINED BY THE BOARD OF COMMISSIONERS OF THE KENDALL COUNTY FOREST PRESERVE DISTRICT, KENDALL COUNTY, ILLINOIS, AS FOLLOWS:

SECTION 1

That the attached annual budget hereinafter set forth is hereby adopted by the Kendall County Forest Preserve District, Kendall County, Illinois for the fiscal year beginning December 1, 2020.

| | Fund 1900 | Fund 1900 Fund 1901 Fund 1902 Fund 1903 | Fund 1902 | Fund 1903 | Fund 1904 | Fund 1905 | Fund 1906 | Fund 1907 | Fund 1908 | Fund 1909 | Fund 1910 | Fund 1911 F | Fund 1912 | Fund 1913 | |
|--|-------------------|--|--------------------------|--|----------------|---|--|--------------------------|---|--|-------------------|----------------------|----------------------------|---|------------------------|
| | Operating Fund | 2007 Bond Proceeds Capital Fund | Debt Series 2003/2012 | Debt Series 2007/2015/20 16/2017 | Endowment Fund | Pickerill-Pigott Phase i OSLAD Project Fund | FP Improvement Capital Projects Fund Fund | Capital Projects Fund | Fox River Bluffs RTP Grant Project Fund | Fox River Bluffs Cropland Conversion Project Fund | Land Cash Fund | Liability Fund | 2021 Bond Proceeds Fund | Pickerill-Pigott IDNR-PARC Project Fund | Total Est. Balances |
| | | | | | | | | | | | | | | | |
| Estimated Be innin, Balance December 1, 2020 | \$ 196,821 | 196,821 \$ 606,288 \$ 924,379 \$ 4,222,406 | \$ 924,379 | \$ 4,222,406 | \$ 886,597 \$ | \$ (133,172) \$ | \$ 379,144 | - \$ | | \$ (39,313) | \$ | \$ 50.000 | | | 7 7 7 149 |
| Estimated Revenue & Transfers In | 1,338,916 | 20 | 431,800 | 4,606,388 | 6,715 | ш | | 811,714 | 30,300 | 84,314 | 346.854 | | 1.200.000 | 1.111.895 | 10 285 488 |
| Estimated Expenditure & Transfers Out | 1,143,602 | 806,308 | 416,275 | 4,188,256 | 40,000 | 183,328 | 379,202 | 602,814 | 30,300 | 45,001 | 210,214 | 25,000 | 1.142.195 | 475.800 | 9 488 295 |
| Estimated Ending Balance November 30, 2021 | \$ 392,135 \$ | | \$ 939,904 | 939,904 \$ 4,640,538 \$ | \$ 853,312 | ş | \$ 14 | \$ 208,900 | \$ | \$ | \$ 136,640 | 136,640 \$ 25,000 \$ | \$ 57,805 | 57,805 \$ 636,095 | 5 7,890,342 |

Estimated Receipts:

| 190011 41010-42900 | FY21 Operating Fund #1900 Receipts | \$ 1,338,916 |
|--------------------|---|--------------|
| 190111 40330-43440 | FY21 2007 Bond Proceeds Calital Fund #1901 Receipts | \$ |
| 190211 41010-41350 | FY21 2003/2012 Debt Series Fund #1902 Receipts | \$ 431,800 |
| 190311 41010-41350 | FY21 2007/2015/2016/2017 Debt Series Fund #1903 Receipts | \$ 4,606,388 |
| 190411 41350-XXXXX | FY21 Endowment Fund #1904 Receipts | \$ 6,715 |
| 190511 40300-42970 | FY21 Pickerill-Pi_ott Phase I OSLAD Project Fund #1905 Receipts | \$ 316,500 |
| 190611 40300-41350 | FY21 Forest Preserve Im Irovement Fund #1906 Receipts | \$ 7.2 |
| 190711 41010-XXXXX | FY21 Ca ital Projects Fund #1907 Receil ts | \$ 811,714 |
| 190811 40300-42970 | FY21 Fox River Blufs RTP Grant Project Fund #1908 Receipts | \$ 30,300 |
| 190911 40120-42970 | FY21 Fox River Bluffs Crop., Conv. Project Fund #1909 Receipts | \$ 84,314 |
| 191011 42490 | FY21 Land Cash Fund #1910 Receipts | \$ 346,854 |
| 191111 XXXX | FY21 Liability Fund #1911 Receipts | ζς. |
| 191211 XXXXX | FY21 2021 Bond Proceeds Fund #1912 Receipts | \$ 1,200,000 |
| 191311 XXXX | FY21 Pickeriii-Pigott IDNR-PARC Project Fund #1913 Receipts | \$ 1,111,895 |

SECTION 2

That the several sums of money hereinafter set forth are hereby appropriated for the fiscal year of the Kendall County Forest Preserve District, Kendall County, Illinois beginning
December 1, 2020 and ending November 30, 2021 to cover all necessary expenditures and liabilities of said Kendall County Forest Preserve District, Kendall County, Illinois hereinafter designated.

\$ 10,285,488

SECTION 3
That the object and purposes for which the appropriations are hereby made and the amount appropriated for each object and purpose are as follows:

Estimated Ex -nditures;

| \$ 9,488,295 | | Total Expenditures | |
|--------------|--------------|---|--------------------|
| | \$ 475,800 | FV21 Pickerill-Pigott (DNR-PARC Project Fund #1913 Expenses | 191311 XXXX |
| | \$ 1,142,195 | FY21 2021 Bond Proceeds Fund #1912 Expenses | 191211 XXXX |
| | \$ 25,000 | FY21 Liability Fund #1911 Expenses | 191111 68990 |
| | \$ 210,214 | FY21 Land Cash Fund#1910 Expenses | 191011 61300 |
| | \$ 45,001 | FY21 Fox River Bluffs Croll. Conv. Project Fund #1909 Expenses | 190911 61300-68530 |
| | \$ 30,300 | FY21 Fox River Blufs RTP Grant Pro ect Fund #1908 Expenses | 190811 66500 |
| | \$ 602,814 | FY21 Capital Projects Fund #1907 Exilenses | 190711 66500-XXXXX |
| | \$ 379,202 | FY21 Forest Preserve Improvement Fund #1906 Expenses | 190611 61300 |
| | \$ 183,328 | FY21 Pickerill-Picatt Phase OSLAD Project Fund #1905 Expenses | 190511 66500-XXXXX |
| | \$ 40,000 | FY21 Endowment Fund #1904 Expanses | 190411 XXXX |
| | \$ 4,188,256 | FY21 2007/2015/2016/2017 Debt Series Fund #1903 Expenses | 190311 66500-68760 |
| | \$ 416,275 | FY21 2003/2012 Debt Series Fund #1902 Ex. enses | 190211 68650-68700 |
| | \$ 606,308 | FY21 2007 Bond Proceeds Ca. ital Fund #1901 Expenses | 190111 61360-68640 |
| | \$ 1,143,602 | FY21 Orieratin Fund #1900 Ex. enses | 190011 51090-68530 |

Approved this 1ST Day of June, 2021.

Signed:

Judy Gilmour, President

Elizabeth Flowers, Secretary

| Pagebuild Enlate (e42) Pagebuild Enlate (e | KCFPD 0-reating Fund #1900 | KCFPD FY21 Amended Budget for Commission Approval - 06-01-2021 KCFPD Operating Fund #1900 | ACTUAL 2019 | BUDGET 2020 | ACTUAL 11/30/2020 | Est. Year End 11/30/2020 | 12/15/2020 2021 AMD | 2/2/2021 2021 AMD | 6/1/2021 2021 PROP |
|--|---|--|----------------|----------------|----------------------|-----------------------------|------------------------|----------------------|-----------------------|
| Francis in from feature Parence Enry Board Francis Francis in from feature Parence Francis in francis Francis Francis in francis Franci | ACCOUNT & DESCRIPTION Beginning Balance (est.) | z | 344,356 | 384,783 | 341,881 | 341,881 | 196,821 | 196,821 | 196,821 |
| Transfer In from Kendell County - American Rescue Plan Ast Transfer 15,000 610,366 610,366 640,646 640,6 | 190011 | REVENUE Transfer in from Forest Preserve Improvement Fund #1906 Transfer In from Forest Preserve 2007 Bond Proceeds Fund #1901 | | | | | | 215,029 | 215,086 |
| 1,599 1,700 591 | 190011 190011 41010 | Transfer In from Kendall County - American Rescue Plan Act Transfer Current Tax | 590,914 | 615,000 | 610,969 | 610,969 | 640,646 | 640,646 | 640,646 |
| Other income (Sponsorship Program) 20 Carbon Creates Sale - For River Builts Ellis Center Graunds (Farm License Rev.) Ellis Center Britisha Parties Ellis Center B | 190011 41350 | Interest Income | 1,599 | 1,700 | 591 | 165 | 591 | 591 | 591 |
| Elis Center Grounds (Farm License Rev.) Elis Center Grounds (Farm License Rev.) Elis Center Grounds (Farm License Rev.) Elis Center Midig Lessons Elis Center Midig Lessons Elis Center Riding Le | 190011 42250 190011 42250 | Other Income (Sponsorship Program) Carbon Credits Sale - Fox River Bluffs | 20 | 2,000 | 620 | 620 | 620_ | 620 | 620 |
| Ellis Center Grounds (Farm License Rev.) 22,087 22,512 22,512 22,512 22,516 22,087 22, | 190011 42250 | CARES Act Reimbursement | | | | | 9,134 | 9,134 | 7,727 |
| Elis Center Riding Parties 34,301 3,600 2,605 2,605 2,605 2,505 | 19001162 42250 | Ellis Center Grounds (Farm License Rev.) | 22,087 | 22,087 | 22,512 | 22,512 | 22,087 | 22,087 | 22.087 |
| Ellis Center Birthday Pariess Ellis Center Publis Programs Ellis Center Weddings Ellis Center Merit Revail Re | 19001164 42250 | Ellis Center Camps Ellis Center Ridino Lessons | 7,105 | 000.6 | 2,605 | 2,605 | 2,605 | 6,250 | 6,250 |
| Ellis Center Public Programs Suurise Crnicr North License Agreement 21,450 21,400 | 19001165 42250 | Ellis Center Birthday Parties | 24,501 | 20,000 | 26,817 | 56,817 | 56,817 | 57,817 | 57,817 |
| Sunrise Center North License Agreement 21,450 24,600 21,385 21,385 21,385 21,385 21,385 21,385 21,385 21,385 21,380 21,000 21,0 | 19001166 42250 | Ellis Center Public Programs | 7,051 | 5,500 | 1,742 | | 4,226 | 4,226 | 4,226 |
| Ellis Center Other delangs 11,080 2,000 7,625 7,625 7,625 12,190 1,900 1,500 4,500 2,100 | 19001167 42250 | Sunrise Center North License Agreement | 21,450 | 24,600 | 21,385 | 14 | 21,385 | 23,360 | 23.360 |
| His Center Kentals | 19001168 42250 | Ellis Center Weddings | 11,080 | 2,000 | 7,625 | | 7,625 | 12,190 | 12,190 |
| Hoover Revenue (Yorkville Athletic Assoc. License) Hoover Bunkhots Rental Rev Hoover Bunkhots Rental Rev Hoover Bunkhots Rental Rev Hoover Campsite Rental Rev Hoover Meadowhawk Rental Rev Hoover Campsite Rental Rev Hoover Meadowhawk Rental Rev Hoover Meadowhawk Rental Rev Hoover Campsite Rental Rev Hoover Dunkhots Rental Rev Hoover Meadowhawk Rental Rev Ho | 19001170 42250 | Dins Center Outer Kentals Filis Center SK Evant | 4,790 | 4,500 | 2,100 | | 2,100 | 2,100 | 2,100 |
| Hoover Revenue (Forkville Athletic Assoc. License) 2,256 2,526 2,526 2,526 3,000 Hoover Revenue (Residence Lease) 3,445 3,000 2,526 2,526 2,526 3,000 Hoover Revenue (Residence Lease) 3,445 3,000 1,370 11,370 11,370 Hoover Revenue (Residence Lease) 1,370 11,370 11,370 Hoover Revenue (Residence Lease) 1,525 1,525 1,525 1,525 1,525 Hoover Revenue (Residence Lease) 1,370 11,370 11,370 11,370 Hoover Campsite Rental Rev | | | 1,636 | 1,500 | 250 | 250 | 250 | 250 | 250 |
| Hoover Revenue (Residence Lease) 3,445 3,000 2,526 2,526 3,000 1 | 19001171 42250 | Hoover Revenue (Yorkville Athletic Assoc. License) | 2,250 | 2,250 | 2,526 | 2.526 | 2.526 | 2 052 | 2 052 |
| Hoover Bunkhouse Rental Rev Hoover Mankhouse Rental Rev Hoover Mankhouse Rental Rev Hoover Mankhouse Rental Rev Hoover Mankedowhawk Rental Resources Bowhunt App. Fees) Bonations - Administration (Forest Foundation Contributions) Bonations - Administration Center - Lessons Donations - Bunkedowhawk Rental Rev Hoover Mankedowhawk Rental Res Hoover Mankedowhawk Rental Rental Res Hoover Mankedowhawk Rental | 19001171 42250 | Hoover Revenue (Residence Lease) | 3,445 | 3,000 | 2,526 | 2,526 | 2,526 | 3,000 | 3.000 |
| Hoover Campsite Kental Rev 1,655 1,633 1,6337 1, | 19001172 42250 | Hoover Bunkhouse Rental Rev | 30,714 | 35,000 | 11,370 | 11,370 | 11,370 | 11,370 | 11.370 |
| Env. Educ School Programs Env. Educ School Programs Env. Educ School Programs Env. Educ Camps Env. Educ Camps Env. Educ Camps Env. Educ Camps Env. Educ Other Public Programs Env. Educ Other Public Programs Env. Educ Other Revenue Grand Cher Income - Grounds & Natural Resources (Bowhunt App. Fees) Other Income - Grounds & Natural Resources | 19001173 42250 | Hoover Campsite Kental Kev | 6,120 | 000'9 | 1,655 | 1,655 | 1,655 | 1,655 | 1,655 |
| Env. Educ School Programs 41,938 38,000 5,357 5,357 5,357 5,357 5,357 5,357 5,357 5,357 5,357 5,357 5,357 5,357 5,357 5,357 5,357 5,357 5,357 5,000 20,020 20, | 15001114 47730 | noovet meadownawk kentai kev | 17,316 | 18,000 | 10,337 | 10,337 | 10,337 | 10,337 | 10,337 |
| Env. Educ Camps Env. Educ Matural Beginnings Env. Educ Other Public Programs Env. Educ Other Public Programs Env. Educ Other Revenue Cother Income - Grounds & Natural Resources (Bowhunt App. Fees) Other Income - Grounds & Natural Resources (Bowhunt App. Fees) Other Income - Grounds & Nat. Res. (Milbrook North Trail Use Lic. Agreement) Donations - Administration (Forest Foundation Contributions) Donations - Ellis Equestrian Center - Lessons Donations - Hoover Env. Educ Other Revenue 24,586 24,596 24,596 24,596 24,596 24,596 Donations - Administration (Forest Foundation Contributions) Donations - Ellis Equestrian Center - Lessons Donations - Hoover Env. Educ Other Revenue 2500 26,500 Donations - Hoover 200 Env. Educ Other Revenue 24,596 Env. Educ Other Revenue Env. Educ Other Revenu | 19001176 42250 | Env. Educ School Programs | 41,938 | 38,000 | 5.357 | 5.357 | 5.357 | 5 357 | 5 357 |
| Env. Educ Natural Beginnings Env. Educ Other Public Programs Env. Educ Other Revenue Other Income - Grounds & Natural Resources (Bowhunt App. Fees) Other Income - Grounds & Natural Resources (Bowhunt App. Fees) Other Income - Grounds & Nat. Res. (Millbrook North Trail Use Lic. Agreement) Donations - Administration (Forest Foundation Contributions) Donations - Ellis Equestrian Center - Lessons Donations - Hoover Donations - Hoover Env. Educ Other Public Programs 115,800 12,589 12,589 12,589 17,435 17,435 17,435 24,596 24,596 24,596 24,596 24,596 24,596 24,596 24,596 24,596 Donations - Administration (Forest Foundation Contributions) Donations - Hoover Donations - Hoover | 19001177 42250 | Env. Educ Camps | 24,576 | 32,000 | 17,620 | | 17.620 | 20.020 | 77.75 |
| Env. Educ Other Public Programs Env. Educ Other Revenue Env. Educ Other Revenue Other Income - Grounds & Natural Resources (Bowhunt App. Fees) Other Income - Grounds & Nat. Res. (Millbrook North Trail Use Lic. Agreement) Donations - Administration (Forest Foundation Contributions) S42 S50 S500 Donations - Ellis Equestrian Center - Lessons Donations - Horver S60 S70 S60 S70 S60 S70 S70 S70 | 19001178 42250 | Env. Educ Natural Beginnings | 106,215 | 115,800 | 97,194 | | 101,811 | 110.000 | 110,000 |
| Other Income - Grounds & Natural Resources (Bowhunt App. Fees) 8,800 12,500 24,596 | 19001179 42250 | Env. Educ Other Public Programs Env. Educ Other Revenue | 6,704 | 7,500 | 12,589 | | 12,589 | 17,435 | 17,435 |
| Other Income - Grounds & Natural Resources (Bowhunt App. Fees) 8,800 12,500 24,596 | 04001 | | | | | | | | |
| Donations - Administration (Forest Foundation Contributions) 542 500 3,499 3,499 500 5,500 Contributions - Ellis Equestrian Center - Lessons 200 200 200 200 200 Contributions - Hoover | 19001183 42250 | Other Income - Orounds & Natural Resources (Bowhunt App, Fees) Other Income - Grounds & Nat. Res. (Millbrook North Trail Use Lic. Agreement) | 8,800 | 12,500 | 24,596 | 24,596 | 24,596 | 24,596 | 24,596 |
| Conditions - Authuristication (Forest Foundation Contributions) 542 500 3,499 500 5,500 Donations - Hometrine - Lessons 103 200 - 200 200 | 190011 42860 | Dounting Administration (Frank Town 1) | | | | | | | |
| Domaricans - Horver 200 200 200 200 200 200 200 200 200 20 | 19001164 42860 | Donations Diff. Periodicion Contra I co | 242 | 200 | 3,499 | 3,499 | 200 | 5,500 | 5,500 |
| | 20071 | Donations - Hoover | 103 | 200 | 3 | | 200 | 200 | 200 |

| KCFPD FY21 Amended Bud KCFPD Operating Fund #1900 | KCFPD FY21 Amended Budget for Commission Approval - 06-01-2021 KCFPD Operating Fund #1900 | ACTUAL 2019 | BUDGET 2020 | ACTUAL 11/30/2020 | Est. Year End 11/30/2020 | 12/15/2020 2021 AMD | 2/2/2021 2021 AMD | 6/1/2021 2021 PROP |
|--|---|----------------|----------------|----------------------|-----------------------------|------------------------|----------------------|-----------------------|
| 19001175 42860 19001178 42860 | Donations - Environmental Education Donations - Env. Educ. Natural Beginnings (FF Sch. Program) | 300 | 500 | . 830 | 830 | | \$00 800 | 200 |
| 19001183 42860 | Donations - Eary, Educ. Outer Programs Donations - Natural Area Volunteers Donations - Grounds & Natural Resources | 525 | 200 | 1,950 | 1,950 | 1,950 | 1,950 | 1,950 |
| 19001183 42900 19001184 42900 | Pionio & Shelter Rental - Grounds & Natural Resources Rental Revenue - Pickerill-Pigott | 4,175 | 4,500 | 2,625 11,198 | 2,625 | 2,625 | 2,625 | 2,625 |
| 19001183 42920 19001183 42920 | Preserve Improvements - Grants (K-12 Pollinator) Preserve Improvements - Grants (Pollinator Meadows Pilot) | 3,818 | 10,000 | 11,000 | 11,000 | | | |
| 190011 42930 | Farm License Revenue | 128,882 | 100,932 | 95,379 | 95,379 | 95,379 | 95,379 | 95,379 |
| 190011 42940 | Credit Card Revenue - All Preserves | 2,931 | 3,000 | 2,219 | 2,219 | 2,219 | 2,219 | 2,219 |
| 19001168 43450 | Security Deposit Revenue - Ellis Weddings | 4,200 | | 7,300 | | 7,300 | 7,300 | 7,300 |
| 19001172 43450 | security Deposit Revenue - Eulis Omer Rentals Security Deposit Revenue - Hoover Bunkhouse | 1,395 | 000 9 | 2,300 | 2,300 | 2,300 | 2,300 | 2,300 |
| 19001174 43450 19001184 43450 | Security Deposit Revenue - Hoover Meadowhawk Security Deposit Revenue - Pickerill-Pigott | 12,990 | 11,000 | 4,617 | | 4,617 | 4,617 | 4,617 |
| | Total Revenue | 1 147 684 | 1,165,425 | 1 062 126 | 1,062,126 | 1,091,803 | 1.339,883 | 1,338,916 |
| | PERSONNEL | | | | | | | |
| 190011 51090 | Board Per Diem | 4,100 | 3,168 | 3,348 | 3,348 | 10,000 | 10,000 | 10,000 |
| 190011 51160 | Salary - Part Time Administration | 7,938 | 655 | 655 | 655 | · | - | 13.375 |
| 190011 51390 | Salary - Full Time Administration | 145,176 | 177,778 | 163,578 | | 161,800 | 161,800 | 145,737 |
| 190011 51470 190011 51470 | Stipend - Full Time Administration (Executive Director) Stipend - Full Time Administration (HR Acet Pavable & Recery Coord) | | | 21,020 | 21,020 | 10,668 | 10,668 | 10,668 |
| 190011 51470 | | | | | 0 | 5,820 | 5,820 | 5,820 |
| 19001183 51160 | Salary - Part Time Grounds & Natural Resources | 33,866 | 58,107 | 58,932 | 58,932 | 18,995 | 15,299 | 15,299 |
| 19001183 51390 | Salary - Full Time Grounds & Natural Resources | 103,197 | 73,299 | 75,814 | | 84,937 | 88,633 | 87,133 |
| | Salary - Part Time Pickerill Pigott | | | | | | | |
| 19001176 51390 | Salary Full Time: Env. Education Env. Educ. FT Salary - School Programs Expense | 14,413 | 21,950 | 22,845 | 22,845 | 17,823 | 17,823 | 18.123 |
| 19001177 51390 19001178 51390 | Env. Educ. FT Salary - Camps Expense Env. Educ. FT Salary - Natural Beginnings Expense | 8,212 | 13,531 | 14,085 | | 11,098 | 11,098 | 11,398 |
| | | | | | | | | |

| 1001179 51199 Env. Ent. Ft Shape, Camper Posporate Exposion 1200 1,445 1,502 1,502 1,503 1,107 | ACFPD Operating Fund #1900 | NCFFD F721 Amended Budget for Commission Approval - 06-01-2021 KCFPD Operating Fund #1900 | ACTUAL 2019 | BUDGET 2020 | ACTUAL 11/30/2020 | Est. Year End 11/30/2020 | 12/15/2020 2021 AMD | 2/2/2021 2021 AMD | 6/1/2021 2021 PROP |
|--|----------------------------|--|----------------|----------------|----------------------|-----------------------------|------------------------|----------------------|-----------------------|
| Fig. 2 1,550 1,5 | 19001179 51390 | Env. Educ. FT Salary - Other Public Programs Expense | 1,209 | 1,443 | 1,502 | 1.502 | 3 030 | 3.030 | 3 030 |
| Figure Part Three Energy Part Energy Part Three Energy Part Energy P | 19001180 51390 | Env. Educ. FT Salary - Laws of Nature | 456 | 3,614 | 3,761 | 3,761 | 1,187 | 1,187 | 1,187 |
| December 19659 2.855 3.89 10.000 10.00 | | Salary Part Time: Env. Education | | | | | | | |
| Buy Male, Pt Salary Vintural Expense 64,20 64,42 74,734 | 19001176 51160 | Env. Educ. PT Salary - School Programs Expense | 19,659 | 2,858 | 3,089 | 3,089 | 10.000 | 10,000 | 10.000 |
| Bark Male, Pri Salary - Order Departes 66,749 66,8577 74,744 74,784 75,955 25,955 <t< td=""><td>19001177 51160</td><td>Env. Educ. PT Salary - Camps Expense</td><td>12,891</td><td>6,462</td><td>6,462</td><td>6,462</td><td>8,100</td><td>8,100</td><td>8 100</td></t<> | 19001177 51160 | Env. Educ. PT Salary - Camps Expense | 12,891 | 6,462 | 6,462 | 6,462 | 8,100 | 8,100 | 8 100 |
| Euv. Edge. P. Salay John Str. Pagerme 4.844 2.629 3.079 3.079 7.530 Euv. Edge. P. Salay J. Other Expense 1.534 1.530 1.530 2.000 2.000 Euv. Edge. P. Salay J. Laws of Nature 1.534 1.530 1.530 1.530 2.000 2.000 Salary P. T. Ellis Hase 8.402 6.746 6.876 6.714 1.100 1.100 Salary P. T. Ellis Connect 5.004 2.005 2.005 2.006 2.000 Salary P. T. Ellis Connect 5.004 2.005 2.005 2.000 2.000 Salary P. T. Ellis Connect 5.004 2.005 2.006 2.000 2.000 Salary P. T. Ellis Connect 5.004 2.005 2.005 2.000 2.000 Salary P. T. Ellis Connect 5.004 2.005 2.006 2.000 2.000 Salary P. T. Ellis Connect 5.006 2.007 2.004 2.007 2.004 Salary P. T. Ellis Connect Enthology 5.006 2.007 2.006 2.006 2.004 | 19001178 51160 | Env. Educ. PT Salary - Natural Beginnings Expense | 66,749 | 12.69 | 74,784 | 74,784 | 52.935 | 52.035 | 50 666 |
| Env. Educ. Pt. Stalety - Laws of Nature 1,254 1/959 1,550 1,550 2,000 2, | 19001179 51160 | Env. Educ. PT Salary - Other Public Programs Expense | 4,824 | 2,629 | 3,079 | 3,079 | 5.794 | 7.150 | 7,000 |
| 1972 1550 | 19001180 51160 | Env. Educ. PT Salary - Laws of Nature | 1,254 | 193 | 193 | 193 | 2,000 | 2,000 | 2,300 |
| Sultry Part Time - Ellis 8 402 6,740 6,876 1,100 1,100 Sallary Part Time - Ellis Sallary P - Ellis Barna 1,2,31 1,6,435 1,546 1,100 1,100 Sallary P - Ellis Brome Sallary P - Ellis Grounds 2,0,03 2,4,731 2,6,085 2,6,085 2,200 2,500 Sallary P - Ellis Grounds Sallary P - Ellis Grounds 2,0,071 1,007 1,007 1,007 Sallary P - Ellis Conner Campe Expense 3,874 1,380 1,380 1,380 1,007 1,007 Sallary P - Ellis Conner Campe Expense 3,874 1,380 1,380 1,380 3,118 3,118 3,148 | 19001181 51160 | Env. Educ. PT Salary - Other Expense | 1,923 | 1,550 | 1,550 | 1,550 | î | 2004 | 707,7 |
| Salary Pt - Elis House Salary Pt - Elis House Salary Pt - Elis House Salary Pt - Elis Barn Salary Pt - Elis Barn Salary Pt - Elis Barn Salary Pt - Elis Grounds Salary | | Salary Part Time - Ellis | | | | | | | |
| Salay PT - Bits Barm Salay PT - Bits Barm 1,100 | 19001160 51160 | Salary PT - Ellis House | 8 403 | 0740 | 7107 | 200,2 | 1001 | ; 6 | |
| Salay PT - Elis Grounds Salay PT - Elis Grounds 1,190 1,100 1,100 Salay FT - Elis Bouse Salay FT - Elis Bouse 2,003 2,003 2,003 2,000 2,000 Salay FT - Elis Bouse Salay FT - Elis Bouse 1,0071 1,0 | 19001161 51160 | Salary PT - Ellis Bam | 20,402 | 16 426 | 0,0/0 | 0,8/0 | 1,100 | 1,100 | 1,100 |
| Salary FT - Elis House Salary FT - Elis House 2,000 / 1,000 / 1 2,000 / 2 2,014 / 2 2,014 / 2 2,014 / 2 2,014 / 2 2,014 / 2 2,014 / 2 2,014 / 2 2,014 / 2 2,014 / 2 2,016 / 2 | 19001162 51160 | Salary PT - Ellis Grounds | 12,341 | 10,453 | 17,140 | 17,140 | 1,100 | 1,100 | 1,100 |
| Salary FT - Elis Barn 10,071 | 19001160 51390 | Salary FT - Ellis House | 160,02 | 74,731 | 76,085 | 26,085 | 2,200 | 2,200 | 2,200 |
| Salary F - Elis Grounds 3874 1,380 1,380 1,380 1,071 10,071 Salary F - Elis Grounds 3,874 1,380 1,380 1,380 1,469 3,114 20,142 Salary P - Elis Center Camps Expense 3,311 2,831 2,981 3,168 4,676 <td>19001161 51390</td> <td>Salary FT - Fllis Barn</td> <td></td> <td></td> <td></td> <td></td> <td>10,071</td> <td>10,071</td> <td>10,01</td> | 19001161 51390 | Salary FT - Fllis Barn | | | | | 10,071 | 10,071 | 10,01 |
| Salary PT - Elis Center Camps Expense 3,874 1,380 1,380 1,380 20,142 20,142 Salary PT - Elis Center Regular Exsense Expense 33,291 28,311 29,819 37,638 3,106 Salary PT - Elis Center Public Programs Expense 2,444 4,909 3,66 3,66 4,676 4,676 Salary PT - Elis Center Public Programs Expense 2,544 4,909 3,68 4,676 2,015 Salary PT - Elis Center Public Programs Expense 2,361 3,871 4,008 4,008 1,702 2,015 Salary PT - Elis Center Other Rentals Expense 2,361 3,871 4,008 4,008 1,452 1,452 Salary PT - Hover Grounds 2,7115 2,1684 2,2264 15,584 1,452 1,452 Salary PT - Hover Bunkhouse 2,567 3,867 3,896 3,896 3,896 Salary PT - Hover Bunkhouse 25,024 3,471 9,474 10,987 1,987 Salary PT - Hover Bunkhouse 25,024 3,494 1,944 1,949 43,949 S | 19001162 51390 | Salary FT - Ellis Grounds | | | | | 10,01 | 10,01 | 10,071 |
| Salary PT - Elis Centre Carding Expense 3,874 1,380 1,480 1,650 3,110 Salary PT - Elis Centre Birchdagy Partice Expense 3,874 4,380 2,818 3,658 3,100 Salary PT - Elis Centre Birchdagy Partice Expense 3,404 4,909 5,168 3,819 4,606 4,6076 <td>19001163 51160</td> <td>Solary DT - Ellis Conton Commo Dunance</td> <td>F 1</td> <td></td> <td></td> <td></td> <td>20,142</td> <td>20,142</td> <td>20,142</td> | 19001163 51160 | Solary DT - Ellis Conton Commo Dunance | F 1 | | | | 20,142 | 20,142 | 20,142 |
| Salary PT - Ellis Center Birthdy Partices Expense 5.4541 2.9819 5.9819 37.638 37.638 Salary PT - Ellis Center Birthdy Partices Expense 5.464 864 864 864 4,676 4,676 Salary PT - Ellis Center Public Programs Expense 2.644 864 864 2,015 2,015 Salary PT - Ellis Center Public Programs Expense 2.647 14,397 15,082 17,000 17,000 Salary PT - Ellis Center Veddings Expense 2.7115 21,684 22,264 1,452 1,452 Salary PT - Ellis Center Other Rentals Expense 2.7115 21,684 22,264 15,584 1,584 Salary PT - Hower Other Rentals Expense 13,563 10,844 11,134 17,792 1,452 Salary PT - Hower Meadowtlawk 5,417 5,567 5,567 5,567 3,896 3,896 Salary PT - Hower Bunkhouse 25,024 37,370 38,987 3,896 3,896 Salary PT - Hower Counds 25,67 3,494 1,949 4,949 4,3949 Salary PT - Hower Counds 2 | 19001164 51160 | Salary PT - Ellis Center Riding Legens Evance | 3,8/4 | 1,380 | 1,380 | 1,380 | 1,650 | 3,110 | 3,110 |
| Salary PT - Ellis Center Public Personne Expense 2,644 4,909 5,168 5,168 4,676 4,576 5,015 2 | 19001165 51160 | Solary PT - Ellis Center Diethder Destina Europea | 33,291 | 78,311 | 29,819 | 29,819 | 37,638 | 37,638 | 37,638 |
| Salary PT - Ellis Centre Vicense Agentse Expense 1,004 1,4397 15,082 15,082 17,000 1,452 | 19001166 51160 | Salary PT - Filis Center Dublis December Durance | 5,464 | 4,909 | 5,168 | 5,168 | 4,676 | 4,676 | 9,000 |
| Salary PT - Elis Center Other Rentals Expense 1,502 1,508 1,700 1,700 Salary PT - Elis Center Other Rentals Expense 5,361 3,871 4,008 4,008 1,452 1,452 Salary PT - Ellis Center Other Rentals Expense 27,115 21,684 22,264 15,584 15,584 15,584 Salary PT - Hoover Grounds 13,565 10,844 11,134 7,792 7,792 Salary PT - Hoover Campsite 6,785 5,422 5,567 3,896 3,896 Salary PT - Hoover Campsite 5,704 37,370 38,987 38,987 38,986 Salary PT - Hoover Grounds 5,867 3,896 3,896 3,896 3,896 Salary PT - Hoover Campsite 6,256 3,417 5,561 3,896 3,896 Salary PT - Hoover Campsite 6,256 9,343 9,747 9,74 10,987 Salary PT - Hoover Meadowhawk 6,256 9,343 9,747 9,747 10,987 Total Personnel 6,256 9,343 9,747 9,747 10,987 | 19001167 \$1160 | Salary DT - Fine Control 1 dono 1 logicalis Expense | 2,644 | 864 | 864 | 864 | 2,015 | 2,015 | 2,015 |
| Salary PT - Hoover Other Rentals Expense 5,361 3,871 4,008 4,008 1,452 1,452 Salary PT - Hoover Other Rentals Expense 27,115 21,684 22,264 15,584 <t< td=""><td>19001168 51160</td><td>Salary D. T. Emis Summer Incluse Agreement</td><td>16,479</td><td>14,397</td><td>15,082</td><td>15,082</td><td>17,000</td><td>17,000</td><td>17,500</td></t<> | 19001168 51160 | Salary D. T. Emis Summer Incluse Agreement | 16,479 | 14,397 | 15,082 | 15,082 | 17,000 | 17,000 | 17,500 |
| Salary PT - Burb. Cellet. Other Rentals Expense Salary PT - Hoover Grounds Salary PT - Hoover Grounds Salary PT - Hoover Campsite Salary PT - Hoover Grounds Salary PT - Hoover G | 19001169 51160 | Calary DT Ellis Center Wednings Expense | 5,361 | 3,871 | 4,008 | 4,008 | 1,452 | 1,452 | 1,452 |
| Salary PT - Hoover Grounds Salary PT - Hoover Bunkhouse Salary PT - Hoover Meadowhawk Salary PT - Hoover Meadowhawk Salary PT - Hoover Grounds Salary PT - Hoover Meadowhawk Salary PT - Hoover Grounds Salary PT - Hoover Meadowhawk 6,256 9,343 9,747 9,747 10,987 10,987 Total Personnel EMPLOYEE BENEFITS WRF Expense - Administration SS Expense - Administration SS Expense - Administration SS Expense - Administration SS Expense - Administration | 2001109 21100 | Salary F. L. Ellis Center Other Kentals Expense | | ž. | 21 | | 1,452 | 1,452 | 1,452 |
| Salary PT - Hoover Bunkhouse 13,563 10,844 11,134 7,792 1,504 Salary PT - Hoover Campsite 6,785 5,422 5,567 5,567 3,896 3,896 Salary PT - Hoover Meadowhawk 25,024 37,370 38,987 38,987 38,986 3,896 Salary FT - Hoover Meadowhawk 12,512 18,685 19,494 19,494 21,975 21,975 Salary FT - Hoover Campsite 6,256 9,343 9,747 9,747 10,987 10,987 Salary FT - Hoover Campsite 6,256 9,343 9,747 9,747 10,987 10,987 Total Personnel 6,38,297 6,36,678 6,36,678 6,34,620 6,34,620 6,34,67 6,34,77 10,987 10,987 IMRF Expense - Administration SS Expense - Administration SS Expense - Administration SS Expense - Administration 1,046 1,046 1,046 1,046 1,046 1,046 1,046 1,046 1,046 1,046 1,046 | 19001171 51160 | Salary PT - Hoover Grounds | 27.115 | 21 684 | 22.264 | 72.764 | 15 597 | 15 504 | 100 |
| Salary PT - Hoover Campsite 6,785 5,422 5,567 5,567 3,896 3,972 Salary PT - Hoover Meadowhawk 7,014 5,417 5,561 3,896 3,896 3,896 Salary PT - Hoover Grounds 25,024 37,370 38,987 38,987 43,949 43,949 Salary FT - Hoover Bunkhouse 5,312 18,685 19,494 11,975 21,975 Salary FT - Hoover Campsicus 6,256 9,343 9,747 9,747 10,987 10,987 Salary FT - Hoover Meadowhawk 6,256 9,343 9,747 9,747 10,987 10,987 Total Personnel 638,297 656,678 683,620 683,620 668,939 671,755 6 EMPLOYEE BENEFITS EMPLOYEE BENEFITS IMRF Expense - Administration 23,877 28,829 28,829 16,417 16,417 SS Expense - Administration 1,046 1,046 1,046 1,046 1,046 1,046 1,046 1,046 1,046 1,046 1,047 1,047 <td>19001172 51160</td> <td>Salary PT - Hoover Bunkhouse</td> <td>13,563</td> <td>10.844</td> <td>11.134</td> <td>11.134</td> <td>7 797</td> <td>7.007.5</td> <td>7,364</td> | 19001172 51160 | Salary PT - Hoover Bunkhouse | 13,563 | 10.844 | 11.134 | 11.134 | 7 797 | 7.007.5 | 7,364 |
| Salary FT - Hoover Meadowhawk 7,014 5,417 5,561 5,561 3,896 3,896 Salary FT - Hoover Grounds Salary FT - Hoover Grounds 25,024 37,370 38,987 43,949 43,949 43,949 21,975 21,987 10, | 19001173 51160 | Salary PT - Hoover Campsite | 6.785 | 5.422 | 5.567 | 2 567 | 3 806 | 2 806 | 261,1 |
| Salary FT - Hoover Grounds 25,024 37,370 38,987 38,987 43,949 43,949 Salary FT - Hoover Bunkhouse 12,512 18,685 19,494 19,494 21,975 21,975 Salary FT - Hoover Bunkhouse 51,975 9,343 9,747 9,747 10,987 10,987 Salary FT - Hoover Meadowhawk 6,256 9,343 9,747 9,747 10,987 10,987 Total Personnel 638,297 656,678 683,620 668,939 671,755 6 EMPLOYEE BENEFITS 10,987 16,417 16,417 16,417 16,417 SS Expense - Administration 23,877 28,829 28,829 16,417 16,417 SS Expense - Administration 1,046 <t< td=""><td>19001174 51160</td><td>Salary PT - Hoover Meadowhawk</td><td>7,014</td><td>5,417</td><td>5,561</td><td>5,561</td><td>3,896</td><td>3,896</td><td>3,896</td></t<> | 19001174 51160 | Salary PT - Hoover Meadowhawk | 7,014 | 5,417 | 5,561 | 5,561 | 3,896 | 3,896 | 3,896 |
| Salary FT - Hoover Bunkhouse 12,512 18,685 19,494 19,494 21,975 21,975 Salary FT - Hoover Campsite 6,256 9,343 9,747 9,747 10,987 10,987 Salary FT - Hoover Meadowhawk 6,256 9,343 9,747 9,747 10,987 10,987 Total Personnel 638,297 656,678 683,620 683,620 668,939 671,755 6 EMPLOYEE BENEFITS IMMRF Expense - Administration SS Expense - Administration 23,877 28,829 28,829 1,046 1,046 14,032 14,032 | 19001171 51390 | Salary FT - Hoover Grounds | 25.024 | 37.370 | 18 987 | 38 987 | 42 040 | 42.040 | |
| Salary FT - Hoover Campsite 12,474 17,474 21,975 21,975 Salary FT - Hoover Meadowhawk 6,256 9,343 9,747 10,987 10,987 Total Personnel 638,297 656,678 683,620 683,620 668,939 671,755 6 EMPLOYEE BENEFITS IMRF Expense - Administration 23,877 28,829 28,829 28,829 16,417 16,417 SS Expense - Administration 1,046 1,046 1,046 1,046 1,046 14,032 14,032 | 19001172 51390 | Salary FT - Hoover Bunkhouse | 19 519 | 19 695 | 10,00 | 10,404 | 45,749 | 45,749 | 43,949 |
| Salary FT - Hoover Meadowhawk 6,256 9,343 9,747 9,747 10,987 10,987 Total Personnel 638.297 656,678 683,620 683,620 668.939 671,755 6 EMPLOYEE BENEFITS IMRF Expense - Administration 23,877 28,829 28,829 28,829 16,417 16,417 SS Expense - Administration 1,046 1,046 1,046 1,046 14,032 14,032 | 19001173 51390 | Salary FT - Hoover Campsite | 216,21 | 10,003 | 19,494 | 19,494 | 21,975 | 21,975 | 21,975 |
| Total Personnel 638.297 656,678 683,620 683,620 668.939 671,755 6 EMPLOYEE BENEFITS IMRF Expense - Administration 23,877 28,829 28,829 28,829 16,417 16,417 SS Expense - Administration 1,046 1,046 1,046 1,046 1,046 14,032 14,032 | 19001174 51390 | Salary FT - Hoover Meadowhawk | 05740 | 9,343 | 9,/4/ | 9,/4/ | 786,01 | 10,987 | 10,987 |
| Total Personnel 638.297 656,678 683,620 683,620 668.939 671,755 6 EMPLOYEE BENEFITS IMRF Expense - Administration 23,877 28,829 28,829 28,829 16,417 16,417 SS Expense - Administration 1,046 1,046 1,046 1,046 1,046 14,032 14,032 | | | 0676 | 7,343 | 9,147 | 9,747 | 10,987 | 10,987 | 10,987 |
| EMPLOYEE BENEFITS IMRF Expense - Administration 23,877 28,829 28,829 16,417 16,417 SS Expense - Administration 1,046 1,046 1,046 14,032 14,032 | | Total Personnel | 638.297 | 656,678 | 683,620 | 683,620 | 668 939 | 671,755 | 673 175 |
| IMRF Expense - Administration 23,877 28,829 28,829 28,829 16,417 16,417 SS Expense - Administration 1,046 1,046 1,046 14,032 14,032 | | EMPLOYEE BENEFITS | | | | | | | |
| 1,046 1,046 1,046 14,032 14,032 | 190011 61160 | IMRF Expense - Administration SS Frence - Administration | 23,877 | 28,829 | 28,829 | 28,829 | 16,417 | 16,417 | 16,417 |
| | 0/110 11007 | | | 1,046 | 1,046 | 1,046 | 14,032 | 14,032 | 14,032 |

| 56 1,756 56 1,756 56 1,756 56 1,756 56 1,756 57 51 51 51 51 51 51 51 51 51 51 51 51 51 | KCFPD FY21 Amended Bud KCFPD Operating Fund #1900 | KCFPD FY21 Amended Budget for Commission Approval - 06-01-2021 KCFPD Operating Fund #1900 | ACTUAL 2019 | BUDGET 2020 | ACTUAL 11/30/2020 | Est. Year En. 11/30/2020 | 12/15/2020 2021 AMD | 2/2/2021 2021 AMD | 6/1/2021 2021 PROP |
|--|--|--|----------------|----------------|----------------------|-----------------------------|------------------------|----------------------|-----------------------|
| MRICHARP CS Depense - Elits House 1,156 1,056 | 02062 02110001 | Il for in my contract in the c | j | | | | | | |
| MRINKS Exponse - Elis James 1,756 MRINKS Exponse - Elis Center of Ambie Programs 1,757 3,425 3,455 3,455 3,506 3,5 | 19001100 63000 | INIKF/IMKF/SS Expense - Ellis House | 1,196 | 1,066 | 1,066 | | 1,756 | 1,756 | 1.756 |
| MRINSS Expense: His Center Camps Expense 3.75 3.45 | 19001161 63050 | IMIKF/IMKF/SS Expense - Ellis Barn | 1,459 | 1,918 | 1,918 | | 1,756 | 1,756 | 1.756 |
| MRFNS Expense. Ellis Canter Reling Lesson Expense 315 343 345 496 | 19001162 63050 | IMKF/SS Expense - Ellis Grounds | 2,798 | 3,506 | 3,506 | | 3,512 | 3.512 | 3 512 |
| MRFNS Expense - Bits Course by bits Counted Expense 3,129 3,425 3,425 4,936 MRRNS Expense - Bits Course by bits Programs Expense 1,137 1, | 19001163 63050 | IMRE/SS Expense - Ellis Center Camps Expense | 355 | 343 | 343 | | 219 | 517 | 517 |
| MRIVSS Expense Ellis Center Public Payeries Expense 613 181 118 11 | 19001164 63050 | IMRE/SS Expense - Ellis Center Riding Lessons Expense | 3,129 | 3,425 | 3,425 | | 4,936 | 4.936 | 4 936 |
| MRFNSS Expense - Hower Center North Resolutes 1288 118 | 19001165 63050 | IMRF/SS Expense - Ellis Center Birthday Parties Expense | 613 | 787 | 787 | 787 | 622 | 629 | 669 |
| MREASS Expense - Elis Center Other Rentals Expense 1,536 1,633 1,633 1,633 1,633 1,633 1,633 1,633 1,633 1,633 1,633 1,633 1,633 1,635 1,635 1,635 1,635 1,635 1,635 1,635 1,111 MREASS Expense - Elis Center Other Rentals Expense 6,722 8,8960 8,8960 8,8960 9,728 1111 MREASS Expense - Hower Dempster 1,703 2,231 2,231 2,432 2,432 MREASS Expense - Hower Organish 1,703 2,231 2,233 2,432 2,432 MREASS Expense - Env. Education Chapter Department Annual Expense - Env. Education Chapter Department Env. Education Chapter Expense - Env. Education Chapter Department Env. Education Chapter Expense - Env. Education Chapter Env. Education Chapter Expense - Env. Education Chapter Expense - | 19001166 63050 | IMRF/SS Expense - Ellis Center Public Programs Expense | 228 | 118 | 118 | 118 | 304 | 304 | 304 |
| MRFNSS Expense - Ellis Center Weddings Expense 684 649 649 649 611 MRFNSS Expense - Ellis Center Weddings Expense 6,722 8,966 8,960 9,728 MRFNSS Expense - Hower Campaine 1,703 2,231 4,481 4,481 4,481 MRFNSS Expense - Hower Campaine 1,703 2,231 2,231 2,432 1,733 MRFNSS Expense - Hower Campaine 1,703 2,231 2,231 2,432 1,481 MRFNSS Expense - Hower Campaine 4,586 4,038 4,588 2,432 1,481 4,481 MRFNSS Pand Expense - Env. Education Camps 7,545 8,74 8,74 8,74 1,538 MRFNSS Fund Expense - Env. Education Camp Politic Programs 1,666 2,021 2,021 2,031 3,586 MRRNSS Fund Expense - Env. Education Camp Politic Programs 1,666 4,038 8,74 8,74 11,553 MRRNSS Fund Expense - Env. Education Camp Fuller Programs 1,048 1,038 1,038 1,038 1,553 MRKINSS Fund Expense - Env Educir Unite & Nat. Resources 1,048 | 19001167 63050 | IMRE/SS Expense - Sunrise Center North | 1,536 | 1,633 | 1.633 | | 2.260 | 2.260 | 0900 |
| MRESS Expense - Filis Center Other Renails Expense 6,722 8,960 8,960 8,960 9,728 MRESS Expense - Hoover Channels 1,732 2,231 2,231 2,432 MRESS Expense - Hoover Channels 1,732 2,231 2,231 2,432 MRESS Expense - Hoover Channels 1,732 2,231 2,231 2,432 MRESS Expense - Hoover Channels 1,732 2,235 2,235 2,432 MRESS Paperse - Hoover Channels 2,648 2,931 2,178 2,178 2,178 MRESS Paperse - Env. Education Charry Nutril Regiments 2,666 2,921 2,931 1,575 MRESS Fund Expense - Env. Education Cher Public Programs 2,666 2,921 2,931 1,575 MRESS Fund Expense - Env. Education Laws of Nature 2,666 2,921 2,931 1,575 MRESS Fund Expense - Env. Education Laws of Nature 2,666 2,921 2,931 1,575 MRESS Fund Expense - Env. Education Laws of Nature 2,666 2,921 2,931 1,575 MRESS Fund Expense - Env. Education Laws of Nature 2,666 2, | 19001168 63050 | IMRF/SS Expense - Ellis Center Weddings Expense | 684 | 649 | 649 | | | 111 | 111 |
| MREASS Expense - Hoover Grounds 6,722 8,966 8,966 9,728 MREASS Expense - Hoover Counside 3,362 4,481 4,481 4,864 MAREASS Expense - Hoover Counside 1,703 2,213 2,213 2,432 MREASS Fund Expense - Hoover Companies 2,223 2,233 2,235 2,432 MREASS Fund Expense - Env. Education School Programs 4,256 4,038 4,038 4,038 MREASS Fund Expense - Env. Education School Programs 4,256 4,038 4,038 4,038 MREASS Fund Expense - Env. Education Natural Beginnings 7,435 8,874 8,874 1,176 MREASS Fund Expense - Env. Educ. Problem Public Programs 7,645 8,874 8,874 1,179 MREASS Expense - Forkerill Pigett 1,0485 10,308 10,308 10,308 15,553 MREASS Expense - Crounds & Nat. Resources 2,178 8,714 8,714 8,617 1,99 MREASS Expense - Crounds & Nat. Resources 2,558 10,308 10,308 10,308 15,553 Medical Insurance - Hoover Meadovinavk 1,284 </td <td>19001169 63050</td> <td>IMRE/SS Expense - Ellis Center Other Rentals Expense</td> <td>in a second</td> <td>117</td> <td>ı</td> <td></td> <td>Ξ</td> <td>111</td> <td></td> | 19001169 63050 | IMRE/SS Expense - Ellis Center Other Rentals Expense | in a second | 117 | ı | | Ξ | 111 | |
| MREASS Expense - Hoover Campsite 6,722 8,960 8,960 9,728 MREASS Expense - Hoover Campsite 1,702 2,234 4,481 4,864 MREASS Expense - Hoover Campsite 1,702 2,234 2,231 2,432 MREASS Expense - Hoover Campsite 1,702 2,235 2,235 2,432 MREASS Fand Expense - Env. Education Camps 2,696 2,921 2,931 2,931 MREASS Fand Expense - Env. Education Camps 7,64 4,038 4,038 3,896 MREASS Fand Expense - Env. Education Camps 7,64 8,874 8,874 8,874 MREASS Fand Expense - Env. Education Camps 7,64 8,874 8,874 1,575 MREASS Fand Expense - Env. Education Camp Public Publi | | | | | | | | 111 | |
| MRFSS Expense - Hoover Dampside 3.32 | 19001171 63050 | IMRF/SS Expense - Hoover Grounds | 6,722 | 096'8 | 8.960 | L | 9.728 | 9778 | 0 778 |
| MRF/SS Expense - Hower Campsite 1,703 2,231 2,231 2,432 MRF/SS Expense - Hower Meadowhawk 1,722 2,235 2,231 2,432 MRF/SS Fund Expense - Env. Education Camps 4,256 2,921 2,921 2,921 MRF/SS Fund Expense - Env. Education Camps 2,656 2,921 2,921 2,921 2,538 MRF/SS Fund Expense - Env. Education Laws of Yasture 2,666 2,921 2 | 19001172 63050 | IMRF/SS Expense - Hoover Bunkhouse | 3.362 | 4.481 | 4.481 | | 4 864 | 4 864 | 071°C |
| MRF/SS Fund Expense - Hoover Meadowhawk 1,722 2,235 2,235 2,432 MRF/SS Fund Expense - Env. Education School Programs 4,256 4,038 4,038 4,038 2,178 MRF/SS Fund Expense - Env. Education School Programs 1,269 2,217 2,178 3,896 MRF/SS Fund Expense - Env. Education School Programs 7,656 2,921 2,921 2,921 MRF/SS Fund Expense - Env. Education Campain 7,656 2,921 2,921 2,921 MRF/SS Fund Expense - Env. Education Laws of Nature 7,65 2,921 2,921 2,921 MRF/SS Fund Expense - Env. Education Laws of Nature 176 119 119 119 MRF/SS Fund Expense - Env. Education Laws of Nature 176 119 119 119 MRF/SS Fund Expense - Env. Education Laws of Nature 10,485 10,308 10,308 15,553 MRF/SS Fund Expense - Env. Education Laws of Nature 10,485 10,308 15,553 MRF/SS Expense - Pickerill Pigott 2,429 2,4296 2,4296 2,4296 Medical Insurance - Rower Grounds 1,284 3,74 | 19001173 63050 | IMRE/SS Expense - Hoover Campsite | 1,703 | 2,231 | 2,231 | 2 231 | 2 432 | 2 433 | 4,004 |
| MRE/SS Fund Expense - Env. Education Solool Programs A.256 A.038 A.038 | 19001174 63050 | IMRF/SS Expense - Hoover Meadowhawk | 1,722 | 2.235 | 2 235 | 2 235 | 2,132 | 437.0 | 2,432 |
| MRF/SS Fund Expense - Env. Education of School Programs 4,256 2,178 2,178 2,178 3,896 MRF/SS Fund Expense - Env. Education Camps 1,245 2,91 2,91 2,93 3,896 MRF/SS Fund Expense - Env. Education Natural Beginnings 7,545 2,91 8,874 8,874 1,538 MRF/SS Fund Expense - Env. Education Only Public Programs 176 8,874 8,874 8,874 1,538 MRF/SS Fund Expense - Env. Education Laws of Nature 2,696 179 1,19 1,19 1,19 MRF/SS Fund Expense - Env. Education Laws of Nature 176 1,19 1,18 1,18 1,18 1,19 1,19 1,19 1,19 1,19 1,19 1,19 1,19 1,19 1,19 1,19 1,19 1,19 1,19 | | | | | | 6747 | 40r,4 | 754.7 | 764,7 |
| MRF/SS Fund Expense - Env. Education School Programs 4,256 4,038 4,038 4,038 3,806 D/RF/SS Fund Expense - Env. Education Other Public Programs 2,695 2,921 2,921 2,921 2,538 D/RF/SS Fund Expense - Env. Education Other Public Programs 763 517 517 517 640 538 D/RF/SS Fund Expense - Env. Education Other Public Programs 763 517 517 517 650 D/RF/SS Fund Expense - Env. Education Other Public Programs 763 517 641 641 538 D/RF/SS Fund Expense - Env. Education Other Public Programs 766 611 641 538 D/RF/SS Fund Expense - Env. Education Other Public Programs 766 611 641 538 D/RF/SS Fund Expense - Env. Education Other Public Programs 766 119 119 119 538 D/RF/SS Fund Expense - Env. Education Other Public Programs 767 24,296 24,296 24,296 28,796 28,798 D/Redical Insurance - Hoover Campsite 1,284 - 2,296 24,296 24,296 24,055 | 19001175 63050 | IMRF/SS Fund Expense - Env. Education | | 2.178 | 2 178 | 2 178 | | | |
| MRF/SS Fund Expense - Env. Education Camps 2,696 2,921 2,921 2,538 MRF/SS Fund Expense - Env. Education Other Public Programs 7,545 8,874 8,874 11,575 MRF/SS Fund Expense - Env. Education Other Public Programs 7,645 8,874 8,874 11,575 MRF/SS Fund Expense - Env. Education Other Public Programs 7,645 8,874 8,874 11,575 MRF/SS Fund Expense - Env. Education Other Public Programs 7,645 119 11 | 19001176 63050 | IMRF/SS Fund Expense - Env. Education School Programs | 4.256 | 4.038 | 4.038 | | 3 806 | 2 006 | 700 6 |
| MRF/SS Fund Expense - Env. Education Natural Beginnings 7,545 8,874 8,874 8,874 1,575 MRF/SS Fund Expense - Env. Education Ober Layers 176 517 517 517 690 MRF/SS Fund Expense - Env. Education Laws of Nature 176 119 119 641 358 MRF/SS Fund Expense - Env. Education Laws of Nature 176 119 119 641 358 MRF/SS Fund Expense - Env. Education Laws of Nature 176 119 119 641 358 MRF/SS Expense - Preterial Pigott 10,485 10,308 10,308 15,553 15,553 MRF/SS Expense - Preterial Pigott Medical Insurance - Hoover Organistration 23,016 24,296 24,296 24,296 24,296 24,296 24,096 Medical Insurance - Hoover Compassite Medical Insurance - Hoover Meadowhack 1,284 1,284 1,284 2,495 2,405 Medical Insurance - Elis Weddings Medical Insurance - Elis Weddings 1,284 2,777 2,077 2,405 Medical Insurance - Elis Weddings Medical Insurance - Elis Weddings 2,805 | 19001177 63050 | IMRE/SS Fund Expense - Env. Education Camps | 2.696 | 1 00 0 | 2 921 | | 2,630 | 2,070 | 2,670 |
| MRF/SS Fund Expense - Env. Education Other Public Programs 763 517 517 640 641 642 641 641 641 641 641 641 358 IMRF/SS Fapense - Env. Education Instructore - Env. Education Instructore - Administration Addical Instructore - Administration 23,016 24,296 24,296 24,296 24,296 28,789 Medical Instructore - Hoover Grounds Medical Instructore - Hoover Meadowhawk Addical Instructore - Hoover Meadowhawk< | 19001178 63050 | IMRE/SS Fund Expense - Env. Education Natural Beginnings | 7 545 | 8 874 | 8 874 | 2,721 | 11 575 | 2,338 | 2,538 |
| MRF/SS Fund Expense - Env. Education Laws of Nature 208 641 641 641 358 10485 10485 10485 10485 10485 10485 10485 10485 10485 10486 104908 10486 104908 10486 104908 10486 | 19001179 63050 | IMRE/SS Fund Expense - Env. Education Other Public Programs | 743 | 2,00 | 110,0 | 0,0,1 | C/C,11 | 0,5,11 | 575,11 |
| MRE/SS Fund Expense - Env. Educ. Pf Salary - Other Expense 10,485 10,308 10,308 10,308 15,553 15,553 10,308 10,308 10,308 15,553 15,553 10,308 10,308 10,308 10,308 15,553 10,308 10,308 10,308 15,553 10,308 10,308 10,308 15,553 10,308 10,308 10,308 15,553 10,308 10, | 19001180 63050 | IMRE/SS Fund Expense - Env. Education I says of Noture | 000 | 710 | 317 | 517 | 069 | 069 | 069 |
| MRE/SS Expense - Grounds & Nat. Resources 10,485 10,308 10,308 15,553 15,553 10,308 10,308 15,553 10,308 10,308 15,553 10,308 10,308 15,553 10,308 10,308 15,553 10,308 10,308 15,553 10,308 10,308 15,553 10,308 10,308 15,553 10,308 10 | 10001181 63050 | IMRE/SS Fund Expense - Env Educ DT Colom Other Democra | 200 | 1+0 | 140 | 140 | 328 | 358 | 358 |
| IMRE/SS Expense - Grounds & Nat. Resources 10,485 10,308 10,308 15,553 10,308 15,553 10,308 15,553 10,308 15,553 10,308 15,553 10,308 15,553 10,308 15,553 10,308 15,553 10,308 15,553 10,308 15,553 10,308 15,553 10,308 15,553 10,308 12,309 12,309 12,309 12,309 12,304 1 | | ATTACAGE THE EAPTING - THE SHALLS - OURSE EAPTING | 9/1 | 119 | 119 | 119 | | | |
| Medical Insurance - Hover Campite Medical Insurance - Bover Orounds Actical Insurance - Hover Medical Insurance - Hover Medical Insurance - Hover Medical Insurance - Hover Medical Insurance - Env. Education Natural Beginnings Medical Insurance - Env. Education Natural Resources | 19001183 63050 | IMRE/SS Expense - Grounds & Nat. Resources | 10,485 | 10,308 | 10,308 | 10,308 | 15,553 | 15,883 | 15,883 |
| Medical Insurance - Administration 23,016 24,296 24,296 24,296 24,296 28,739 Medical Insurance - Hoover Grounds S,137 8,714 8,714 9,617 Medical Insurance - Hoover Bunkhouse 2,568 4,357 4,357 4,357 Medical Insurance - Hoover Campsite 1,284 - - 2,405 Medical Insurance - Hoover Campsite Medical Insurance - Environmental Education - 2,405 Medical Insurance - Environmental Education A,357 2,077 2,077 Medical Insurance - Elis Weddings Medical Insurance - Elis Weddings 31,161 31,161 29,899 Annual Insurance Premiums (ICRMT) 50,000 63,805 63,805 63,805 52,592 Transfer to FP Liability Insurance Fund Insurance Deductible Insurance Deductible 52,077 2,077 2,077 | | IMRF/SS Expense - Pickerill Pigott | | | | | | | |
| Medical Insurance - Hoover Grounds 23,016 24,296 24,296 28,789 Medical Insurance - Hoover Grounds Medical Insurance - Hoover Bunkhouse Hoover Campsite Medical Insurance - Hoover Campsite Medical Insurance - Hoover Campsite Medical Insurance - Environmental Education Medical Insurance - Env. Education Natural Beginnings 2,077 2,077 2,077 Medical Insurance - Env. Education Medical Insurance - Elis Weddings 33,732 31,161 33,161 29,899 Annual Insurance Premiums (ICRMT) 45,356 63,805 63,805 52,592 Transfer to FP Liability Insurance Fund Insurance Deductible Insurance Deductible 2,077 2,077 2,077 | | | | | | | | | |
| Medical Insurance - Hoover Grounds 5,137 8,714 8,714 8,714 9,617 Medical Insurance - Hoover Bunkhouse 2,568 4,357 4,357 4,357 4,888 Medical Insurance - Hoover Bunkhouse 1,284 - - 2,405 Medical Insurance - Hoover Meadowhank Medical Insurance - Environmental Education - 2,405 Medical Insurance - Environmental Education Medical Insurance - Elis Weddings - 2,405 Medical Insurance - Elis Weddings Medical Insurance - Elis Weddings 38,732 31,161 29,899 2 Annual Insurance Premiums (ICRMT) 50,000 63,805 63,805 63,805 52,592 5 Transfer to FP Liability Insurance Fund Insurance Deductible 50,000 53,805 63,805 52,592 5 | 190011 61230 | Medical Insurance - Administration | 23,016 | 24,296 | 24,296 | 24,296 | 28,789 | 28,789 | 31,550 |
| Medical Insurance - Hoover Grounds 5,137 8,714 8,714 8,714 9,617 Medical Insurance - Hoover Campsite 2,568 4,357 4,357 4,357 4,357 4,368 Medical Insurance - Hoover Campsite 1,284 - 2,405 Medical Insurance - Hoover Meadowhawk - 2,405 Medical Insurance - Environmental Education - 2,405 Medical Insurance - Environmental Education - 2,077 2,077 Medical Insurance - Environmental Education - 2,077 2,077 2,077 Medical Insurance - Environmental Education - 2,077 2,077 2,077 Medical Insurance - Crounds & Nat. Resources 38,732 31,161 31,161 29,899 Annual Insurance Premiums (ICRMT) 50,000 63,805 63,805 63,805 52,592 Transfer to FP Liability Insurance Fund 50,000 53,805 63,805 52,592 52,592 | | Medical Insurance - Hoover | | | | | | | |
| Medical Insurance - Hoover Bunkhouse 2,568 4,357 4,357 4,357 4,357 4,617 Medical Insurance - Hoover Campsite 1,284 - 2,405 Medical Insurance - Hoover Meadowhawk 1,284 - 2,405 Medical Insurance - Environmental Education Amountal Education 2,077 2,077 2,077 Medical Insurance - Environmental Education Medical Insurance - Environmental Education 38,732 31,161 31,161 29,899 Medical Insurance - Grounds & Nat. Resources 38,732 31,161 31,161 29,899 2 Annual Insurance Premiums (ICRMT) 50,000 63,805 63,805 52,592 5 Transfer to FP Liability Insurance Fund 50,000 63,805 63,805 52,592 5 | 19001171 63060 | Medical Insurance - Hoover Grounds | 5 127 | 6150 | 1110 | 1 | 1 | | |
| Medical Insurance - Hoover Campsite 4,808 4,808 4,808 4,808 4,808 4,808 4,507 4,507 4,507 4,507 4,507 4,507 4,507 4,507 4,507 4,507 4,507 2,405 4,505 4,505 4,505 4,505 4,507 2,405 | 19001172 63060 | Medical Insurance - Hoover Bunkhouse | 2560 | 4 357 | 47/0 | 4 262 | /10,6 | 719'6 | 10,721 |
| 1,284 2,405 1,284 2,405 2,405 1,284 1,284 1,284 1,284 1,284 2,405 2,40 | 19001173 63060 | Medical Insurance - Hoover Campoite | 2000 | 1,00,1 | 1,00,4 | 100,4 | 4,808 | 4,808 | 5,360 |
| 1284 2,405 Medical Insurance - Environmental Education 2,405 Medical Insurance - Environmental Education 2,077 2 | 19001174 63060 | Medical Instrument Desires Mandamburg | 1,284 | | | | 2,405 | 2,405 | 2,680 |
| Medical Insurance - Environmental Education Natural Beginnings 2,077 2,089 | 19001175 63060 | Medical Insurance - Houvel Meanownawk | 1,284 | t | | 1 | 2,405 | 2,405 | 2,680 |
| Annual Insurance - Env. Equivation Natural Deginnings 2,077 2,077 2,077 2,077 Medical Insurance - Elis Weddings 38,732 31,161 31,161 29,899 Annual Insurance Premiums (ICRMT) 45,356 63,805 63,805 52,592 Insurance Deductible 50,000 50,000 52,592 | 19001178 63060 | Medical Learning Face Plant Control of the Control | | | | it. | | | |
| Medical Insurance - Grounds & Nat. Resources 38,732 31,161 31,161 29,899 Annual Insurance Premiums (ICRMT) 45,356 63,805 63,805 52,592 Transfer to FP Liability Insurance Fund Insurance Deductible 50,000 50,000 52,592 | 19001168 63060 | Medical Insurance - Env. Education Natural Beginnings Medical Insurance - Ellis Weddings | | 2,077 | 2,077 | 2,077 | | | |
| Annual Insurance Premiums (ICRMT) Annual Insurance Premiums (ICRMT) Transfer to FP Liability Insurance Fund Insurance Deductible | 19001183 63060 | Medical Insurance - Grounds & Nat. Recourses | 20 722 | 21 161 | 21.17.1 | | | | |
| 68000 Annual Insurance Premiums (ICRMT) Transfer to FP Liability Insurance Fund Insurance Deductible | | | 76/,06 | 31,161 | 31,161 | 31,161 | 29,899 | 29,899 | 28,240 |
| Transfer to FP Liability Insurance Fund Insurance Deductible | 190011 68000 | Annual Insurance Premiums (ICRMT) | 45,356 | 63.805 | 63.805 | 63 805 | 65 65 | 52 502 | 100 73 |
| Insurance Deductible | 190011 | Transfer to FP Liability Insurance Fund | 20,000 | | | | | 20000 | 107,70 |
| | | Insurance Deductible | | | | | | | |

| KCFPD FY21 Amended Bud KCFPD Operation Fund #1900 | KCFPD FY21 Amended Budget for Commission Approval - 06-01-2021 KCFPD Operating Fund #1900 | ACTUAL 2019 | BUDGET 2020 | ACTUAL 11/30/2020 | Est. Year End 11/30/2020 | 12/15/2020 2021 AMD | 2/2/2021 2021 AMD | 6/1/2021 2021 PROP |
|--|--|----------------|----------------|----------------------|-----------------------------|------------------------|----------------------|-----------------------|
| | Total Employee Benefits | 242,888 | 225,230 | 225,230 | 225,230 | 230 617 | 231,245 | 236,242 |
| | CONTRACTUAL | | | | | | | |
| 190011 62150 | Contractual Services (RecPro Software) | 1,650 | 1,650 | 1,650 | 1,650 | 2,250 | 2,250 | 2,250 |
| 190011 62150 | Contractual Services (Rendan County Effan Accounts) Contractual Services (City Forest Credits) | | | | | 1,000 | 1,000 | 1,000 |
| 190011 62150 | Contactual Services (EquineGenie Software) | | | | | 0000 | 869 | 869 |
| 190011 62030 | Contractual Services (kendalliorest.com website) Dues/Memberships | 1,114 | 1,595 | 1,595 | 1,595 | | 720 | 720 |
| 190011 62040 | Conferences | 2,570 | 3,429 | 3,429 | 3,429 | 200 | 200 | 500 |
| 190011 62090 | Legal Publications | 245 | 658 | 658 | 859 | 1,000 | 1,000 | 1,000 |
| 190011 | Environmental Education Presenters | 300 | | | | | | |
| 19001163 63020 | Veterinarian & Farrier - Ellis Camps | 1,782 | 2,682 | 2,682 | 2,682 | | | |
| 19001164 63020 19001165 63020 | Veterinarian & Farrier - Ellis Riding Lessons Veterinarian & Farrier - Ellis Birthday Parties | 2,650 | 4,060 | 4,060 | 4,060 | 6,000 | 000'6 | 000.6 |
| 19001166 63020 19001166 63020 | Veterinarian & Farrier - Ellis Public Programs Veterinarian & Farrier - Sunrise Center | | | | | 200 | 200 | 200 |
| 19001168 63070 | | 1,420 | 1,683 | 1,683 | 1.683 | 1.700 | 1 700 | 1 700 |
| 19001183 63070 | Refuse Pickup - Grounds & Natural Resources | 7,009 | 6,493 | 6,493 | 6,493 | 6,500 | 6,500 | 7,500 |
| 1901183 | Event Tent Lease - Ellis | 15,255 | | | | | | |
| 19001183 63540 | Telephone - Grounds & Natural Resources | 11,574 | 12,690 | 12,690 | 12,690 | 11,750 | 11,750 | 10,750 |
| 190011 65490 | Audit | 7,500 | 7,750 | 7,750 | 7,750 | 8,000 | 8,000 | 8,000 |
| 190011 68340 | Farm Lease Contract Expense | 870 | 1 | | | 200 | 200 | 200 |
| 190011 68560 | Credit Card Fee | 6,734 | 6,395 | 6,395 | 6,395 | 6,750 | 6,750 | 8,423 |
| | Total Contractual | 62,981 | 51,798 | 51 798 | 51,798 | 50,450 | 51,868 | 53,541 |
| | COMMODITIES | | | | | | | |
| 190011 62000 | Office Supplies & Postage - Administration | 6,039 | 16,403 | 16,404 | 16,404 | 7,000 | 7,000 | 7,000 |
| 130011 02000 | | | | | | 1,906 | 1,906 | 1,906 |

| KCFPD FY21 Amended Bud KCFPD Operating Fund #1900 | KCFPD FY21 Amended Budget for Commission Approval - 06-01-2021 KCFPD Operating Fund #1900 | ACTUAL 2019 | BUDGET 2020 | ACTUAL 11/30/2020 | Est. Year End 11/30/2020 | 12/15/2020 2021 AMD | 2/2/2021 2021 AMD | 6/1/2021 2021 PROP |
|--|--|----------------|----------------|----------------------|-----------------------------|------------------------|----------------------|-----------------------|
| 19001160 62000 | Office Supplies & Postage - Ellis House | 1,644 | 788 | 788 | 788 | 750 | 750 | 750 |
| 19001183 62180 | Fuel: Gas & Oil Grounds | 13,539 | 13,050 | 13,050 | 13,050 | 13,100 | 13,100 | 13,100 |
| 19001183 62400 | Uniforms - Grounds | 2,366 | 2,313 | 2,313 | 2,313 | ÷ | | 200 |
| | Environmental Education | | | | | | | |
| 19001176 63030 | Env. Educ School Programs Expense | 1,970 | 25 | 52 | 52 | × | | |
| 19001177 63030 | Env. Educ Camps Expense | 1,448 | 475 | 475 | 475 | 209 | 209 | 800 |
| 19001178 65050 | Env. Educ Natural Beginnings Expense | 3,538 | 2,603 | 2,603 | 2,603 | 2,000 | 2,000 | 2.000 |
| 19001180 63030 | Env. Educ Other Public Programs Expense | 664 | 417 | 417 | 417 | 200 | 200 | 200 |
| 05050 00110071 | Env. Educ Laws of inature Expense | 292 | 347 | 347 | 347 | 150 | 150 | 150 |
| 19001183 63090 | Gas - Grounds & Natural Resources | 3,442 | 3,465 | 3,465 | 3,465 | 3,475 | 3,475 | 3,475 |
| 19001184 63100 | Electric - Pickerill Pigott | 4,579 | 7,448 | 7,448 | 7,448 | 7,450 | 7,450 | 7,450 |
| 19001182 63130 | Natural Area Volunteer Supplies | 1,229 | | | | 1 | | 1 |
| | Natural Area Management Supplies | 75 | | | • | | | |
| 190011 63510 | Electric - Administration | 2,830 | 2,982 | 2,982 | 2,982 | 3,000 | 3,000 | 3,000 |
| 190011 68500 | Project Fund Expense (Forest Foundation Purchases) | | 9,310 | 9,259 | 9,259 | | 5,000 | 5,000 |
| 190011 68430 | Promotion/Publicity | 4,914 | 3,637 | 3,637 | 3,637 | 1,000 | 1,000 | 1,000 |
| 190011 68440 | Newsletter | | 216 | 216 | 216 | 400 | 400 | 400 |
| 19001160 62270 | Utilities - Ellis Utilities - Ellis House | (100) | | ; | | | | |
| 19001161 62270 | Utilities - Ellis Barn | 6,967 | 11,183 | 11,183 | 11,183 | 6,120 | 6,120 | 6,120 |
| | | 700% | - 10,13 | 1,019 | 1,019 | 0,120 | 6,120 | 6,120 |
| 19001171 63090 | Utilities & Maintenance - Hoover Hoover - Gas | | | | ; | : | | |
| 19001171 63050 | House District | 7,202 | 5,704 | 5,704 | 5,704 | 5,750 | 5,750 | 5,750 |
| 19001171 62150 | Hower - Other Hiltin | 15,997 | 13,943 | 13,943 | 13,943 | 13,950 | 13,950 | 13,950 |
| | Hower - Chen Cumiles | 3,557 | 4,555 | 4,555 | 4,555 | 4,600 | 4,600 | 4,600 |
| | Hoover - Building Maintenance | 4,581 | 4,919 | 4,919 | 4,919 | 3,000 | 3,000 | 3,000 |
| | Hoover - Crounde Maintenance | 10,813 | 197'8 | 8,261 | 8,261 | 2,000 | 2,000 | 2,000 |
| | House Other Busses | 5,404 | 7,707 | 7,707 | 7,707 | 4,000 | 4,000 | 4,000 |
| | nover - Oner Expenses | 3,189 | 1,032 | 1,032 | 1,032 | 1,000 | 1,000 | 1,000 |
| 19001163 68430 | Promotion/Publicity - Ellis | | | | | | | |
| חירשט המדושטלו | riomonon/rubilcity - Emis Camps | | 39 | 39 | 39 | ٠ | | |

| KCFPD FY21 Amended Bud KCFPD Operating Fund #1900 | KCFPD FY21 Amended Budget for Commission Approval - 06-01-2021 KCFPD Operating Fund #1900 | ACTUAL 2019 | BUDGET 2020 | ACTUAL 11/30/2020 | Est. Year End 11/30/2020 | 12/15/2020 2021 AMD | 2/2/2021 2021 AMD | 6/1/2021 2021 PROP |
|--|--|----------------|----------------|----------------------|-----------------------------|------------------------|----------------------|-----------------------|
| 19001164 68430 | Promotion/Publicity - Ellis Riding Lessons | 36 | 36 | 72 | 35 | | | |
| 19001165 68430 | Promotion/Publicity - Ellis Birthday Parties | 1 | ς. | 00 | 000 | r | , | |
| | Promotion/Publicity - Ellis Weddings | 490 | | | 75.00 | | | * |
| 19001169 68430 | Promotion/Publicity - Ellis Other Rentals | 1 | 3 | Sk | | | | 3 |
| 19001170 68430 | Promotion/Publicity - Ellis 5k | 69 | *6 | × | (9) | | | Jŧ. |
| 19001166 68570 | Volunteer Expense - Ellis Public Programs | 593 | 203 | 203 | 203 | 150 | 150 | 150 |
| | | | | Į. | | i | | |
| 19001163 63000 | Animal Care & Supplies - Ellis | 107 | | 100 | 4 | | | |
| 19001164 62000 | Aminat Care & Supplies - Eins Camps | 474 | 192 | 192 | 192 | | | |
| 10001165 62000 | Assistant Care & Supplies - Edis Riumg Lessons | 6,8,0 | 8,318 | 8,318 | 8,318 | 9,200 | 9,200 | 9,200 |
| 19001166 63000 | Animal Care & Sumplies - Ellis Dilutaly Fattes Animal Care & Sumplies - Ellis Dublis Promons | 241 | 681 | 189 | 681 | | | |
| 19001167 63000 | Animal Care & Supplies - Sunrise Center North | 1 232 | 1 725 | 3021 | 3021 | 1 200 | 000 | 000 |
| | | | Ç⊋LÉ* | 1,143 | 77,51 | 002,1 | 1,200 | 007 ^c 1 |
| | Horses Acquisition & Tack - Ellis | | | | | | | |
| 19001163 63010 | Horses Acquisition & Tack - Ellis Camps | | 500 | 500 | 200 | | | |
| 19001164 63010 | Horses Acquisition & Tack - Ellis Riding Lessons | | 1,000 | 1,000 | 0001 | | | 2 500 |
| 19001165 63010 | Horses Acquisition & Tack - Ellis Birthday Parties | | 200 | 500 | 200 | | | 2006 |
| | Horses Acquisition & Tack - Ellis Public Programs | | | | | | | |
| | Haiforme - Ellie | | | | | | | |
| 19001163 62400 | Informe - Hills Comme | | | | | | | |
| 19001164 62400 | Iniforms - Ellio Diding I pecons | | 1 6 | 1 - 6 | | 96 | 1 | |
| 19001165 62400 | Uniforms - Filis Righday Darties | | 318 | 318 | 318 | | 1 | |
| 10001169 62400 | Uniforms Diff Worldian | | | | 1.0 | 4 | | |
| 12001100 02400 | OHIOHIB - EHS WEULIBS | | r | 1 | * | 30 | 1 | |
| | Program Supplies - Ellis | | | | | | | |
| 19001163 63030 | Program Supplies - Ellis Camps | 734 | 402 | 400 | 407 | 750 | 450 | 760 |
| 19001165 63030 | Program Sumilies - Ellis Birthday Parties | 908 | 365 | 326 | 724 | 000 | 420 | 450 |
| 19001170 63030 | Program Supplies - Ellis 5K | 33 | CCC | CCC | 222 | 200 | 300 | 300 |
| | | 46 | | • | • | + | 1 | |
| 19001184 63030 | Supplies: Shop - Pickerill Pigott | 1,038 | 30 | 30 | 30 | * | ٠ | |
| 19001183 63110 | Supplies: Shop - Grounds | 5,898 | 5,659 | 5,659 | 5,659 | 4,150 | 4,150 | 4,150 |
| | Total Commodities | 132,664 | 141,387 | 141,338 | 141,338 | 105,630 | 110,630 | 114,221 |
| | OTHER | | | | | | | |
| | Equipment - Administration | | 71.5 | : | | | | |
| 19001183 62160 | Equipment - Grounds & Natural Resources | 25,941 | 34,974 | 34,974 | 34,974 | 15,000 | 15,000 | 19,641 |
| | | | | | | | | |

| 9001186 6850 | KCFPD FY21 Amended Bud KCFPD Operating Fund #1900 | KCFPD FY21 Amended Budget for Commission Approval - 06-01-2021 KCFPD Operating Fund #1900 | ACTUAL 2019 | BUDGET 2020 | ACTUAL 11/30/2020 | Est. Year End 11/30/2020 | 12/15/2020 2021 AMD | 2/2/2021 2021 AMD | 6/1/2021 2021 PROP |
|--|--|--|----------------|----------------|----------------------|-----------------------------|------------------------|----------------------|-----------------------|
| 10 6540 Countbulions (Drainage District Tax Assessments) | 19001183 68530 | Preserve Improvements - Administration Preserve Improvements - Grounds & Natural Resources | 10,764 | 21,455 | 21,455 | 21,455 | 250 | 250 | |
| Grounds & Maint. Ellis Grounds & Grounds & Maint. Ellis Grounds & Maint. Ellis Grounds & Ground | 190011 68540 | Contributions (Drainage District Tax Assessments) | 2,411 | 2,392 | 2,392 | 2,392 | | 1,000 | |
| Grounds & Maint. Ellis Barn 1952 2,342 2,344 2,344 2,000 2,000 Grounds & Maint. Ellis Barn 1952 2,342 2,344 2,344 4,000 4,000 Grounds & Maint. Ellis Barn 1,000 4,000 4,000 4,000 Grounds & Maint. Ellis Barn 1,000 4,000 4,000 4,000 Grounds & Maint. Ellis Camps 1,000 1,000 1,000 Grounds Scenario Deposit Refunds - Ellis Camps 1,000 1,000 Grounds & Maint. Ellis Camps 1,000 1,000 1,000 1,000 Grounds & Maint. Ellis Camps 1,000 1,000 1,000 1,000 Grounds & Maint. Ellis Camps 1,000 1,000 1,000 1,000 1,000 Grounds & Maint. Ellis Camps 1,000 1,000 1,000 1,000 1,000 1,000 1,000 Grounds & Maint. Ellis Camps 1,000 | 19001160 68580 | Grounds & Maintenance Equipment - Ellis Grounds & Maint Ellis House | 3,305 | 3,817 | 3,817 | 3,817 | 3,800 | 3.800 | |
| Security Deposit Refunds Security Deposit Refunds Security Deposit Refunds Security Deposit Refunds Camps Security Deposit Refunds Camps Security Deposit Refunds Camps Security Deposit Refunds Camps | 19001161 68580 19001162 68580 | Grounds & Maint Ellis Barn Grounds & Maint Ellis Grounds | 1,952 | 2,342 5,724 | 2,342 | 2,342 | 2,000 | 2,000 | |
| Security Deposit Refunds - Ellis Ruing (assense 600 | 00000 62110001 | Security Deposit Refunds Security Deposit Refunds | | | | | | | ! |
| 6 6340 Security Deposit Refunds - Ellis Vaddings | 19001164 63040 | Security Deposit Refunds - Ellis Ráding Lessons Security Deposit Refunds - Ellis Ráding Lessons | | | 1 | | | 200 | |
| 1,50,40 Security Deposit Refunds - Eliis Other Remains 1,50,40 4,200 4,200 4,200 7,400 4,200 5,617 5,000 | 19001166 63040 | Security Deposit Refunds - Ellis Public Programs | | 8 | 06 | 06 | | 000,1 | |
| 1,012 300 30 | 19001169 63040 | Security Deposit Relunds - Ellis Weddings Security Denosit Bofunds - Ellis Other Boatels | 7,960 | 4,200 | 4,200 | 4,200 | 4,200 | 7,400 | |
| Security Deposit Refunds - Eury. Education School Programs 1,854 1,854 1,854 1,854 1,200 Security Deposit Refunds - Eury. Education Natural Beginnings Security Deposit Refunds - Eury. Education Natural Beginnings Security Deposit Refunds - Eury. Education Public Programs 2,456 2,456 2,456 1,200 Security Deposit Refunds - Eury. Education Public Programs 1,234 1,24,564 1,24,544 1 | 19001171 63040 | Security Deposit Refunds - Lins Outer Rentals Security Deposit Refunds - Hoover | 14,474 | 300 | 300 | 300 | 300 | 300 | |
| Security Deposit Refunds - Env. Education Camps 2,456 2,456 2,456 2,456 1,200 8 63040 Security Deposit Refunds - Env. Education Public Programs 2,456 2,456 2,456 2,456 1,200 8 63040 Security Deposit Refunds - Env. Education Public Programs 1,234 1,234 1,234 1,234 1,000 8 63040 Security Deposit Refunds - Env. Education Public Programs 1,234 1,234 1,234 1,234 1,000 9 187 9,187 9,187 3,500 3,500 1 1,204 1,234 1,234 1,234 1,000 1 1,204 Expense - Ellis Camps 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,000 1,000 1 1,204 1,204 1,204 1,204 1 1,204 1,204 1,204 1 1,204 1,204 1,204 1 1,204 1,204 1,204 1 1,204 1,204 1,204 1 1,204 1,204 1,204 1 1,204 1,204 1,204 1 1,204 1,204 1,204 1 1,204 1,204 1,204 1 1,204 1,204 1,204 1 1,204 1,204 1,204 1 1,204 1,204 1,204 1 1,204 1,204 1,204 1 1,204 1,204 1,204 1 1,204 1,204 1,204 1 1,204 1,204 1,204 1 1,204 1,204 1,204 1 1,204 1,204 1,204 1 1,204 | 19001176 63040 | Security Deposit Refunds - Env. Education School Programs | . L | 1,854 | 1,854 | 1,854 | | | |
| No. 10 Security Deposit Refunds - Env. Education Natural Beginnings 9,187 9,187 9,187 9,187 3,500 20 20 20 20 20 20 20 | 19001177 63040 | Security Deposit Refunds - Env. Education Camps | | 2,456 | 2,456 | 2,456 | | 1,200 | |
| 2,9 0.3.44 2,48 5,48 5,48 1,000 1,000 1,000 1,234 1,234 1,234 1,234 1,234 1,234 1,000 1, | 19001178 63040 | Security Deposit Refunds - Env. Education Natural Beginnings | | 9,187 | 9,187 | 6,187 | | 3,500 | |
| Contingency Contingency Contingency Contingency Credit Card Fee Expense - Ellis Camps Credit Card Fee Expense - Ellis Camps Credit Card Fee Expense - Public Programs Total Other Total Expenditures Total Expensive Total Expen | 19001179 63040 | Security Deposit Refunds - Env. Education Public Programs | | 548 | 548 | 548 | | 1,000 | |
| Contingency Credit Card Fee Expense - Ellis Camps 60 11,500 Credit Card Fee Expense - Public Programs 73,127 105,201 105,201 105,201 36,167 59,067 Total Other 1,150,157 1,180,293 1,207,186 1,207,186 1,091,803 1,124,564 Operating Surplus / (Deficit) (2,474) (14,868) (145,061) (145,061) 0 215,319 341,883 369,915 196,820 196,820 196,821 412,140 | 19001183 63040 | Security Deposit Refunds - Grounds | | 1,234 | 1,234 | 1,234 | | | |
| Credit Card Fee Expense - Ellis Camps 60 36,167 36,167 59,067 Credit Card Fee Expense - Public Programs 73,127 105,201 105,201 105,201 36,167 59,067 Total Other 1,150,157 1,180,293 1,207,186 1,207,186 1,091,803 1,124,564 Total Expenditures (2,474) (14,868) (145,061) (145,061) 0 215,319 Operating Surplus / (Deficit) 341,883 369,915 196,820 196,821 412,140 | | Contingency | | | | | | 11,500 | |
| Total Other Total Expenditures Total Expenditures Total Expenditures Total Expenditures Operating Surplus / (Deficit) 36,167 59,067 1,150,157 1,180,293 1,207,186 1,091,803 1,124,564 Operating Surplus / (Deficit) 36,167 59,067 1,124,564 1,124,564 1,124,661) 1,124,661) 1,124,661 | | Credit Card Fee Expense - Ellis Camps Credit Card Fee Expense - Public Programs | 60 | | | | | | |
| Total Expenditures Total Expenditures 1,150,157 1,180,293 1,207,186 1,207,186 1,091,803 1,124,564 Operating Surplus / (Deficit) 341,883 369,915 196,820 196,820 196,821 412,140 | | Total Other | 73,127 | 105.201 | 105.201 | 105 201 | 36,167 | 59,067 | |
| Operating Surplus / (Deficit) (2,474) (14,868) (145,061) (145,061) 0 341,883 369,915 196,820 196,820 196,821 | | Total Expenditures | 1,150,157 | 1,180,293 | 1,207,186 | 1,207,186 | 1,091,803 | 1,124,564 | 1,143,602 |
| 341,883 369,915 196,820 196,820 196,821 | | Operating Surplus / (Deficit) | (2,474) | (14,868) | (145,061) | (145,061) | 0 | 215,319 | 195,314 |
| | nding Balance | | 341,883 | 369 915 | 196,820 | 196.820 | 196 821 | 412,140 | 392,135 |

| KCFPD FY21 Amended Budget for Commission Approval - 06-01-2021 KCFPD Operating Fund #1900 | ACTUAL 2019 | BUDGET 2020 | ACTUAL 11/30/2020 | ACTUAL Est. Year End 11/30/2020 11/30/2020 | 12/15/2020 2021 AMD | 2/2/2021 2021 AMD | 6/1/2021 2021 PROP |
|---|----------------|----------------|----------------------|---|------------------------|----------------------|-----------------------|
| | | | | | | | |
| Beginning Balance | 344,356 | 384,783 | 341,881 | 341,881 | 196,821 | 196,821 | 196,821 |
| Total Revenue | 1,147,684 | 1,165,425 | 1,062,126 | 1,062,126 | 1,091,803 | 1,339,883 | 1,338,916 |
| Total Personnel | 638,297 | 656,678 | 683,620 | 683,620 | 668,939 | 671,755 | 673,175 |
| Total Employee Benefits | 242,888 | 225,230 | 225,230 | 225,230 | 230,617 | 231,245 | 236,242 |
| Total Contractual | 62,981 | 51,798 | 51,798 | 51,798 | 50,450 | 51,868 | 53,541 |
| Total Commodities | 132,664 | 141,387 | 141,338 | 141,338 | 105,630 | 110,630 | 114,221 |
| Total Other | 73,327 | 105,201 | 105,201 | 105,201 | 36,167 | 59,067 | 66,424 |
| Total Expenditure | 1,150,157 | 1,180,293 | 1,207,186 | 1,207,186 | 1,091,803 | 1,124,564 | 1,143,602 |
| Surplus / (Deficit) | (2,474) | (14,868) | (145,061) | (145,061) | 0 | 215,319 | 195,314 |
| Ending Balance | 341,883 | 369,915 | 196,820 | 196,820 | 196,821 | 412,140 | 392,135 |

FOREST PRESERVE CAPITAL PROJECTS - SERIES 2007 BOND PROCEEDS Fund 1901

| ACCOUNT & DESCRIPTION | ACTUAL 2018 | ACTUAL 2019 | BUDGET 2020 | Current YTD 11/30/2020 | Est. Year End 11/30/2020 | 12/15/2020 2021 AMD | 2/2/2021 2021 AMD | 6/1/2021 2021 PROP. | % CHANGE IN BUDGET |
|--|----------------|---|---|---|--|------------------------------|------------------------------|------------------------------|-----------------------|
| Beginning Balance | 1,536,962 | 1,386,715 | 837,823 | 829,351 | 829,351 | 606,261 | 606,261 | 606,288 | -27.6% |
| REVENUE 190111 40330 Transfer In from Land Cash Fund #956 190111 40340 Transfer In from FRB Cropland Conversion #1909 190111 40350 Transfer In from Project Improvement Fund #951 190111 41350 Interest Income 190111 42250 Land Acquisition Grant - ICECF 190111 42420 Preserve Improvements - ICECF 190111 43420 Project Fund Deposit - IDNR PARC Grant 190111 43430 Project Fund Deposit - The Morton Arb USFS 190111 43440 Trail Improvement Escrow Account Donations Project Fund Deposit - RTP Land Acquisition Grant - OSLAD KC Hwy Mitigation Hoover Easements | 2,661 | 1,500 36,000 23,177 32,000 177,100 316,500 42,000 | 127,983 103,900 375,227 1,500 8,520 25,000 25,000 23,177 | 103,900 845 21,601 8,520 10,000 | 103,900 0 845 21,601 8,520 10,000 | | \$ \$ | 30 | |
| Total Revenue | 673,692 | 815,777 | 1,190,307 | 144,866 | 144,866 | 0 | 45 | 20 | -100.0% |
| EXPENDITURE 190111 61360 Transfer Out to OSLAD P&P #1905 190111 61370 Transfer Out to FRB RTP Project Fund #1908 190111 61370 Transfer Out to Pickerill-Pigott IDNR-PARC Grant (Fund TBA) 190111 61370 Transfer Out to Capital Projects Fund #1907 190111 61370 Transfer Out to Capital Projects Fund #1907 190111 61374 Transfer Out to Capital Frogets Fund #1909 | | | 316,500 | 316,500 | 316,500 | 158,250 393,698 54,313 | 158,250 393,698 54,313 | 158,250 393,698 54,313 | |
| | | 70,000 | 60,000 | 553 | 553 | | 4.4 | 47 | |
| | 831,919 | 420,865 124,470 1,900 | 1,493,747 5,000 3,500 | 11,132 36,588 24 483 | 36,588 36,588 24 483 | | | | |
| 19011160 68590 Building Improvements/Demolition - Ellis | | 60,000 | 000'09 | 2,500 | 2,500 | | | | |
| 19011171 68530 Preserve Improvements/Master Planning - Hoover | | 31,500 | 49,000 | 157 | 157 | | | | |
| 19011182 - 08300 Natural Areas Management Salaries Equipment Replacement - Hoover Preserve Improvements/Master Planning Building Improvements/Demolition - Hoover | | 73,000 2,500 11,950 1,380,052 19,000 | 000*99 | | | | | | |
| Total Expenditure | 831,919 | 2,195,237 | 2,228,130 | 367,956 | 367,956 | 606,261 | 606,308 | 606,308 | -72.8% |
| | (158,227) | (1,379,460) | (837,823) | (837,823) | (223,090) | (606,261) | (606,263) | (606,288) | |
| Ending Balance | 1 378 736 | 7 255 | (200 000) | 606 261 | 606 261 | (0) | (2) | 0 | -100.0% |

FOREST PRESERVE DEBT SERVICE - SERIES 2003/2012 Fund 1902

| ACCOUNT & DESCRIPTION | ACTUAL 2018 | ACTUAL 2019 | BUDGET 2020 | Current YTD 11/30/2020 | Est. Year End BUDGET 11/30/2020 2021 | BUDGET 2021 | 6/1/2021 PROP AMD | % CHANGE IN BUDGET |
|--|----------------|-----------------------------|----------------|------------------------|---|-------------------|--------------------------|-----------------------|
| Beginning Balance | 894,490 | 906,054 | 909,838 | 909,838 | 909,838 | 924,379 | 924,379 | 1.6% |
| REVENUE 190211 41010 Current Tax 190211 41350 Interest Income | 401,784 | 412,058 | 421,600 | 420,438 1,177 | 420,438 1,177 | 430,500 1,300 | 430,500 1,300 | |
| Total Revenue | 404,414 | 415,691 | 423,600 | 421,616 | 421,616 | 431,800 | 431,800 | 1.9% |
| EXPENDITURE 190211 68640 Fiscal Agent Fee 190211 68650 Debt Service - Interest 2012 109211 68700 Debt Service - Principal 2012 | 62,850 | 14,181 52,725 345,000 | 42,075 | 42,075 | 42,075 365,000 | 30,825 385,000 | 450 30,825 385,000 | |
| Total Expenditure | 392,850 | 411,906 | 407,075 | 407,075 | 407,075 | 415,825 | 416,275 | 2.1% |
| Revenue over/(under) Expenditure | 11,564 | 3,784 | 16,525 | 14,541 | 14,541 | 15,975 | 15,525 | |
| Ending Balance | 906,054 | 909,838 | 926,363 | 924,379 | 924,379 | 940,354 | 939,904 | 1.5% |

FOREST PRESERVE DEBT SERVICE - SERIES 2007/2015/2016/2017 **Fund 1903**

| ACCOUNT & DESCRIPTION | ACTUAL 2018 | ACTUAL 2019 | BUDGET 2020 | Current YTD 11/30/2020 | Est. Year End 11/30/2020 | BUDGET 2021 | % CHANGE IN BUDGET |
|---|-------------------|--------------------|-------------------|------------------------|-----------------------------|-------------------|-----------------------|
| Beginning Balance | 4,153,241 | 4,212,023 | 4,055,534 | 4,055,534 | 4,055,534 | 4,222,406 | 4.1% |
| REVENUE 190311 41010 Current Tax 190311 41350 Interest Income | 4,325,082 | 3,840,346 4,437 | 4,258,473 | 4,251,096 | 4,251,096 | 4,605,188 | |
| Total Revenue | 4,329,844 | 3,844,782 | 4,260,973 | 1 4,252,411 | 4,252,411 | 4,606,388 | 8.1% |
| EXPENDITURE 190311 66500 Other Expenditure 190311 68640 Fiscal Agent Fee | | 31,981 | | 475 | 475 | 475 | |
| Debt Service - Interest 2007 Debt Service - Principal 2007 | 52,500 | | | 22 | 20 | 000 | |
| 190311 68710 Debt Service - Interest 2015 | 357,676 | 356,953 | 356,053 | 356,053 | 356,053 | 355,018 | |
| 190311 08/20 Debt Service - Principal 2015 190311 68730 Debt Service - Interest 2016 | 40,000 305,787 | 45,000 302,087 | 45,000 298,188 | 45,000 | 45,000 | 45,000 294 188 | |
| 190311 68740 Debt Service - Principal 2016 | 90,000 | 95,000 | 100,000 | 100,000 | 100,000 | 100,000 | |
| 190311 68750 Debt Service - Interest 2017 | 945,100 | 880,250 | 759,875 | 759,875 | 759,875 | 627,625 | |
| 130211 06/00 Deat service - Fillicipal 2017 | 200,000 | 2,290,000 | 000,626,2 | 7,525,000 | 7,525,000 | 2,765,000 | |
| Total Expenditure | 4,271,063 | 4,001,271 | 4,084,116 | 4,085,540 | 4,085,540 | 4,188,256 | 2.5% |
| Revenue over/(under) Expenditure | 58,781 | (156,489) | 176,857 | 166,871 | 166,871 | 418,132 | 136.4% |
| Ending Balance | 4,212,023 | 4,055,534 | 4,232,390 | 4,222,405 | 4,222,406 | 4,640,537 | %9.6 |

KCFP Endowment Fund Fund 1904

| ACCOUNT & DESCRIPTION | ACTUAL 2018 | ACTUAL 2019 | BUDGET 2020 | Current YTD 11/30/2020 | Current YTD Est. Year End 11/30/2020 | BUDGET 2021 | % CHANGE IN BUDGET |
|--|----------------|----------------|----------------|------------------------|--------------------------------------|----------------|-----------------------|
| Beginning Balance | 845,209 | 860,060 | 879,882 | 879,882 | 879,882 | 886,597 | 0.8% |
| REVENUE 190411 41350 Interest Income | 14,851 | 19,822 | 10,000 | 6,715 | 6,715 | 6,715 | |
| Total Revenue | 14,851 | 19,822 | 10,000 | 6,715 | 6,715 | 6,715 | -32.9% |
| EXPENDITURE 190411 62150 Contractual Services | | | | | | 40,000 | |
| Total Expenditure | 0 | 0 | 0 | 0 | 0 | 40,000 | |
| Revenue over/(under) Expenditure | 14,851 | 19,822 | 10,000 | 6,715 | 6,715 | (33,285) | |
| Ending Balance | 860,060 | 879,882 | 889,882 | 886,597 | 886,597 | 853,312 | -4.1% |

FP OSLAD Grant Fund Fund 1905

| ACCOUNT & DESCRIPTION | ACTUAL 2019 | BUDGET 2020 | Current YTD 11/30/2020 | Est. Year End 11/30/2020 | 12/15/2020 2021 AMD | 2/2/2021 2021 AMD | % CHANGE IN BUDGET |
|---|----------------|--------------------|------------------------------------|------------------------------------|------------------------|----------------------|-----------------------|
| Beginning Balance | | 138,391 | 138,391 | 138,391 | (133,172) | (133,172) | |
| REVENUE 190511 Interest Income 190511 40300 Transfer from Bond Proceeds #1901 190511 42970 Grant Award | 158,250 | 316,500 158,250 | 316,500 | 316,500 | 158,250 158,250 | 158,250 158,250 | |
| Total Revenue | 158,250 | 474,750 | 316,500 | 316,500 | 316,500 | 316,500 | -33.3% |
| EXPENDITURE 190511 Transfer to FP Capital Fund #1907 190511 66500 Other Expenditures 190711 68530 Preserve Improvements/Master Plan | 19,859 | 611,151 | | | 158,250 | 158,250 | |
| | | | 15,574 825 31,674 539,991 | 15,574 825 31,674 539,991 | 5,000 | 5,238 19,840 | |
| Total Expenditure | 19,859 | 611,151 | 588,064 | 588,064 | 183,328 | 183,328 | |
| Revenue over/(under) Expenditure | 138,391 | (136,401) | (271,564) | (271,564) | 133,172 | 133,172 | |
| Ending Balance | 138,391 | 1,990 | (133,172) | (133,172) | (0) | (0) | |

FP Project Improvement (Project Reserve) Fund Fund 1906

| ACCOUNT & DESCRIPTION | CRIPTION | ACTUAL 2019 | BUDGET 2020 | Current YTD 11/30/2020 | Current YTD Est. Year End 11/30/2020 11/30/2020 | 12/15/2020 2021 AMD | 2/2/2021 2021 AMD | 6/1/2021 2021 PROP | % CHANGE IN BUDGET |
|---|--|--------------------------------------|----------------|------------------------|--|------------------------|----------------------|-----------------------|-----------------------|
| Beginning Balance | | | 376,244 | 376,244 | 376,244 | 379,116 | 379,116 | 379,144 | |
| REVENUE 190611 40300 190611 41350 190611 190611 | 40300 Transfer from Bond Proceeds #1901 41350 Interest Income Other Revenues Transfer from FP Debt Service 2012 Transfer from FP Debt Service 2015 | 329,065 1,016 14,181 31,981 | 202,494 | 2,871 | 2,871 | 0 | 29 | 77 | |
| | Total Revenue | 376,244 | 202,494 | 2,871 | 2,871 | 0 | 29 | 72 | -100.0% |
| EXPENDITURE 190611 61300 190611 | ENDITURE 190611 61300 Transfer to Bond Proceeds #1901 190611 Transfer to Capital Projects Fund #1907 | | 375,228 | | | 164,116 | 164,116 | 164,116 | |
| 190611 190611 | Transfer to FP Operating Fund #1900 Project Improvement Contingency Other Expenses | | | | | | 215,029 | 215,086 | |
| | Total Expenditure | 0 | 375,228 | 0 | 0 | 164,116 | 379,145 | 379,202 | |
| Revenue over/(under) Expenditure | er) Expenditure | 376,244 | (172,734) | 2,871 | 2,871 | (164,116) | (379,116) | (379,130) | |
| Ending Balance | | 376,244 | 203,510 | 379,116 | 379,116 | 215,000 | (0) | 14 | -100.0% |

Forest Preserve Capital Fund Fund 1907

| 12/15/2020 2/2/2021 6/1/2021 % CHANGE 2021 AMD 2021 AMD IN BUDGET |
|---|
| Current YTD 1 11/30/2020 2 |
| ACCOUNT & DESCRIPTION |

FP Fox River Bluffs Public Access RTP Grant Fund Fund 1908

| ACCOUNT & DESCRIPTION | ACTUAL 2019 | BUDGET 2020 | Current VTD 11/30/2020 | Current YTD Est. Year End 11/30/2020 | BUDGET 2021 | 6/1/2021 PROP AMD | % CHANGE IN BUDGET |
|---|----------------|----------------|---------------------------|--------------------------------------|----------------|----------------------|-----------------------|
| Beginning Balance | | 0 | | 0 | 0 | 0 | |
| REVENUE 190811 | | 177,100 | 0 | 0 | | 30,300 | |
| Total Revenue | 0 | 221,475 | 0 | 0 | 0 | 30,300 | |
| 2 | | 221,475 | 0 | 0 | | | |
| 190811 XXXXX Professional Services (Architect & Engineer) | | | | | | 30,300 | |
| Total Expenditure | 0 | 221,475 | 0 | 0 | 0 | 30,300 | |
| Revenue over/(under) Expenditure | 0 | 0 | 0 | 0 | 0 | 0 | |
| Ending Balance | 0 | 0 | 0 | 0 | 0 | 0 | |

FP Fox River Bluffs Public Cropland Conversion Fund **Fund 1909**

| ACCOUNT & DESCRIPTION | ACTUAL 2019 | BUDGET 2020 | Current YTD Est. Year End 11/30/2020 | Est. Year End 11/30/2020 | BUDGET 2021 | 6/1/2021 PROP AMD | % CHANGE IN BUDGET |
|---|----------------|----------------|--------------------------------------|-----------------------------|-----------------------|-----------------------|-----------------------|
| Beginning Balance | | 0 | | 0 | (39,313) | (39,313) | |
| REVENUE 190911 40120 Transfer In from KC Highway 190911 Transfer In from Bond Proceeds Fund #1901 190911 42970 Grant Award | | 30,000 | 150,000 | 150,000 | 0 54,313 30,001 | 0 54,313 30,000 | |
| Total Revenue | 0 | 180,000 | 150,000 | 150,000 | 84,314 | 84,313 | |
| EXPENDITURE 190911 61300 Transfer to FP Capital Fund #1907 190911 66500 Other Expenditures 190911 68530 Preserve Improvements/Master Plan | | 103,900 | 103,900 75,413 10,000 | 103,900 75,413 10,000 | 30,001 15,000 | 30,001 | |
| Total Expenditure | 0 | 180,000 | 189,313 | 189,313 | 45,001 | 45,001 | |
| Revenue over/(under) Expenditure | 0 | 0 | (39,313) | (39,313) | 39,313 | 39,312 | |
| Ending Balance | 0 | 0 | (39,313) | (39,313) | 0 | 0 | |

FP Land Cash Fund 1910

| | | | | | | | | Notes |
|---|----------------|----------------|------------------------|---|------------------------|----------|-----------------------|---------------------------|
| ACCOUNT & DESCRIPTION | ACTUAL 2019 | BUDGET 2020 | Current YTD 11/30/2020 | Current YTD Est. Year End 11/30/2020 11/30/2020 | 12/15/2020 2021 AMD | BUDGET 9 | % CHANGE IN BUDGET | |
| Beginning Balance | 127,983 | 127,983 | | 0 | .0 | .0. | | |
| REVENUE 191011 Transfer In From Land Cash 191011 Interest Income | | | | | 157,514 | 157,514 | | |
| | | | | | | 136,640 | 0 | ICECF Grant Reimbursement |
| 42490 | | 40 000 | | | | 52,700 | | |
| Total Revenue | 0 | 40,000 | 0 | 0 | 157,514 | 346,854 | | |
| EXPENDITURE 191011 Land Acquisition 191011 61300 Transfer Out to Capital Fund #1907 | | 127 983 | | | 157,514 | 210,214 | | |
| Total Expenditure | 0 | 127,983 | 0 | 0 | 157,514 | 210,214 | | |
| Revenue over/(under) Expenditure | 0 | (87,983) | 0 | 0 | 0 | 136,640 | | |
| Ending Balance | 127,983 | 40,000 | 0 | 0 | 0 | 136,640 | 241.6% | |

KCFP Liability Insurance Fund

Fund 1911

| ACCOUNT & DESCRIPTION | ACTUAL 2019 | BUDGET 2020 | Current YTD 11/30/2020 | Current YTD Est. Year End 11/30/2020 11/30/2020 | BUDGET 2021 | % CHANGE IN BUDGET |
|---|----------------|----------------|------------------------|--|----------------|-----------------------|
| Beginning Balance | | 50,000 | 50,000 | 50,000 | 50,000 | |
| REVENUE 191111 Interest Income 191111 Transfer from FP Operation Fund | 50,000 | | | | | |
| Total Revenue | 50,000 | 0 | 0 | 0 | 0 | |
| EXPENDITURE 191111 68990 Claims/Deductibles | | 25,000 | | | 25,000 | |
| Total Expenditure | 0 | 25,000 | 0 | 0 | 25,000 | |
| Revenue over/(under) Expenditure | 50,000 | (25,000) | 0 | 0 | (25,000) | |
| Ending Balance | 50,000 | 25,000 | 50,000 | 50,000 | 25,000 | |

FOREST PRESERVE SERIES 2021 BOND PROCEEDS

Fund 1912

| ACCOUNT & DESCRIPTION | BUDGET % CHANGE 2021 PROP. IN BUDGET | % CHANGE BUDGET NOTES |
|--|---|--|
| Beginning Balance | 0 | |
| REVENUE 191211 XXXXX Series 2021 Bond Proceeds | 1,200,000 | |
| Total Revenue | 1,200,000 | |
| EXPENDITURE 191211 XXXXX Transfer Out to FRB RTP Project Fund #1908 191211 XXXXX Transfer Out to Pickerill-Pigott IDNR-PARC Project Fund #1913 | 30,300 1,111,895 | Fox River Bluffs RTP Public Access Improvements Pickerill Estate House Conversion Project |
| Total Expenditure | 1,142,195 | |
| Ending Balance | 57,805 | |

FP Pickerill-Pigott IDNR-PARC Project Fund Fund 1913

| ACCOUNT & DESCRIPTION | BUDGET 2021 PROP. | % CHANGE IN BUDGET | Notes |
|---|----------------------|-----------------------|------------------------------------|
| Beginning Balance | | | |
| REVENUE 191311 XXXXX Interest Income 191311 XXXXX Transfer from Bond Proceeds #1912 191311 XXXXXXIDNR PARC Grant Award | 1,111,895 | | Series 2021 Bond Proceeds Transfer |
| Total Revenue | 1,111,895 | | ×. |
| EXPENDITURE 191311 XXXXX Transfer to FP Capital Fund #1907 191311 66500 Other Expenditures 191311 68530 Preserve Improvements/Master Plan 191311 70040 Supplies 191311 70050 Contractual Services 191311 70060 Consultant - A&E Services 191311 70330 Construction | 75,800 | | Contracted Architectural Services |
| Total Expenditure | 475,800 | | |
| Revenue over/(under) Expenditure | 636,095 | | |
| Ending Balance | 636,095 | | |

ORDINANCE NO. 06-21-002

ORDINANCE AUTHORIZING THE ISSUANCE OF NOT TO EXCEED \$1,300,000 GENERAL OBLIGATION LIMITED TAX BONDS, SERIES 2021, OF THE KENDALL COUNTY FOREST PRESERVE DISTRICT.

BE IT ORDAINED BY THE BOARD OF COMMISSIONERS OF THE KENDALL COUNTY FOREST PRESERVE DISTRICT, AS FOLLOWS:

Section 1. Authority, Purposes and Findings. This ordinance is adopted pursuant to the provisions of the Downstate Forest Preserve District Act, 70 Illinois Compiled Statutes 805, and the Local Government Debt Reform Act, 30 Illinois Compiled Statutes 350, and authorizes the issuance of not to exceed \$1,300,000 principal amount of General Obligation Limited Tax Bonds, Series 2021 (the "2021 Bonds") of the Kendall County Forest Preserve District (the "District").

The Board of Commissioners of the District (the "Board of Commissioners") does hereby determine to issue the 2021 Bonds for the purpose of financing the development of forest preserve lands held by the District by the renovation of the Pickerill Estate House for use as an indoor recreation facility and by undertaking the priority capital projects identified in the District's five year plan (collectively, the "Projects").

Pursuant to the Bond Issue Notification Act, 30 Illinois Compiled Statute 352, a public hearing was held before the Board of Commissioners on April 20, 2021 with respect to the sale of the 2021 Bonds for the purpose of financing the Projects and notice of said public hearing (i) was published in the "Kendall County Record" on April 8, 2021; and (ii) was posted at least 48 hours prior to the start of the public hearing at the office of the Board of Commissioners.

Section 2. Approval of Financing Plan. The Board of Commissioners determines to proceed with the financing of the Projects by the issuance and sale of the 2021 Bonds. The 2021 Bonds shall be sold pursuant to a negotiated sale to Robert W. Baird & Co. Incorporated (the "Underwriter").

In order to accommodate current market practices and the provisions of federal income tax law and to provide the opportunity to sell the 2021 Bonds under the most favorable terms, the Board of Commissioners hereby delegates to the President and the Executive Director of the District (each, the "Designated Officer") the authority to sell the 2021 Bonds to the Underwriter, to sign one or more bond purchase agreements with respect to the 2021 Bonds and to determine certain details of the 2021 Bonds. All determinations delegated to the Designated Officer pursuant to this ordinance shall be made by the Designated Officer by the execution of one or more written bond orders (each a "Bond Order"). The delegated authority granted to the Designated Officer pursuant to this Section shall expire on December 31, 2021.

The Designated Officer of the District and the other officers and officials of the District are authorized and directed to do, or cause to be done, all things necessary to accomplish the financing of the Projects by the issuance of the 2021 Bonds.

Section 3. Authorization and Terms of 2021 Bonds. The sum of up to \$1,300,000 is appropriated to meet part of the estimated cost of the Projects. Said appropriation includes the costs of issuance of the 2021 Bonds and the cost of any municipal bond insurance premium. For the purpose of financing said appropriation, the 2021 Bonds are authorized to be issued and sold in an aggregate principal amount of not to exceed \$1,300,000. The 2021 Bonds shall be issued in such principal amount as shall be determined in the Bond Order and shall be designated "General Obligation Limited Tax Bonds, Series 2021," or otherwise as deemed necessary or desirable by the Designated Officer, with the series designation or designations to be determined in the Bond Order.

The 2021 Bonds are authorized, and shall be issued as limited tax general obligation bonds of the District, pursuant to the provisions of the Downstate Forest Preserve District Act and the Local Government Debt Reform Act and shall constitute bonds issued pursuant to Section 13 of the Downstate Forest Preserve District Act.

The 2021 Bonds shall be issuable in such denominations as shall be specified in the Bond Order (the "Authorized Denominations") and may bear such identifying numbers or letters as shall be useful to facilitate the registration, transfer and exchange of the 2021 Bonds. Each 2021 Bond delivered upon the original issuance of the 2021 Bonds shall be dated as of the date specified in the Bond Order. Each 2021 Bond thereafter issued upon any transfer, exchange or replacement of 2021 Bonds shall be dated so that no gain or loss of interest shall result from such transfer, exchange or replacement.

The 2021 Bonds shall mature, and 2021 Bonds of certain maturities may be subject to mandatory sinking fund redemption, on January 1 in such years and in such

principal amounts as shall be specified in the Bond Order, provided that (i) the first maturity date of or mandatory sinking fund installment date of any 2021 Bond shall be no later than January 1, 2026 and (ii) no 2021 Bond shall mature later than January 1, 2041.

Each 2021 Bond shall bear interest from its date, computed on the basis of a 360 day year consisting of twelve 30 day months and payable in lawful money of the United States of America on an initial interest payment date of January 1, 2022 or July 1, 2022 as specified in the Bond Order and semiannually thereafter on each January 1 and July 1 at the rates per annum as shall be specified in the Bond Order, provided that (i) no 2021 Bond shall bear interest at a rate exceeding 5.50% per annum and (ii) the net interest cost of the 2021 Bonds shall not exceed 4.50%.

Section 4. Payment Provisions. The Board of Commissioners hereby appoints Amalgamated Bank of Chicago to act as paying agent and bond registrar for the 2021 Bonds. The principal of the 2021 Bonds shall be payable in lawful money of the United States of America upon presentation and surrender thereof at the corporate trust office of the paying agent. Interest on the 2021 Bonds shall be payable on each interest payment date to the registered owners of record thereof appearing on the registration books maintained by the District for such purpose at the corporate trust office of the bond registrar, as of the close of business on the 15th day of the calendar month next preceding the interest payment date. Interest on the 2021 Bonds shall be paid by check or draft mailed to such registered owners at their addresses appearing on the registration books or by wire transfer pursuant to an agreement by and between the District and the registered owner.

Section 5. Redemption Provisions. The 2021 Bonds may be subject to redemption prior to maturity at the option of the District, as determined by the Designated Officer in the Bond Order, and upon notice as herein provided, in such principal amounts and from such maturities as the Designated Officer shall determine in the Bond Order and by lot within a single maturity, at such redemption prices (not exceeding 102% of par) and for such periods of redemption as shall be determined in the Bond Order.

All 2021 Bonds subject to mandatory sinking fund redemption shall be redeemed at a redemption price equal to the principal amount thereof to be redeemed. The bond registrar is hereby authorized and directed to mail notice of the mandatory sinking fund redemption of the 2021 Bonds in the manner herein provided.

Whenever 2021 Bonds subject to mandatory sinking fund redemption are redeemed at the option of the District, the principal amount thereof so redeemed shall be credited against the unsatisfied balance of future sinking fund installments or final maturity amount established with respect to such 2021 Bonds, in such amounts and against such installments or final maturity amount as shall be determined by the District in the proceedings authorizing such optional redemption or, in the absence of such determination, shall be credited pro-rata against the unsatisfied balance of the applicable sinking fund installments and final maturity amount.

On or prior to the 60th day preceding any sinking fund installment date, the District may purchase 2021 Bonds, which are subject to mandatory redemption on such sinking fund installment date, at such prices (not exceeding par plus accrued interest) as the District shall determine. Any 2021 Bond so purchased shall be cancelled and the principal amount thereof so purchased shall be credited against the unsatisfied balance of the next

ensuing sinking fund installment of the 2021 Bonds of the same maturity and interest rate as the 2021 Bond so purchased.

In the event of the redemption of less than all the 2021 Bonds of like maturity, the aggregate principal amount thereof to be redeemed shall be in an Authorized Denomination and the bond registrar shall assign to each 2021 Bond of such maturity a distinctive number for each minimum Authorized Denomination principal amount of such 2021 Bond and shall select by lot from the numbers so assigned as many numbers as, at such Authorized Denomination for each number, shall equal the principal amount of such 2021 Bonds to be redeemed. The 2021 Bonds to be redeemed shall be the 2021 Bonds to which were assigned numbers so selected; provided that only so much of the principal amount of each 2021 Bond shall be redeemed as shall equal the minimum Authorized Denomination for each number assigned to it and so selected.

Notice of the redemption of 2021 Bonds shall be mailed not less than 30 days nor more than 60 days prior to the date fixed for such redemption to the registered owners of 2021 Bonds to be redeemed at their last addresses appearing on said registration books. The 2021 Bonds or portions thereof specified in said notice shall become due and payable at the applicable redemption price on the redemption date therein designated, and if, on the redemption date, moneys for payment of the redemption price of all the 2021 Bonds or portions thereof to be redeemed, together with interest to the redemption date, shall be available for such payment on said date, and if notice of redemption shall have been mailed as aforesaid (and notwithstanding any defect therein or the lack of actual receipt thereof by any registered owner) then from and after the redemption date interest on such 2021 Bonds or portions thereof shall cease to accrue and become payable. If there shall

be drawn for redemption less than all of a 2021 Bond, the District shall execute and the bond registrar shall authenticate and deliver, upon surrender of such 2021 Bond, without charge to the owner thereof, in exchange for the unredeemed balance of the 2021 Bond so surrendered, 2021 Bonds of like maturity, interest rate and of Authorized Denominations.

The bond registrar shall not be required to transfer or exchange any 2021 Bond after notice of the redemption of all or a portion thereof has been mailed. The bond registrar shall not be required to transfer or exchange any 2021 Bond during a period of 15 days next preceding the mailing of a notice of redemption that could designate for redemption all or a portion of such 2021 Bond.

Anything to the contrary herein notwithstanding, principal payments on the 2021 Bonds due to mandatory or optional redemption shall not require presentation and surrender for payment, but shall be payable in the same way as interest except for the final payment of principal on the 2021 Bonds at maturity, for which the 2021 Bonds must be presented and surrendered.

Section 6. Sale and Delivery of 2021 Bonds. (A) Subject to the limitations contained in this ordinance, authority is delegated to the Designated Officer to sell the 2021 Bonds to the Underwriter, provided that (i) the 2021 Bonds are sold at a price of not less than 98% of par and (ii) the principal of and interest on the 2021 Bonds payable in each debt service year shall not be greater than the debt service taxes levied for the applicable tax levy year pursuant to Section 11 of this ordinance, provided that for this purpose interest shall not include any interest that is to be paid from moneys deposited, on or prior to the date of issuance of the 2021 Bonds, into the 2021 Debt Service Fund;

- (B) As used in paragraph (A) of this Section, the term "debt service year" means the annual period commencing on the 2nd day of January next following the applicable tax levy year.
- (C) The sale and award of the 2021 Bonds shall be evidenced by one or more Bond Orders, which shall be signed by the Designated Officer. An executed counterpart of each Bond Order shall be filed in the office of the Secretary and entered in the records of the District.
- (D) The Executive Director is authorized to purchase a municipal bond insurance policy with respect to the payment of the 2021 Bonds.
- (E) The President, the Treasurer, the Secretary, the Executive Director and other officials of the District are authorized and directed to do and perform, or cause to be done or performed for or on behalf of the District each and every thing necessary for the issuance of the 2021 Bonds, including, but not limited to, the proper execution and delivery of the 2021 Bonds.

Section 7. Execution and Authentication. Each 2021 Bond shall be executed in the name of the District by the manual or authorized facsimile signature of its President. The corporate seal of the District, or a facsimile thereof, shall be thereunto affixed or otherwise reproduced upon each 2021 Bond and attested by the manual or authorized facsimile signature of the Secretary of the District.

In case any officer whose signature, or a facsimile of whose signature, shall appear on any 2021 Bond shall cease to hold such office before the issuance of the 2021 Bond, such 2021 Bond shall nevertheless be valid and sufficient for all purposes, the same as if the person whose signature, or a facsimile thereof, appears on such 2021 Bond had not

ceased to hold such office. Any 2021 Bond may be signed, sealed or attested on behalf of the District by any person who, on the date of such act, shall hold the proper office, notwithstanding that at the date of such 2021 Bond such person may not have held such office. No recourse shall be had for the payment of any 2021 Bonds against any officer who executes the 2021 Bonds.

Each 2021 Bond shall bear thereon a certificate of authentication executed manually by the bond registrar. No 2021 Bond shall be entitled to any right or benefit under this ordinance or shall be valid or obligatory for any purpose until such certificate of authentication shall have been duly executed by the bond registrar.

Section 8. Transfer, Exchange and Registry. The 2021 Bonds shall be negotiable, subject to the provisions for registration of transfer contained herein. Each 2021 Bond shall be transferable only upon the registration books maintained by the District for that purpose at the office of the bond registrar, by the registered owner thereof in person or by his attorney duly authorized in writing, upon surrender thereof together with a written instrument of transfer satisfactory to the bond registrar and duly executed by the registered owner or his duly authorized attorney. Upon the surrender for transfer of any such 2021 Bond, the District shall execute and the bond registrar shall authenticate and deliver a new 2021 Bond or 2021 Bonds registered in the name of the transferee, of the same aggregate principal amount, maturity and interest rate as the surrendered 2021 Bond. 2021 Bonds, upon surrender thereof at the corporate trust office of the bond registrar, with a written instrument satisfactory to the bond registrar, duly executed by the registered owner or his attorney duly authorized in writing, may be exchanged for an equal

aggregate principal amount of 2021 Bonds of the same maturity and interest rate and of Authorized Denominations.

For every such exchange or registration of transfer of 2021 Bonds, the District or the bond registrar may make a charge sufficient for the reimbursement of any tax, fee or other governmental charge required to be paid with respect to such exchange or transfer, which sum or sums shall be paid by the person requesting such exchange or transfer as a condition precedent to the exercise of the privilege of making such exchange or transfer. No other charge shall be made for the privilege of making such transfer or exchange. The provisions of the Illinois Bond Replacement Act, 30 Illinois Compiled Statutes 315, shall govern the replacement of lost, destroyed or defaced 2021 Bonds.

The District and the bond registrar may deem and treat the person in whose name any 2021 Bond shall be registered upon the registration books as the absolute owner of such 2021 Bond, whether such 2021 Bond shall be overdue or not, for the purpose of receiving payment of, or on account of, the principal of, redemption premium, if any, or interest thereon and for all other purposes whatsoever, and all such payments so made to any such registered owner or upon his order shall be valid and effectual to satisfy and discharge the liability upon such 2021 Bond to the extent of the sum or sums so paid, and neither the District nor the bond registrar shall be affected by any notice to the contrary.

Section 9. Security for 2021 Bonds. The 2021 Bonds are issued as "limited bonds" as defined and referred to in the Local Government Debt Reform Act. The 2021 Bonds are payable from the District's "debt service extension base," as defined in the Property Tax Extension Limitation Law, 35 Illinois Compiled Statutes 200/18-185 through 18-245. The District covenants that it will not issue any bonds, notes or other obligations

if the issuance thereof would cause the anticipated tax extension for any tax levy year for limited bonds of the District (including the 2021 Bonds) to exceed the debt service extension base of the District less the amount in items (b), (c), (e) and (h) of the applicable definition of "aggregate extension" contained in the Property Tax Extension Limitation Law, for non-referendum obligations, except obligations initially issued pursuant to referendum.

The full faith and credit of the District are hereby irrevocably pledged to the punctual payment of the principal of and interest on the 2021 Bonds. The 2021 Bonds shall be direct and general obligations of the District, and the District shall be obligated to levy ad valorem taxes upon all the taxable property in the District for the payment of the 2021 Bonds and the interest thereon, without limitation as to rate, but limited as to amount by provisions of the Property Tax Extension Limitation Law.

Section 10. Form of 2021 Bonds. The 2021 Bonds shall be issued as fully registered bonds and shall be in substantially the following form, the blanks to be appropriately completed when the 2021 Bonds are printed:

| N | _ | | | |
|------|----|--|--|--|
| 1 41 | ┙. | | | |

United States of America State of Illinois County of Kendall KENDALL COUNTY FOREST PRESERVE DISTRICT GENERAL OBLIGATION LIMITED TAX BOND, SERIES 2021

| INTEREST RATE | MATURITY DATE | DATED DATE | CUSIP |
|----------------|----------------|------------|-------|
| . % | January 1, 20 | , 2021 | |
| REGISTERED OWN | ER: CEDE & Co. | | |

PRINCIPAL AMOUNT:

KENDALL COUNTY FOREST PRESERVE DISTRICT, a special district of the State of Illinois duly organized and existing under the Downstate Forest Preserve District Act, 70 Illinois Compiled Statutes 805, acknowledges itself indebted and for value received hereby promises to pay to the registered owner of this bond, or registered assigns, the principal amount specified above on the maturity date specified above, and to pay interest on such principal amount from the date hereof at the interest rate per annum specified above, computed on the basis of a 360 day year consisting of twelve 30 day months and payable in lawful money of the United States of America on ______ 2022 and semiannually thereafter on January 1 and July 1 in each year until the principal amount shall have been paid, to the registered owner of record hereof as of the 15th day of the calendar month next preceding such interest payment date, by wire transfer pursuant to an agreement by and between the District and the registered owner, or otherwise by check or draft mailed to the registered owner at the address of such owner appearing on the registration books maintained by the District for such purpose at the corporate trust office of Amalgamated Bank of Chicago, in the City of Chicago, Illinois, as

bond registrar or its successor (the "Bond Registrar"). This bond, as to principal and premium, if any, when due, will be payable in lawful money of the United States of America upon presentation and surrender of this bond at the corporate trust office of the Bond Registrar. The full faith and credit of the District are irrevocably pledged for the punctual payment of the principal of and interest on this bond according to its terms.

This bond is one of the series of bonds issued in the aggregate principal amount of \$______, which are authorized and issued under and pursuant to the Downstate Forest Preserve District Act, 70 Illinois Compiled Statutes 805, and the Local Government Debt Reform Act, 30 Illinois Compiled Statutes 350, and under and in accordance with an ordinance adopted by the Board of Commissioners of the District on June 1, 2021 and entitled: "Ordinance Authorizing the Issuance of Not to Exceed \$1,300,000 General Obligation Limited Tax Bonds, Series 2021, of the Kendall County Forest Preserve District."

This bond is a "limited bond" as defined in the Local Government Debt Reform Act and is payable from the debt service extension base of the District as defined in the Property Tax Extension Limitation Law, 35 Illinois Compiled Statutes 200/18-185 through 18-245.

The bonds of such series maturing on or after January 1, 20__ are subject to redemption prior to maturity at the option of the District and upon notice as herein provided, in such principal amounts and from such maturities as the District shall determine and by lot within a single maturity, on January 1, 20__ and on any date thereafter, at a redemption price equal to the principal amount thereof to be redeemed plus, if such bond is to be redeemed during any period (both dates inclusive) shown in

the following table, the applicable redemption premium, expressed as a percentage of such principal amount, set forth opposite such period:

The bonds of such series are subject to mandatory redemption, in part and by lot, on January 1 of the years and in the respective principal amounts set forth in the following table, by the application of sinking fund installments, at a redemption price equal to the principal amount thereof to be redeemed:

| Year | Principal Amount | Year | Principal Amount | Year | Principal Amount |
|------|------------------|------|------------------|------|------------------|
| 20 | \$,000 | 20 | \$,000 | 20 | \$,000 |
| 20 | ,000 | 20 | ,000 | 20 | ,000 |
| 20 | ,000 | 20 | ,000 | 20 | ,000 |

Notice of the redemption of bonds will be mailed not less than 30 days nor more than 60 days prior to the date fixed for such redemption to the registered owners of bonds to be redeemed at their last addresses appearing on such registration books. The bonds or portions thereof specified in said notice shall become due and payable at the applicable redemption price on the redemption date therein designated, and if, on the redemption date, moneys for payment of the redemption price of all the bonds or portions thereof to be redeemed, together with interest to the redemption date, shall be available for such payment on said date, and if notice of redemption shall have been mailed as aforesaid (and notwithstanding any defect therein or the lack of actual receipt thereof by any registered owner) then from and after the redemption date interest on such bonds or portions thereof shall cease to accrue and become payable.

This bond is transferable only upon such registration books by the registered owner hereof in person, or by his attorney duly authorized in writing, upon surrender hereof at the corporate trust office of the Bond Registrar together with a written instrument of transfer satisfactory to the Bond Registrar duly executed by the registered owner or by his duly authorized attorney, and thereupon a new registered bond or bonds, in the authorized denominations of \$5,000 or any integral multiple thereof, and of the same aggregate principal amount, maturity and interest rate as this bond shall be issued to the transferee in exchange therefor. In like manner, this bond may be exchanged for an equal aggregate principal amount of bonds of the same maturity and interest rate and of any of such authorized denominations. The District or the Bond Registrar may make a charge sufficient for the reimbursement of any tax, fee or other governmental charge required to be paid with respect to the transfer or exchange of this bond. No other charge shall be made for the privilege of making such transfer or exchange. The District and the Bond Registrar may treat and consider the person in whose name this bond is registered as the absolute owner hereof for the purpose of receiving payment of, or on account of, the principal and interest due hereon and for all other purposes whatsoever.

This bond shall not be valid or become obligatory for any purpose until the certificate of authentication hereon shall have been duly executed by the Bond Registrar.

It is hereby certified, recited and declared that this bond is issued in part pursuant to the Local Government Debt Reform Act, that all acts, conditions and things required to be done, exist and be performed precedent to and in the issuance of this bond in order to make it a legal, valid and binding obligation of the District have been done, exist and have been performed in regular and due time, form and manner as required by law, and that

the series of bonds of which this bond is one, together with all other indebtedness of the District, is within every debt or other limit prescribed by law.

IN WITNESS WHEREOF, Kendall County Forest Preserve District has caused this bond to be executed in its name and on its behalf by the manual or facsimile signature of the President of its Board of Commissioners, and its corporate seal, or a facsimile thereof, to be hereunto affixed or otherwise reproduced hereon and attested by the manual or facsimile signature of the Secretary of its Board of Commissioners.

| Dated:, 2021 | KENDALL COUNTY FOREST PRESERVE DISTRICT |
|---|--|
| Attest: | President |
| Secretary | |
| CERTIFICATE OF AUTHENTICATION | |
| This bond is one of the General Obligation Limited Tax Bonds, Series 2021, described in the within mentioned Ordinance. | |
| AMALGAMATED BANK OF CHICAGO, as Bond Registrar | |
| By | |
| Authorized Signer | |

ASSIGNMENT

| For value received the undersigned sells, assigns and transfers unto |
|---|
| the within bond and hereby irrevocably constitutes and appoints |
| attorney to transfer the said bond on the books kept for registration thereof, with full pow of substitution in the premises. |
| Dated |
| Signature Guarantee: |

Section 11. Levy and Extension of Taxes For 2021 Bonds. (A) For the purpose of providing the money required to pay the interest on the 2021 Bonds when and as the same falls due and to pay and discharge the principal thereof at maturity or upon mandatory redemption, there is hereby levied upon all the taxable property in the District, in each year while any of the 2021 Bonds shall be outstanding, a direct annual tax sufficient for that purpose in addition to all other taxes, as follows:

| Tax Levy Year | A Tax Sufficient to Produce |
|---------------|-----------------------------|
| 2021 | \$85,671 |
| 2022 | \$85,671 |
| 2023 | \$85,671 |
| 2024 | \$85,671 |
| 2025 | \$85,671 |
| 2026 | \$85,671 |
| 2027 | \$85,671 |
| 2028 | \$85,671 |
| 2029 | \$85,671 |
| 2030 | \$85,671 |
| 2031 | \$85,671 |
| 2032 | \$85,671 |
| 2033 | \$85,671 |
| 2034 | \$85,671 |
| 2035 | \$85,671 |
| 2036 | \$85,671 |
| 2037 | \$85,671 |
| 2038 | \$85,671 |
| 2039 | \$85,671 |

(B) Interest or principal coming due at any time when there shall be insufficient funds on hand to pay the same shall be paid promptly when due from current funds on hand in advance of the collection of the taxes herein levied; and when said taxes shall have been collected, reimbursement shall be made to the said funds in the amounts thus advanced.

- executed copy of the Bond Order and a copy of this ordinance certified by the Secretary, which certificate shall recite that this ordinance has been duly adopted, shall be filed with the County Clerk of Kendall County, Illinois, who is hereby directed to ascertain the rate per cent required to produce the aggregate tax hereinbefore provided to be levied in the years 2021 to 2039, inclusive, and subject to adjustment as provided in paragraph (D) of this Section, to extend the same for collection on the tax books in connection with other taxes levied in said years, in and by the District for general corporate purposes of the District, and in said years such annual tax shall be levied and collected in like manner as taxes for general corporate purposes for said years are levied and collected, without limit as to rate, but limited as to amount by the provisions of the Property Tax Extension Limitation Law, and, when collected, the moneys received by the District from such taxes shall be used for the purpose of paying the principal of and interest on the 2021 Bonds as the same become due and payable.
- (D) In the event that 2021 Bonds are to be issued in principal amounts and bearing interest such that for any tax levy year an amount less than that set forth in paragraph (A) of this Section is required to be produced to pay when due the principal of and interest on the 2021 Bonds, then the Treasurer of the District is authorized and directed to file with the aforesaid County Clerk, on or prior to the delivery of the 2021 Bonds, a direction for abatement of taxes specifying the exact amount of taxes to be levied to produce the required amounts for each of the various tax levy years.
- (E) After the issuance of the 2021 Bonds, the District shall not abate the debt service taxes levied pursuant to this Section or take any action to restrict the extension

and collection of those taxes except that the District may abate any such debt service taxes for any tax levy year to the extent that, at the time of such abatement, moneys then held in the 2021 Debt Service Fund established by this ordinance, or otherwise held in trust for the payment of debt service on the 2021 Bonds, together with the amount to be extended for collection taking into account the proposed abatement, will be sufficient to provide for the punctual payment of the principal of and interest on the 2021 Bonds otherwise payable from the debt service taxes levied for such tax levy year.

Section 12. Debt Service Fund. Moneys derived from taxes levied pursuant to Section 11 of this ordinance are appropriated and set aside for the sole purpose of paying principal of and interest on the 2021 Bonds when and as the same come due. All of such moneys, and all other moneys to be used for the payment of the principal of and interest on the 2021 Bonds, shall be deposited in the "2021 Debt Service Fund" (the "2021 Debt Service Fund"), which is hereby established as a special fund of the District and shall be administered as a bona fide debt service fund under the Internal Revenue Code of 1986. All accrued interest received upon the issuance of the 2021 Bonds shall be deposited in the 2021 Debt Service Fund.

Section 13. Pledge Securing 2021 Bonds. The moneys deposited or to be deposited into the 2021 Debt Service Fund, including the tax receipts derived from the taxes levied pursuant to Section 11 of this ordinance, are pledged as security for the payment of the principal of and interest on the 2021 Bonds. This pledge is made pursuant to Section 13 of the Local Government Debt Reform Act and shall be valid and binding from the date of issuance of any of the 2021 Bonds. All such tax receipts and the moneys held in the 2021 Debt Service Fund shall immediately be subject to the lien of such pledge

without any physical delivery or further act and the lien of such pledge shall be valid and binding as against all parties having claims of any kind in tort, contract or otherwise against the District irrespective of whether such parties have notice thereof.

Section 14. 2021 Bond Proceeds Fund. The "2021 Bond Proceeds Fund" is hereby established as a special fund of the District. All of the proceeds of sale of the 2021 Bonds (exclusive of any accrued interest) shall be deposited into the 2021 Bond Proceeds Fund. Moneys in the 2021 Bond Proceeds Fund shall be used for the purposes of paying costs of the Projects and costs of issuance of the 2021 Bonds, including any municipal bond insurance policy premium, but may hereafter be reappropriated and used for other purposes if such reappropriation is permitted under Illinois law and will not adversely affect the exclusion from gross income for federal income tax purposes of interest on the 2021 Bonds.

Section 15. Investment Regulations. No investment shall be made of any moneys in the 2021 Debt Service Fund or the 2021 Bond Proceeds Fund except in accordance with the tax covenants set forth in Section 16 of this ordinance. All income derived from such investments in respect of moneys or securities in any Fund shall be credited in each case to the Fund in which such moneys or securities are held.

Any moneys in any Fund that are subject to investment yield restrictions may be invested in United States Treasury Securities, State and Local Government Series, pursuant to the regulations of the United States Treasury Department, Bureau of the Fiscal Service, or in any tax-exempt bond that is not an "investment property" within the meaning of Section 148(b)(2) of the Internal Revenue Code of 1986. The Treasurer and her designated agents are hereby authorized to submit, on behalf of the District,

subscriptions for such United States Treasury Securities and to request redemption of such United States Treasury Securities.

Section 16. Tax Covenants. The District shall not take, or omit to take, any action lawful and within its power to take, which action or omission would cause interest on any 2021 Bond to become subject to federal income taxes in addition to federal income taxes to which interest on such 2021 Bond is subject on the date of original issuance thereof.

The District shall not permit any of the proceeds of the 2021 Bonds, or any facilities financed with such proceeds, to be used in any manner that would cause any 2021 Bond to constitute a "private activity bond" within the meaning of Section 141 of the Internal Revenue Code of 1986.

The District shall not permit any of the proceeds of the 2021 Bonds or other moneys to be invested in any manner that would cause any 2021 Bond to constitute an "arbitrage bond" within the meaning of Section 148 of the Internal Revenue Code of 1986 or a "hedge bond" within the meaning of Section 149(g) of the Internal Revenue Code of 1986.

The District shall comply with the provisions of Section 148(f) of the Internal Revenue Code of 1986 relating to the rebate of certain investment earnings at periodic intervals to the United States of America.

Section 17. Bank Qualified Designation. The District hereby designates the bonds as "qualified tax-exempt obligations" as defined in Section 265(b)(3)(B) of the Internal Revenue Code of 1986. The District represents that the reasonably anticipated amount of tax-exempt obligations that are required to be taken into account for the

purpose of Section 265(b)(3)(C) of the Code and will be issued by or on behalf of the District and all subordinate entities of the District during 2021 does not exceed \$10,000,000. The District covenants that it will not designate and issue more than \$10,000,000 aggregate principal amount of tax-exempt obligations in the year in which the 2021 Bonds are issued. For purposes of the two preceding sentences, the term "tax-exempt obligations" includes "qualified 501(c)(3) bonds" (as defined in Section 145 of the Internal Revenue Code of 1986) but does not include other "private activity bonds" (as defined in Section 141 of the Internal Revenue Code of 1986).

Section 18. Bond Registrar. The District covenants that it shall at all times retain a bond registrar with respect to the 2021 Bonds, that it will maintain at the designated office of such bond registrar a place where 2021 Bonds may be presented for payment and registration of transfer or exchange and that it shall require that the bond registrar maintain proper registration books and perform the other duties and obligations imposed upon the bond registrar by this ordinance in a manner consistent with the standards, customs and practices of the municipal securities business.

A bank, trust company or national banking association acting as bond registrar shall signify its acceptance of the duties and obligations imposed upon it by this ordinance by executing the certificate of authentication on any 2021 Bond, and by such execution the bond registrar shall be deemed to have certified to the District that it has all requisite power to accept, and has accepted such duties and obligations not only with respect to the 2021 Bond so authenticated but with respect to all the 2021 Bonds. The bond registrar is the agent of the District and shall not be liable in connection with the performance of its

duties except for its own negligence or default. The bond registrar shall, however, be responsible for any representation in the certificate of authentication on the 2021 Bonds.

The District may remove the bond registrar at any time. In case at any time the bond registrar shall resign or shall be removed or shall become incapable of acting, or shall be adjudged a bankrupt or insolvent, or if a receiver, liquidator or conservator of the bond registrar, or of its property, shall be appointed, or if any public officer shall take charge or control of the bond registrar or of its property or affairs, the District covenants and agrees that it will thereupon appoint a successor bond registrar. The District shall mail notice of any such appointment made by it to each registered owner of 2021 Bonds within twenty days after such appointment.

Section 19. Book-Entry System. The Board of Commissioners hereby authorizes the Designated Officer to determine to provide for a book entry transfer system with respect to the 2021 Bonds and, upon such determination, the following provisions of this Section shall be effective with respect to the 2021 Bonds.

In order to provide for the initial issuance of the 2021 Bonds in a form that provides for a system of book-entry only transfers, the ownership of one fully registered 2021 Bond for each maturity, in the aggregate principal amount of such maturity, shall be registered in the name of Cede & Co., as a nominee of The Depository Trust Company, as securities depository for the 2021 Bonds. The Treasurer is authorized to execute and deliver on behalf of the District such letters to, or agreements with, the securities depository as shall be necessary to effectuate such book-entry system.

In case at any time the securities depository shall resign or shall become incapable of acting, then the District shall appoint a successor securities depository to provide a

system of book-entry only transfers for the 2021 Bonds, by written notice to the predecessor securities depository directing it to notify its participants (those persons for whom the securities depository holds securities) of the appointment of a successor securities depository.

If the system of book-entry only transfers for the 2021 Bonds is discontinued, then the District shall issue and the bond registrar shall authenticate, register and deliver to the beneficial owners of the 2021 Bonds, bond certificates in replacement of such beneficial owners' beneficial interests in the 2021 Bonds, all as shown in the records maintained by the securities depository.

Section 20. Approval of Documents. The form of Bond Purchase Agreement by and between the District and the Underwriter, on file in the office of the Secretary of the Board of Commissioners, is hereby approved. The President is authorized and directed to execute and deliver a Bond Purchase Agreement in substantially the form of the Bond Purchase Agreement on file in the office of the Secretary, with such changes and completions as may be approved by the President, subject to the limitations of this ordinance. The execution and delivery of the Bond Purchase Agreement shall constitute conclusive evidence of the approval of such changes and completions.

The form of Official Statement of the District with respect to the 2021 Bonds, in substantially the form on file in the office of the Secretary, with such changes, omissions, insertions and revisions as the President or the Director shall deem advisable, the distribution thereof to prospective purchasers and the use thereof by the purchaser in connection with the offering of the 2021 Bonds is authorized and approved. The President and the Executive Director may take such actions as may be required so that the Official

Statement will be "deemed final" as of its date for purposes of Securities and Exchange Commission Rule 15c2-12 promulgated under the Securities Exchange Act of 1934. The President is authorized to permit the distribution of the final Official Statement with such changes, omissions, insertions and revisions as she shall deem advisable.

Each of the documents approved by this Section may be executed in one or more counterparts. The corporate seal of the District, or a facsimile thereof may, if required, be affixed or otherwise reproduced upon each document and attested by the manual or authorized facsimile signature of the Secretary.

Section 21. Defeasance and Payment of 2021 Bonds. (A) If the District shall pay or cause to be paid to the registered owners of the 2021 Bonds, the principal, premium, if any, and interest due or to become due thereon, at the times and in the manner stipulated therein and in this ordinance, then the pledge of tax receipts, securities and funds hereby pledged and the covenants, agreements and other obligations of the District to the registered owners and the beneficial owners of the 2021 Bonds shall be discharged and satisfied.

(B) Any 2021 Bonds or interest installments appertaining thereto, whether at or prior to the maturity or redemption date of such 2021 Bonds, shall be deemed to have been paid within the meaning of paragraph (A) of this Section if (1) in case any such 2021 Bonds are to be redeemed prior to the maturity thereof, there shall have been taken all action necessary to call such 2021 Bonds for redemption and notice of such redemption shall have been duly given or provision shall have been made for the giving of such notice, and (2) there shall have been deposited in trust with a bank, trust company or national banking association acting as fiduciary for such purpose either (i) moneys in an amount

which shall be sufficient, or (ii) "Federal Obligations" as defined in paragraph (C) of this Section, the principal of and the interest on which when due will provide moneys which, together with any moneys on deposit with such fiduciary at the same time for such purpose, shall be sufficient, to pay when due the principal of, redemption premium, if any, and interest due and to become due on, said 2021 Bonds on and prior to the applicable maturity date or redemption date thereof.

(C) As used in this Section, the term "Federal Obligations" means (i) non-callable, direct obligations of the United States of America, (ii) non-callable and non-prepayable, direct obligations of any agency of the United States of America, which are unconditionally guaranteed by the United States of America as to full and timely payment of principal and interest, or (iii) non-callable, non-prepayable coupons or interest installments from the securities described in clause (i) or clause (ii) of this paragraph, which are stripped pursuant to programs of the Department of the Treasury of the United States of America.

(D)

Section 22. Ordinance to Constitute a Contract. The provisions of this ordinance shall constitute a contract between the District and the registered owners of the 2021 Bonds. Any pledge made in this ordinance with respect to a series of 2021 Bonds and the provisions, covenants and agreements herein set forth to be performed by or on behalf of the District shall be for the equal benefit, protection and security of the owners of any and all of the 2021 Bonds. All of the 2021 Bonds, regardless of the time or times of their issuance, shall be of equal rank without preference, priority or distinction of any of the 2021 Bonds over any other thereof except as expressly provided in or

pursuant to this ordinance. This ordinance shall constitute full authority for the issuance of the 2021 Bonds and to the extent that the provisions of this ordinance, conflict with the provisions of any other ordinance or resolution of the District, the provisions this ordinance shall control. If any section, paragraph or provision of this ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such section, paragraph or provision shall not affect any of the remaining provisions of this ordinance.

Section 23. Publication. The Secretary is hereby authorized and directed to publish this ordinance in pamphlet form and to file copies thereof for public inspection in the office of the Secretary.

Section 24. Effective Date. This ordinance shall become effective upon its adoption.

| | Passed and adopted this 1st day of Ju | ne, 2021, by roll call vote as follows: |
|--------|---------------------------------------|---|
| | Ayes: | |
| | Nays: | |
| | Absent: | |
| | | Approved: June 1, 2021 |
| | | President |
| | | Fresiderit |
| Publis | shed in pamphlet form: June 2, 2021 | |
| (SEAI | L) | |
| Attest | : | |
| | | |
| Secre | tary | |

CERTIFICATE

I, Elizabeth Flowers, Secretary of the Board of Commissioners of the Kendall County Forest Preserve District, hereby certify that the foregoing ordinance entitled: "Ordinance Authorizing the Issuance of Not to Exceed \$1,300,000 General Obligation Limited Tax Bonds, Series 2021, of the Kendall County Forest Preserve District," is a true copy of an original ordinance that was duly adopted by the recorded affirmative votes of a majority of the members of the Board of Commissioners of the District at a meeting thereof that was duly called and held at 9:00 a.m. on June 1, 2021, at the County Board Room, 111 West Fox Street, in Yorkville, Illinois, and at which a quorum was present and acting throughout, and that said copy has been compared by me with the original ordinance signed by the President of the Board of Commissioners of the District on June 1, 2021, and thereafter published in pamphlet form on June 2, 2021, and recorded in the records of the District and that it is a correct transcript thereof and of the whole of said ordinance, and that said ordinance has not been altered, amended, repealed or revoked, but is in full force and effect.

I further certify that the agenda for said meeting included the ordinance as a matter to be considered at the meeting and that said agenda was posted at least 48 hours in advance of the holding of the meeting in the manner required by the Open Meetings Act, 5 Illinois Compiled Statutes 120, and was continuously available for public review during the 48 hour period preceding the meeting.

| IN WITNESS WHEREOF, I have her | reunto set my hand and affixed the seal of the |
|---------------------------------------|--|
| District, this 3RD day of June, 2021. | |
| | |
| | |
| (SEAL) | Secretary |

GRANT AGREEMENT



BETWEEN THE STATE OF ILLINOIS, DEPARTMENT OF NATURAL RESOURCES AND WENDALL COUNTY COPECT RESERVE DISTRICT

| KENDALL COUNTY FO | DREST PRESERVE DISTRICT |
|--|---|
| The Illinois Department of Natural Resources (Grantor |), with its principal office at One Natural Resources Way, |
| Springfield, IL 62702-1271 and Kendall County Fores | |
| office at 110 W. Madison Street, Yorkville, IL 60! | |
| | nter into this Grant Agreement (Agreement). Grantor and |
| Grantee are collectively referred to herein as "Parties" | or individually as a "Party." |
| | HE UNIFORM TERMS ECITALS |
| | form consistent with all Exhibits and attachments hereto d by Grantor under the laws of the state of Illinois and in nereof. |
| NOW, THEREFORE, in consideration of the for for other good and valuable consideration, the value, r Parties hereto agree as follows: | egoing and the mutual agreements contained herein, and eceipt and sufficiency of which are acknowledged, the |
| Al | RTICLE I |
| AWARD AND GRANTEE-SPECIFIC | C INFORMATION AND CERTIFICATION |
| that <u>079859610</u> is Grantee's correct DUN: UEI, if applicable, that <u>36-6006598</u> | ture of Entity. Under penalties of perjury, Grantee certifies S Number, that N/A is Grantee's correct is Grantee's correct FEIN or Social Security Number, and registration. Grantee is doing business as a (check one): Pharmacy-Non Corporate |
| Sole Proprietorship | Pharmacy/Funeral Home/Cemetery Corp. |
| Partnership | Tax Exempt |
| Corporation (includes Not For Profit) | Limited Liability Company (select |
| Medical Corporation | applicable tax classification) |
| | ☐ P = partnership |
| Estate or Trust | ☐ C = corporation |
| If Grantee has not received a payment from the state o tax form with this Agreement. | f Illinois in the last two years, Grantee must submit a W-9 |
| 1.2. <u>Amount of Agreement</u> . Grant Funds (o \$828,200.00, of which \$ 0 are federal funds. Grant | check one) 🖾 shall not exceed or 🗀 are estimated to be tee agrees to accept Grantor's payment as specified in the |
| | . |

State of Illinois GRANT AGREEMENT FISCAL YEAR 2022 / 3/4/21 Page 1 of 39 Exhibits and attachments incorporated herein as part of this Agreement.

- 1.3. <u>Identification Numbers</u>. If applicable, the Federal Award Identification Number (FAIN) is <u>N/A</u>, the federal awarding agency is <u>N/A</u>, and the Federal Award date is <u>N/A</u>. If applicable, the Assistance Listing Program Title is <u>N/A</u> and Assistance Listing Number is <u>N/A</u>. The Catalog of State Financial Assistance (CSFA) Number is 422-11-1165. The State Award Identification Number is 1165-25085
- 1.4. <u>Term.</u> This Agreement shall be effective on <u>the date of the last signature</u> and shall expire on <u>two</u> <u>years, less one day</u> unless terminated pursuant to this Agreement.
- 1.5. <u>Certification</u>. Grantee certifies under oath that (1) all representations made in this Agreement are true and correct and (2) all Grant Funds awarded pursuant to this Agreement shall be used only for the purpose(s) described herein. Grantee acknowledges that the Award is made solely upon this certification and that any false statements, misrepresentations, or material omissions shall be the basis for immediate termination of this Agreement and repayment of all Grant Funds.
- 1.6. <u>Signatures</u>. In witness whereof, the Parties hereto have caused this Agreement to be executed by their duly authorized representatives.

| ILLINOIS DEPARTMENT OF NATURAL RESOURCES | KENDALL COUNTY FOREST PRESERVE DISTRICT |
|--|---|
| Ву: | Ву: |
| Signature of Director of DNR | Signature of Authorized Representative |
| Date: | Date: |
| Printed Name: Colleen Callahan | Printed Name: |
| Printed Title: <u>Director</u> | Printed Title: |
| | E-mail: |
| Other Approver | |
| By: | |
| Signature of Chief Financial Officer | |
| Date: | |
| Printed Name: Brad Colantino | |
| Printed Title: Chief Financial Officer | |
| Other Approver | |
| Ву: | |
| Signature of Chief Counsel | |
| Date: | |
| Printed Name: Renee Snow | |

Printed Title: Chief Counsel

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ARTICLE II REQUIRED REPRESENTATIONS

2.1. Standing and Authority. Grantee warrants that:

- (a) Grantee is duly organized, validly existing and in good standing, if applicable, under the laws of the state in which it was incorporated or organized.
- (b) Grantee has the requisite power and authority to execute and deliver this Agreement and all documents to be executed by it in connection with this Agreement, to perform its obligations hereunder and to consummate the transactions contemplated hereby.
- (c) If Grantee is organized under the laws of another jurisdiction, Grantee warrants that it is also duly qualified to do business in Illinois and, if applicable, is in good standing with the Illinois Secretary of State.
- (d) The execution and delivery of this Agreement, and the other documents to be executed by Grantee in connection with this Agreement, and the performance by Grantee of its obligations hereunder have been duly authorized by all necessary entity action.
- (e) This Agreement and all other documents related to this Agreement, including the Uniform Grant Application, the Exhibits and attachments to which Grantee is a party constitute the legal, valid and binding obligations of Grantee enforceable against Grantee in accordance with their respective terms.
- 2.2. <u>Compliance with Internal Revenue Code</u>. Grantee certifies that it does and will comply with all provisions of the federal Internal Revenue Code (26 USC 1), the Illinois Income Tax Act (35 ILCS 5), and all rules promulgated thereunder, including withholding provisions and timely deposits of employee taxes and unemployment insurance taxes.
- 2.3. Compliance with Federal Funding Accountability and Transparency Act of 2006. Grantee certifies that it does and will comply with the reporting requirements of the Federal Funding Accountability and Transparency Act of 2006 (P.L. 109-282) (FFATA) with respect to Federal Awards greater than or equal to \$30,000. A FFATA sub-award report must be filed by the end of the month following the month in which the award was made.
- 2.4. <u>Compliance with Uniform Grant Rules (2 CFR Part 200)</u>. Grantee certifies that it shall adhere to the applicable Uniform Administrative Requirements, Cost Principles, and Audit Requirements, which are published in Title 2, Part 200 of the Code of Federal Regulations, and are incorporated herein by reference. *See* 44 III. Admin. Code 7000.40(c)(1)(A).
- 2.5. <u>Compliance with Registration Requirements</u>. Grantee certifies that it: (i) is registered with the federal SAM; (ii) is in good standing with the Illinois Secretary of State, if applicable; (iii) have a valid DUNS Number; (iv) have a valid UEI, if applicable; and (v) have successfully completed the annual registration and prequalification through the Grantee Portal. It is Grantee's responsibility to remain current with these registrations and requirements. If Grantee's status with regard to any of these requirements change, or the certifications made in and information provided in the Uniform Grant Application changes, Grantee must notify the Grantor in accordance with ARTICLE XVIII.

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ARTICLE III DEFINITIONS

3.1. <u>Definitions</u>. Capitalized words and phrases used in this Agreement have the following meanings:

"2 CFR Part 200" means the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards published in Title 2, Part 200 of the Code of Federal Regulations.

"Agreement" or "Grant Agreement" has the same meaning as in 44 Ill. Admin. Code 7000.30.

"Allocable Costs" means costs allocable to a particular cost objective if the goods or services involved are chargeable or assignable to such cost objective in accordance with relative benefits received or other equitable relationship. Costs allocable to a specific Program may not be shifted to other Programs in order to meet deficiencies caused by overruns or other fund considerations, to avoid restrictions imposed by law or by the terms of this Agreement, or for other reasons of convenience.

"Allowable Costs" has the same meaning as in 44 III. Admin. Code 7000.30.

"Assistance Listings" has the same meaning as in 2 CFR 200.1.

"Assistance Listing Number" has the same meaning as in 2 CFR 200.1

"Assistance Listing Program Title" has the same meaning as in 2 CFR 200.1.

"Award" has the same meaning as in 44 III. Admin. Code 7000.30.

"Budget" has the same meaning as in 44 III. Admin. Code 7000.30.

"Budget Period" has the same meaning as in 2 CFR 200.1.

"Catalog of State Financial Assistance" or "CSFA" has the same meaning as in 44 III. Admin. Code 7000.30.

"Close-out Report" means a report from the Grantee allowing the Grantor to determine whether all applicable administrative actions and required work have been completed, and therefore closeout actions can commence.

"Conflict of Interest" has the same meaning as in 44 III. Admin. Code 7000.30.

"Consolidated Year-End Financial Report" or "CYEFR" means a financial information presentation in which the assets, equity, liabilities, and operating accounts of an entity and its subsidiaries are combined (after eliminating all inter-entity transactions) and shown as belonging to a single reporting entity.

"Cost Allocation Plan" has the same meaning as in 44 III. Admin. Code 7000.30.

"Direct Costs" has the same meaning as in 44 III. Admin. Code 7000.30.

"Disallowed Costs" has the same meaning as in 44 III. Admin. Code 7000.30.

"DUNS Number" means a unique nine-digit identification number provided by Dun & Bradstreet for each

State of Illinois GRANT AGREEMENT FISCAL YEAR 2022 / 3/4/21 Page 4 of 39 physical location of Grantee's organization.

"FAIN" means the Federal Award Identification Number.

"FFATA" or "Federal Funding Accountability and Transparency Act" has the same meaning as in 31 USC 6101; P.L. 110-252.

"Financial Assistance" has the same meaning as in 44 III. Admin. Code 7000.30.

"Fixed-Rate" has the same meaning as in 44 III. Admin. Code 7000.30. "Fixed-Rate" is in contrast to fee-for-service, 44 III. Admin. Code 7000.30.

"GATU" means the Grant Accountability and Transparency Unit of GOMB.

"Generally Accepted Accounting Principles" or "GAAP" has the same meaning as in 2 CFR 200.1.

"GOMB" means the Illinois Governor's Office of Management and Budget.

"Grant Funds" means the Financial Assistance made available to Grantee through this Agreement.

"Grantee Portal" has the same meaning as in 44 Ill. Admin. Code 7000.30.

"Improper Payment" has the same meaning as in 2 CFR 200.1.

"Indirect Costs" has the same meaning as in 44 III. Admin. Code 7000.30.

"Indirect Cost Rate" means a device for determining in a reasonable manner the proportion of indirect costs each Program should bear. It is a ratio (expressed as a percentage) of the Indirect Costs to a Direct Cost base. If reimbursement of Indirect Costs is allowable under an Award, Grantor will not reimburse those Indirect Costs unless Grantee has established an Indirect Cost Rate covering the applicable activities and period of time, unless Indirect Costs are reimbursed at a fixed rate.

"Indirect Cost Rate Proposal" has the same meaning as in 44 III. Admin. Code 7000.30.

"Net Revenue" means an entity's total revenue less its operating expenses, interest paid, depreciation, and taxes. "Net Revenue" is synonymous with "Profit."

"Nonprofit Organization" has the same meaning as in 2 CFR 200.1.

"Notice of Award" has the same meaning as in 44 Ill. Admin. Code 7000.30.

"OMB" has the same meaning as in 44 III. Admin. Code 7000.30.

"Obligations" has the same meaning as in 44 III. Admin. Code 7000.30.

"Period of Performance" has the same meaning as in 2 CFR 200.1.

"Prior Approval" has the same meaning as in 44 III. Admin. Code 7000.30.

State of Illinois GRANT AGREEMENT FISCAL YEAR 2022 / 3/4/21 Page 5 of 39 "Profit" means an entity's total revenue less its operating expenses, interest paid, depreciation, and taxes. "Profit" is synonymous with "Net Revenue."

"Program" means the services to be provided pursuant to this Agreement.

"Program Costs" means all Allowable Costs incurred by Grantee and the value of the contributions made by third parties in accomplishing the objectives of the Award during the Term of this Agreement.

"Related Parties" has the meaning set forth in Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) 850-10-20.

"SAM" means the federal System for Award Management (SAM); which is the federal repository into which an entity must provide information required for the conduct of business as a recipient. 2 CFR 25 Appendix A (1)(C)(1).

"State" means the State of Illinois.

"Term" has the meaning set forth in Paragraph 1.4.

"Unallowable Costs" has the same meaning as in 44 III. Admin. Code 7000.30.

"Unique Entity Identifier" or "UEI" means the unique identifier assigned to the Grantee or to subrecipients by SAM.

ARTICLE IV PAYMENT

- 4.1. Availability of Appropriation; Sufficiency of Funds. This Agreement is contingent upon and subject to the availability of sufficient funds. Grantor may terminate or suspend this Agreement, in whole or in part, without penalty or further payment being required, if (i) sufficient funds for this Agreement have not been appropriated or otherwise made available to the Grantor by the State or the federal funding source, (ii) the Governor or Grantor reserves funds, or (iii) the Governor or Grantor determines that funds will not or may not be available for payment. Grantor shall provide notice, in writing, to Grantee of any such funding failure and its election to terminate or suspend this Agreement as soon as practicable. Any suspension or termination pursuant to this Section will be effective upon the date of the written notice unless otherwise indicated.
- 4.2. <u>Pre-Award Costs.</u> Pre-award costs are not permitted unless specifically authorized by the Grantor in <u>Exhibit A. PART TWO</u> or <u>PART THREE</u> of this Agreement. If they are authorized, pre-award costs must be charged to the initial Budget Period of the Award, unless otherwise specified by the Grantor. 2 CFR 200.458.
- 4.3. Return of Grant Funds. Any Grant Funds remaining that are not expended or legally obligated by Grantee, including those funds obligated pursuant to ARTICLE XVII, at the end of the Agreement period, or in the case of capital improvement Awards at the end of the time period Grant Funds are available for expenditure or obligation, shall be returned to Grantor within forty-five (45) days. A Grantee who is required to reimburse Grant Funds and who enters into a deferred payment plan for the purpose of satisfying a past due debt, shall be required to pay interest on such debt as required by Section 10.2 of the Illinois State Collection Act of 1986. 30 ILCS 210; 44 III. Admin. Code 7000.450(c). In addition, as required by 44 III. Admin. Code 7000.440(b)(2), unless granted a written extension, Grantee must liquidate all obligations incurred under the Award at the end of the

State of Illinois GRANT AGREEMENT FISCAL YEAR 2022 / 3/4/21 Page 6 of 39 period of performance.

- 4.4. <u>Cash Management Improvement Act of 1990</u>. Unless notified otherwise in <u>PART TWO</u> or <u>PART THREE</u>, federal funds received under this Agreement shall be managed in accordance with the Cash Management Improvement Act of 1990 (31 USC 6501 *et seq.*) and any other applicable federal laws or regulations. See 2 CFR 200.305; 44 Ill. Admin. Code 7000.120.
- 4.5. <u>Payments to Third Parties</u>. Grantee agrees to hold harmless Grantor when Grantor acts in good faith to redirect all or a portion of any Grantee payment to a third party. Grantor will be deemed to have acted in good faith if it is in possession of information that indicates Grantee authorized Grantor to intercept or redirect payments to a third party or when so ordered by a court of competent jurisdiction.
- 4.6. Modifications to Estimated Amount. If the Agreement amount is established on an estimated basis, then it may be increased by mutual agreement at any time during the Term. Grantor may decrease the estimated amount of this Agreement at any time during the Term if (i) Grantor believes Grantee will not use the funds during the Term, (ii) Grantor believes Grantee has used funds in a manner that was not authorized by this Agreement, (iii) sufficient funds for this Agreement have not been appropriated or otherwise made available to the Grantor by the State or the federal funding source, (iv) the Governor or Grantor reserves funds, or (v) the Governor or Grantor determines that funds will or may not be available for payment. Grantee will be notified, in writing, of any adjustment of the estimated amount of this Agreement. In the event of such reduction, services provided by Grantee under Exhibit A may be reduced accordingly. Grantee shall be paid for work satisfactorily performed prior to the date of the notice regarding adjustment. 2 CFR 200.308.

4.7. Interest.

- (a) All interest earned on Grant Funds held by a Grantee shall be treated in accordance with 2 CFR 200.305(b)(9), unless otherwise provided in **PART TWO** or **PART THREE**. Any amount due shall be remitted annually in accordance with 2 CFR 200.305(b)(9) or to the Grantor, as applicable.
- (b) Grant Funds shall be placed in an insured account, whenever possible, that bears interest, unless exempted under 2 CFR 200.305(b)(8).
- 4.8. <u>Timely Billing Required</u>. Grantee must submit any payment request to Grantor within fifteen (15) days of the end of the quarter, unless another billing schedule is specified in <u>PART TWO PART THREE</u> or <u>Exhibit</u> <u>C</u>. Failure to submit such payment request timely will render the amounts billed an unallowable cost which Grantor cannot reimburse. In the event that Grantee is unable, for good cause, to submit its payment request timely, Grantee shall timely notify Grantor and may request an extension of time to submit the payment request. Grantor's approval of Grantee's request for an extension shall not be unreasonably withheld.
- 4.9. <u>Certification</u>. Pursuant to 2 CFR 200.415, each invoice and report submitted by Grantee (or subgrantee) must contain the following certification by an official authorized to legally bind the Grantee (or subgrantee):

By signing this report [or payment request or both], I certify to the best of my knowledge and belief that the report [or payment request] is true, complete, and accurate; that the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the State or federal pass-through award; and that supporting documentation has been submitted as required by the grant agreement. I acknowledge that approval for

State of Illinois GRANT AGREEMENT FISCAL YEAR 2022 / 3/4/21 Page 7 of 39 any other expenditure described herein shall be considered conditional subject to further review and verification in accordance with the monitoring and records retention provisions of the grant agreement. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812; 30 ILCS 708/120).

ARTICLE V SCOPE OF GRANT ACTIVITIES/PURPOSE OF GRANT

- 5.1. Scope of Grant Activities/Purpose of Grant. Grantee will conduct the Grant Activities or provide the services as described in the Exhibits and attachments, including Exhibit A (Project Description) and Exhibit B (Deliverables), incorporated herein and in accordance with all terms and conditions set forth herein and all applicable administrative rules. In addition, the State's Notice of Award is incorporated herein by reference. All Grantor-specific provisions and programmatic reporting required under this Agreement are described in PART TWO (The Grantor-Specific Terms). All Project-specific provisions and reporting required under this Agreement are described in PART THREE.
- 5.2. <u>Scope Revisions</u>. Grantee shall obtain Prior Approval from Grantor whenever a Scope revision is necessary for one or more of the reasons enumerated in 2 CFR 200.308. All requests for Scope revisions that require Grantor approval shall be signed by Grantee's authorized representative and submitted to Grantor for approval. Expenditure of funds under a requested revision is prohibited and will not be reimbursed if expended before Grantor gives written approval. *See* 2 CFR 200.308.
- 5.3. <u>Specific Conditions</u>. If applicable, specific conditions required after a risk assessment will be included in **Exhibit G**. Grantee shall adhere to the specific conditions listed therein.

ARTICLE VI BUDGET

- 6.1. <u>Budget</u>. The Budget is a schedule of anticipated grant expenditures that is approved by Grantor for carrying out the purposes of the Award. When Grantee or third parties support a portion of expenses associated with the Award, the Budget includes the non-federal as well as the federal share (and State share if applicable) of grant expenses. The Budget submitted by Grantee at application, or a revised Budget subsequently submitted and approved by Grantor, is considered final and is incorporated herein by reference.
- 6.2. <u>Budget Revisions</u>. Grantee shall obtain Prior Approval from Grantor whenever a Budget revision is necessary for one or more of the reasons enumerated in 2 CFR 200.308 or 44 III. Admin. Code 7000.370(b). All requests for Budget revisions that require Grantor approval shall be signed by Grantee's authorized representative and submitted to Grantor for approval. Expenditure of funds under a requested revision is prohibited and will not be reimbursed if expended before Grantor gives written approval.
- 6.3. <u>Discretionary and Non-discretionary Line Item Transfers</u>. Discretionary and non-discretionary line item transfers may only be made in accordance with 2 CFR 200.308 and 44 III. Admin. Code 7000.370. Neither discretionary nor non-discretionary line item transfers may result in an increase to the total amount of Grant Funds in the Budget unless Prior Approval is obtained from Grantor.

State of Illinois GRANT AGREEMENT FISCAL YEAR 2022 / 3/4/21 Page 8 of 39 6.4. <u>Notification</u>. Within thirty (30) calendar days from the date of receipt of the request for Budget revisions, Grantor will review the request and notify Grantee whether the Budget revision has been approved, denied, or the date upon which a decision will be reached.

ARTICLE VII ALLOWABLE COSTS

7.1. <u>Allowability of Costs; Cost Allocation Methods</u>. The allowability of costs and cost allocation methods for work performed under this Agreement shall be determined in accordance with 2 CFR 200 Subpart E and Appendices III, IV, and V.

7.2. Indirect Cost Rate Submission.

- (a) All Grantees must make an Indirect Cost Rate election in the Grantee Portal, even grantees that do not charge or expect to charge Indirect Costs. 44 III. Admin. Code 7000.420(d).
 - (i) Waived and de minimis Indirect Cost Rate elections will remain in effect until the Grantee elects a different option.
- (b) A Grantee must submit an Indirect Cost Rate Proposal in accordance with federal regulations, in a format prescribed by Grantor. For Grantees who have never negotiated an Indirect Cost Rate before, the Indirect Cost Rate Proposal must be submitted for approval no later than three months after the effective date of the Award. For Grantees who have previously negotiated an Indirect Cost Rate, the Indirect Cost Rate Proposal must be submitted for approval within 180 days of the Grantee's fiscal year end, as dictated in the applicable appendices, such as:
 - (i) Appendix V and VII to 2 CFR Part 200 governs Indirect Cost Rate Proposals for state and local governments,
 - (ii) Appendix III to 2 CFR Part 200 governs Indirect Cost Rate Proposals for public and private institutions of higher education,
 - (iii) Appendix IV to 2 CFR Part 200 governs Indirect (F&A) Costs Identification and Assignment, and Rate Determination for Nonprofit Organizations, and
 - (iv) Appendix V to 2 CFR Part 200 governs state/Local Governmentwide Central Service Cost Allocation Plans.
- (c) A Grantee who has a current, applicable rate negotiated by a cognizant federal agency shall provide to Grantor a copy of its Indirect Cost Rate acceptance letter from the federal government and a copy of all documentation regarding the allocation methodology for costs used to negotiate that rate, e.g., without limitation, the cost policy statement or disclosure narrative statement. Grantor will accept that Indirect Cost Rate, up to any statutory, rule-based or programmatic limit.
- (d) A Grantee who does not have a current negotiated rate, may elect to charge a de minimis rate of 10% of modified total direct costs which may be used indefinitely. No documentation is required to justify the 10% de minimis Indirect Cost Rate. 2 CFR 200.414(f).
- 7.3. <u>Transfer of Costs</u>. Cost transfers between Grants, whether as a means to compensate for cost overruns or for other reasons, are unallowable. *See* 2 CFR 200.451.

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- 7.4. <u>Higher Education Cost Principles</u>. The federal cost principles that apply to public and private institutions of higher education are set forth in 2 CFR 200 Subpart E and Appendix III.
- 7.5. Nonprofit Organizations Cost Principles. The federal cost principles that apply to Nonprofit Organizations that are not institutions of higher education are set forth in 2 CFR 200 Subpart E, unless exempt under 2 CFR 200 Appendix VIII.
- 7.6. <u>Government Cost Principles</u>. The federal cost principles that apply to state, local and federally-recognized Indian tribal governments are set forth in 2 CFR Part 200 Subpart E, Appendix VI, and Appendix VII.
- 7.7. <u>Commercial Organization Cost Principles</u>. The federal cost principles and procedures for cost analysis and the determination, negotiation and allowance of costs that apply to commercial organizations are set forth in 48 CFR Part 31.
- 7.8. <u>Financial Management Standards</u>. The financial management systems of Grantee must meet the following standards:
 - (a) Accounting System. Grantee organizations must have an accounting system that provides accurate, current, and complete disclosure of all financial transactions related to each state- and federally-funded Program. Accounting records must contain information pertaining to state and federal pass-through awards, authorizations, obligations, unobligated balances, assets, outlays, and income. These records must be maintained on a current basis and balanced at least quarterly. Cash contributions to the Program from third parties must be accounted for in the general ledger with other Grant Funds. Third party in-kind (non-cash) contributions are not required to be recorded in the general ledger, but must be under accounting control, possibly through the use of a memorandum ledger. To comply with 2 CFR 200.305(b)(7)(i) and 30 ILCS 708/520, Grantee shall use reasonable efforts to ensure that funding streams are delineated within Grantee's accounting system. See 2 CFR 200.302.
 - (b) **Source Documentation**. Accounting records must be supported by such source documentation as canceled checks, bank statements, invoices, paid bills, donor letters, time and attendance records, activity reports, travel reports, contractual and consultant agreements, and subaward documentation. All supporting documentation should be clearly identified with the Award and general ledger accounts which are to be charged or credited.
 - (i) The documentation standards for salary charges to grants are prescribed by 2 CFR 200.430, and in the cost principles applicable to the entity's organization (Paragraphs 7.4 through 7.7).
 - (ii) If records do not meet the standards in 2 CFR 200.430, then Grantor may notify Grantee in <u>PART TWO</u>, <u>PART THREE</u> or <u>Exhibit G</u> of the requirement to submit Personnel activity reports. *See* 2 CFR 200.430(i)(8). Personnel activity reports shall account on an after-the-fact basis for one hundred percent (100%) of the employee's actual time, separately indicating the time spent on the grant, other grants or projects, vacation or sick leave, and administrative time, if applicable. The reports must be signed by the employee, approved by the appropriate official, and coincide with a pay period. These time records should be used to record the distribution of salary costs to the appropriate accounts no less frequently than quarterly.
 - (iii) Formal agreements with independent contractors, such as consultants, must include a description of the services to be performed, the period of performance, the fee and method of payment, an itemization of travel and other costs which are chargeable to the

State of Illinois GRANT AGREEMENT FISCAL YEAR 2022 / 3/4/21 Page 10 of 39 agreement, and the signatures of both the contractor and an appropriate official of Grantee.

- (iv) If third party in-kind (non-cash) contributions are used for Grant purposes, the valuation of these contributions must be supported with adequate documentation.
- (c) Internal Control. Effective control and accountability must be maintained for all cash, real and personal property, and other assets. Grantee must adequately safeguard all such property and must provide assurance that it is used solely for authorized purposes. Grantee must also have systems in place that provide reasonable assurance that the information is accurate, allowable, and compliant with the terms and conditions of this Agreement. 2 CFR 200.303.
- (d) **Budget Control**. Records of expenditures must be maintained for each Award by the cost categories of the approved Budget (including indirect costs that are charged to the Award), and actual expenditures are to be compared with Budgeted amounts at least quarterly.
- (e) Cash Management. Requests for advance payment shall be limited to Grantee's immediate cash needs. Grantee must have written procedures to minimize the time elapsing between the receipt and the disbursement of Grant Funds to avoid having excess funds on hand. 2 CFR 200.305.
- 7.9. <u>Federal Requirements</u>. All Awards, whether funded in whole or in part with either federal or State funds, are subject to federal requirements and regulations, including but not limited to 2 CFR Part 200, 44 III. Admin. Code 7000.30(b) and the Financial Management Standards in Paragraph 7.8.
- 7.10. <u>Profits</u>. It is not permitted for any person or entity to earn a Profit from an Award. *See, e.g.,* 2 CFR 200.400(g); see also 30 ILCS 708/60(a)(7).
- 7.11. <u>Management of Program Income.</u> Grantee is encouraged to earn income to defray program costs where appropriate, subject to 2 CFR 200.307.

ARTICLE VIII REQUIRED CERTIFICATIONS

- 8.1. <u>Certifications</u>. Grantee, its officers, and directors shall be responsible for compliance with the enumerated certifications to the extent that the certifications apply to Grantee.
 - (a) **Bribery.** Grantee certifies that it has not been convicted of bribery or attempting to bribe an officer or employee of the state of Illinois, nor made an admission of guilt of such conduct which is a matter of record (30 ILCS 500/50-5).
 - (b) **Bid Rigging.** Grantee certifies that it has not been barred from contracting with a unit of state or local government as a result of a violation of Paragraph 33E-3 or 33E-4 of the Criminal Code of 1961 (720 ILCS 5/33E-3 or 720 ILCS 5/33E-4, respectively).
 - (c) **Debt to State.** Grantee certifies that neither it, nor its affiliate(s), is/are barred from receiving an Award because Grantee, or its affiliate(s), is/are delinquent in the payment of any debt to the State, unless Grantee, or its affiliate(s), has/have entered into a deferred payment plan to pay off the debt, and Grantee acknowledges Grantor may declare the Agreement void if the certification is false (30 ILCS 500/50-11).

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- (d) **Educational Loan.** Grantee certifies that it is not barred from receiving State agreements as a result of default on an educational loan (5 ILCS 385/1 et seq.).
- (e) **International Boycott.** Grantee certifies that neither it nor any substantially owned affiliated company is participating or shall participate in an international boycott in violation of the provision of the U.S. Export Administration Act of 1979 (50 USC Appendix 2401 *et seq.*) or the regulations of the U.S. Department of Commerce promulgated under that Act (15 CFR Parts 730 through 774).
- (f) **Dues and Fees.** Grantee certifies that it is not prohibited from receiving an Award because it pays dues or fees on behalf of its employees or agents, or subsidizes or otherwise reimburses them for payment of their dues or fees to any club which unlawfully discriminates (775 ILCS 25/1 et seq.).
- (g) **Pro-Children Act.** Grantee certifies that it is in compliance with the Pro-Children Act of 2001 in that it prohibits smoking in any portion of its facility used for the provision of health, day care, early childhood development services, education or library services to children under the age of eighteen (18), which services are supported by federal or state government assistance (except such portions of the facilities which are used for inpatient substance abuse treatment) (20 USC 7181-7184).
- (h) **Drug-Free Work Place.** If Grantee is not an individual, Grantee certifies it will provide a drug free workplace pursuant to the Drug Free Workplace Act. 30 ILCS 580/3. If Grantee is an individual and this Agreement is valued at more than \$5,000, Grantee certifies it shall not engage in the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance during the performance of the Agreement. 30 ILCS 580/4. Grantee further certifies that it is in compliance with the government-wide requirements for a drug-free workplace as set forth in 41 USC 8102.
- (i) Motor Voter Law. Grantee certifies that it is in full compliance with the terms and provisions of the National Voter Registration Act of 1993 (52 USC 20501 *et seq.*).
- (j) Clean Air Act and Clean Water Act. Grantee certifies that it is in compliance with all applicable standards, order or regulations issued pursuant to the Clean Air Act (42 USC §7401 et seq.) and the Federal Water Pollution Control Act, as amended (33 USC 1251 et seq.).
- (k) **Debarment.** Grantee certifies that it is not debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this Agreement by any federal department or agency 2 CFR 200.205(a), or by the State (See 30 ILCS 708/25(6)(G)).
- (I) Non-procurement Debarment and Suspension. Grantee certifies that it is in compliance with Subpart C of 2 CFR Part 180 as supplemented by 2 CFR Part 376, Subpart C.
- (m) Grant for the Construction of Fixed Works. Grantee certifies that all Programs for the construction of fixed works which are financed in whole or in part with funds provided by this Agreement shall be subject to the Prevailing Wage Act (820 ILCS 130/0.01 et seq.) unless the provisions of that Act exempt its application. In the construction of the Program, Grantee shall comply with the requirements of the Prevailing Wage Act including, but not limited to, inserting into all contracts for such construction a stipulation to the effect that not less than the prevailing rate of wages as applicable to the Program shall be paid to all laborers, workers, and mechanics performing work under the Award and requiring all bonds of contractors to include a provision as will guarantee the faithful performance of such prevailing wage clause as provided by contract.

- (n) Health Insurance Portability and Accountability Act. Grantee certifies that it is in compliance with the Health Insurance Portability and Accountability Act of 1996 (HIPAA), Public Law No. 104-191, 45 CFR Parts 160, 162 and 164, and the Social Security Act, 42 USC 1320d-2 through 1320d-7, in that it may not use or disclose protected health information other than as permitted or required by law and agrees to use appropriate safeguards to prevent use or disclosure of the protected health information. Grantee shall maintain, for a minimum of six (6) years, all protected health information.
- (o) Criminal Convictions. Grantee certifies that neither it nor any officer, director, partner or other managerial agent of Grantee has been convicted of a felony under the Sarbanes-Oxley Act of 2002, nor a Class 3 or Class 2 felony under Illinois Securities Law of 1953, or that at least five (5) years have passed since the date of the conviction. Grantee further certifies that it is not barred from receiving an Award under 30 ILCS 500/50-10.5, and acknowledges that Grantor shall declare the Agreement void if this certification is false (30 ILCS 500/50-10.5).
- (p) Forced Labor Act. Grantee certifies that it complies with the State Prohibition of Goods from Forced Labor Act, and certifies that no foreign-made equipment, materials, or supplies furnished to the State under this Agreement have been or will be produced in whole or in part by forced labor, convict labor, or indentured labor under penal sanction (30 ILCS 583).
- (q) Illinois Use Tax. Grantee certifies in accordance with 30 ILCS 500/50-12 that it is not barred from receiving an Award under this Paragraph. Grantee acknowledges that this Agreement may be declared void if this certification is false.
- (r) Environmental Protection Act Violations. Grantee certifies in accordance with 30 ILCS 500/50-14 that it is not barred from receiving an Award under this Paragraph. Grantee acknowledges that this Agreement may be declared void if this certification is false.
- (s) Goods from Child Labor Act. Grantee certifies that no foreign-made equipment, materials, or supplies furnished to the State under this Agreement have been produced in whole or in part by the labor of any child under the age of twelve (12) (30 ILCS 584).
- (t) Federal Funding Accountability and Transparency Act of 2006. Grantee certifies that it is in compliance with the terms and requirements of 31 USC 6101.
- (u) Illinois Works Review Panel. For Awards made for public works projects, as defined in the Illinois Works Jobs Program Act, Grantee certifies that it and any contractor(s) or sub-contractor(s) that performs work using funds from this Award, shall, upon reasonable notice, appear before and respond to requests for information from the Illinois Works Review Panel. 30 ILCS 559/20-25(d).

ARTICLE IX CRIMINAL DISCLOSURE

9.1. <u>Mandatory Criminal Disclosures</u>. Grantee shall continue to disclose to Grantor all violations of criminal law involving fraud, bribery or gratuity violations potentially affecting this Award. *See* 30 ILCS 708/40. Additionally, if Grantee receives over \$10 million in total Financial Assistance, funded by either State or federal funds, during the period of this Award, Grantee must maintain the currency of information reported to SAM regarding civil, criminal or administrative proceedings as required by 2 CFR 200.113 and Appendix XII of 2 CFR

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ARTICLE X UNLAWFUL DISCRIMINATION

- 10.1. <u>Compliance with Nondiscrimination Laws</u>. Grantee, its employees and subcontractors under subcontract made pursuant to this Agreement, shall comply with all applicable provisions of state and federal laws and regulations pertaining to nondiscrimination, sexual harassment and equal employment opportunity including, but not limited to, the following laws and regulations and all subsequent amendments thereto:
 - (a) The Illinois Human Rights Act (775 ILCS 5/1-101 et seq.), including, without limitation, 44 Ill. Admin. Code Part 750, which is incorporated herein;
 - (b) The Public Works Employment Discrimination Act (775 ILCS 10/1 et seq.);
 - (c) The United States Civil Rights Act of 1964 (as amended) (42 USC 2000a- and 2000h-6). (See also guidelines to Federal Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons [Federal Register: February 18, 2002 (Volume 67, Number 13, Pages 2671-2685)]);
 - (d) Section 504 of the Rehabilitation Act of 1973 (29 USC 794);
 - (e) The Americans with Disabilities Act of 1990 (as amended) (42 USC 12101 et seq.); and
 - (f) The Age Discrimination Act (42 USC 6101 et seq.).

ARTICLE XI LOBBYING

- 11.1. <u>Improper Influence</u>. Grantee certifies that no Grant Funds have been paid or will be paid by or on behalf of Grantee to any person for influencing or attempting to influence an officer or employee of any government agency, a member of Congress or Illinois General Assembly, an officer or employee of Congress or Illinois General Assembly, or an employee of a member of Congress or Illinois General Assembly in connection with the awarding of any agreement, the making of any grant, the making of any loan, the entering into of any cooperative agreement, or the extension, continuation, renewal, amendment or modification of any agreement, grant, loan or cooperative agreement. 31 USC 1352. Additionally, Grantee certifies that it has filed the required certification under the Byrd Anti-Lobbying Amendment (31 USC 1352), if applicable.
- 11.2. <u>Federal Form LLL</u>. If any funds, other than federally-appropriated funds, were paid or will be paid to any person for influencing or attempting to influence any of the above persons in connection with this Agreement, the undersigned must also complete and submit Federal Form LLL, Disclosure of Lobbying Activities Form, in accordance with its instructions.
- 11.3. <u>Lobbying Costs</u>. Grantee certifies that it is in compliance with the restrictions on lobbying set forth in 2 CFR 200.450. For any Indirect Costs associated with this Agreement, total lobbying costs shall be separately identified in the Program Budget, and thereafter treated as other Unallowable Costs.

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- 11.4. Procurement Lobbying. Grantee warrants and certifies that it and, to the best of its knowledge, its sub-grantees have complied and will comply with Executive Order No. 1 (2007) (EO 1-2007). EO 1-2007 generally prohibits Grantees and subcontractors from hiring the then-serving Governor's family members to lobby procurement activities of the State, or any other unit of government in Illinois including local governments, if that procurement may result in a contract valued at over \$25,000. This prohibition also applies to hiring for that same purpose any former State employee who had procurement authority at any time during the one-year period preceding the procurement lobbying activity.
- 11.5. <u>Subawards</u>. Grantee must include the language of this ARTICLE XI in the award documents for any subawards made pursuant to this Award at all tiers. All sub-awardees are also subject to certification and disclosure. Pursuant to Appendix II(I) to 2 CFR Part 200, Grantee shall forward all disclosures by contractors regarding this certification to Grantor.
- 11.6. <u>Certification</u>. This certification is a material representation of fact upon which reliance was placed to enter into this transaction and is a prerequisite for this transaction, pursuant to 31 USC 1352. Any person who fails to file the required certifications shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.

ARTICLE XII MAINTENANCE AND ACCESSIBILITY OF RECORDS; MONITORING

- 12.1. Records Retention. Grantee shall maintain for three (3) years from the date of submission of the final expenditure report, adequate books, all financial records and, supporting documents, statistical records, and all other records pertinent to this Award, adequate to comply with 2 CFR 200.334, unless a different retention period is specified in 2 CFR 200.334 or 44 Ill. Admin. Code 7000.430(a) and (b). If any litigation, claim or audit is started before the expiration of the retention period, the records must be retained until all litigation, claims or audit exceptions involving the records have been resolved and final action taken.
- 12.2. Accessibility of Records. Grantee, in compliance with 2 CFR 200.337 and 44 Ill. Admin. Code 7000.430(e), shall make books, records, related papers, supporting documentation and personnel relevant to this Agreement available to authorized Grantor representatives, the Illinois Auditor General, Illinois Attorney General, any Executive Inspector General, the Grantor's Inspector General, federal authorities, any person identified in 2 CFR 200.337, and any other person as may be authorized by Grantor (including auditors), by the state of Illinois or by federal statute. Grantee shall cooperate fully in any such audit or inquiry.
- 12.3. <u>Failure to Maintain Books and Records</u>. Failure to maintain books, records and supporting documentation, as described in this ARTICLE XII, shall establish a presumption in favor of the State for the recovery of any funds paid by the State under this Agreement for which adequate books, records and supporting documentation are not available to support disbursement.
- 12.4. Monitoring and Access to Information. Grantee must monitor its activities to assure compliance with applicable state and federal requirements and to assure its performance expectations are being achieved. Grantor shall monitor the activities of Grantee to assure compliance with all requirements and performance expectations of the award. Grantee shall timely submit all financial and performance reports, and shall supply, upon Grantor's request, documents and information relevant to the Award. Grantor may make site visits as warranted by program needs. See 2 CFR 200.329 and 200.332. Additional monitoring requirements may be in PART TWO or PART THREE.

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ARTICLE XIII FINANCIAL REPORTING REQUIREMENTS

13.1. Required Periodic Financial Reports. Grantee agrees to submit financial reports as requested and in the format required by Grantor. Grantee shall file quarterly reports with Grantor describing the expenditure(s) of the funds related thereto, unless more frequent reporting is required by the Grantee pursuant to specific award conditions. 2 CFR 200.208. Unless so specified, the first of such reports shall cover the first three months after the Award begins, and reports must be submitted no later than the due date(s) specified in **PART TWO** or **PART THREE** unless additional information regarding required financial reports is set forth in **Exhibit G**. Failure to submit the required financial reports may cause a delay or suspension of funding. 30 ILCS 705/1 et seq.; 2 CFR 208(b)(3) and 200.328. Any report required by 30 ILCS 708/125 may be detailed in **PART TWO** or **PART THREE**.

13.2. Close-out Reports.

- (a) Grantee shall submit a Close-out Report no later than the due date specified in <u>PART TWO</u> or <u>PART THREE</u> following the end of the period of performance for this Agreement or Agreement termination. The format of this Close-out Report shall follow a format prescribed by Grantor. 2 CFR 200.344; 44 Ill. Admin. Code 7000.440(b).
- (b) If an audit or review of Grantee occurs and results in adjustments after Grantee submits a Close-out Report, Grantee will submit a new Close-out Report based on audit adjustments, and immediately submit a refund to Grantor, if applicable. 2 CFR 200.345.
- 13.3. Effect of Failure to Comply. Failure to comply with reporting requirements shall result in the withholding of funds, the return of Improper Payments or Unallowable Costs, will be considered a material breach of this Agreement and may be the basis to recover Grant Funds. Grantee's failure to comply with this ARTICLE XIII, ARTICLE XIV, or ARTICLE XV shall be considered prima facie evidence of a breach and may be admitted as such, without further proof, into evidence in an administrative proceeding before Grantor, or in any other legal proceeding. Grantee should refer to the State of Illinois Grantee Compliance Enforcement System for policy and consequences for failure to comply. 44 Ill. Admin. Code 7000.80.

ARTICLE XIV PERFORMANCE REPORTING REQUIREMENTS

14.1. Required Periodic Performance Reports. Grantee agrees to submit Performance Reports as requested and in the format required by Grantor. Performance Measures listed in Exhibit E must be reported quarterly, unless otherwise specified in PART TWO. PART THREE or Exhibit G. Unless so specified, the first of such reports shall cover the first three months after the Award begins. If Grantee is not required to report performance quarterly, then Grantee must submit a Performance Report at least annually. Pursuant to 2 CFR 200.208, specific conditions may be imposed requiring Grantee to report more frequently based on the risk assessment or the merit-based review of the application. In such cases, Grantor shall notify Grantee of same in Exhibit G. Pursuant to 2 CFR 200.329 and 44 III. Admin. Code 7000.410(b)(2), periodic Performance Reports shall be submitted no later than the due date(s) specified in PART TWO or PART THREE. For certain construction-related Awards, such reports may be exempted as identified in PART TWO or PART THREE. 2 CFR 200.329. Failure to submit such required Performance Reports may cause a delay or suspension of funding. 30 ILCS 705/1 et seq.

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- 14.2. <u>Close-out Performance Reports.</u> Grantee agrees to submit a Close-out Performance Report, in the format required by Grantor, no later than the due date specified in <u>PART TWO</u> or <u>PART THREE</u> following the end of the period of performance or Agreement termination. *See* 2 CFR 200.344; 44 III. Admin. Code 7000.440(b)(1).
- 14.3. Content of Performance Reports. Pursuant to 2 CFR 200.329(b) and (c), all Performance Reports must relate the financial data and accomplishments to the performance goals and objectives of this Award and also include the following: a comparison of actual accomplishments to the objectives of the award established for the period; where the accomplishments can be quantified, a computation of the cost and demonstration of cost effective practices (e.g., through unit cost data); performance trend data and analysis if required; and reasons why established goals were not met, if appropriate. Appendices may be used to include additional supportive documentation. Additional content and format guidelines for the Performance Reports will be determined by Grantor contingent on the Award's statutory, regulatory and administrative requirements, and are included in PART TWO or PART THREE of this Agreement.
- 14.4. <u>Performance Standards</u>. Grantee shall perform in accordance with the Performance Standards set forth in <u>Exhibit F</u>. See 2 CFR 200.301 and 200.210.

ARTICLE XV AUDIT REQUIREMENTS

- 15.1. <u>Audits</u>. Grantee shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 USC 7501-7507) and Subpart F of 2 CFR Part 200, and the audit rules and policies set forth by the Governor's Office of Management and Budget. *See* 30 ILCS 708/65(c); 44 III. Admin. Code 7000.90.
- 15.2. <u>Consolidated Year-End Financial Reports (CYEFR)</u>. All grantees are required to complete and submit a CYEFR through the Grantee Portal. The CYEFR is a required schedule in the Grantee's audit report if the Grantee is required to complete and submit an audit report as set forth herein.
 - (a) This Paragraph 15.2 applies to all Grantees, unless exempted pursuant to a federal or state statute or regulation, which is identified in <u>PART TWO</u> or <u>PART THREE</u>.
 - (b) The CYEFR must cover the same period as the Audited Financial Statements, if required, and must be submitted in accordance with the audit schedule at 44 III. Admin. Code 7000.90. If Audited Financial Statements are not required, however, then the CYEFR must cover the Grantee's fiscal year and must be submitted within 6 months of the Grantee's fiscal year-end.
 - (c) CYEFRs must include an in relation to opinion from the auditor of the financial statements included in the CYEFR.
 - (d) CYEFRs shall follow a format prescribed by Grantor.
 - 15.3. Entities That Are Not "For-Profit".
 - (a) This Paragraph applies to Grantees that are not "for-profit" entities.
 - (b) <u>Single and Program-Specific Audits</u>. If, during its fiscal year, Grantee expends \$750,000 or more in Federal Awards (direct federal and federal pass-through awards combined), Grantee must

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- (c) <u>Financial Statement Audit.</u> If, during its fiscal year, Grantee expends less than \$750,000 in Federal Awards, Grantee is subject to the following audit requirements:
 - (i) If, during its fiscal year, Grantee expends \$500,000 or more in Federal and state Awards, singularly or in any combination, from all sources, Grantee must have a financial statement audit conducted in accordance with the Generally Accepted Government Auditing Standards (GAGAS). Grantee may be subject to additional requirements in PART TWO PART THREE or Exhibit G based on the Grantee's risk profile.
 - (ii) If, during its fiscal year, Grantee expends less than \$500,000 in Federal and state Awards, singularly or in any combination, from all sources, but expends \$300,000 or more in Federal and state Awards, singularly or in any combination, from all sources, Grantee must have a financial statement audit conducted in accordance with the Generally Accepted Auditing Standards (GAAS).
 - (iii) If Grantee is a Local Education Agency (as defined in 34 CFR 77.1), Grantee shall have a financial statement audit conducted in accordance with GAGAS, as required by 23 III. Admin. Code 100.110, regardless of the dollar amount of expenditures of Federal and state Awards.
 - (iv) If Grantee does not meet the requirements in subsections 15.3(b) and 15.3(c)(i-iii) but is required to have a financial statement audit conducted based on other regulatory requirements, Grantee must submit those audits for review.
 - (v) Grantee must submit its financial statement audit report packet, as set forth in 44 III. Admin. Code 7000.90(h)(2) and the current GATA audit manual, to the Grantee Portal within the earlier of (i) 30 calendar days after receipt of the auditor's report(s) or (ii) 6 months after the end of the Grantee's audit period.

15.4. "For-Profit" Entities.

- (a) This Paragraph applies to Grantees that are "for-profit" entities.
- (b) Program-Specific Audit. If, during its fiscal year, Grantee expends \$750,000 or more in Federal Awards (direct federal and federal pass-through awards), from all sources, Grantee is required to have a program-specific audit conducted in accordance with 2 CFR 200.507. The auditor must audit Federal programs with Federal Awards expended that, in the aggregate, cover at least 50 percent (0.50) of total Federal Awards expended. The audit report packet must be completed as described in 2 CFR 200.507 (program-specific audit), 44 III. Admin. Code 7000.90 and the current GATA audit manual, and must be submitted to the Grantee Portal. The due date of all required submissions set forth in this Paragraph is the earlier of (i) 30 calendar days after receipt of the auditor's report(s) or (ii) nine (9) months after the end of the Grantee's audit period.

- (c) <u>Financial Statement Audit</u>. If, during its fiscal year, Grantee expends less than \$750,000 in Federal Awards and state Awards, singularly or in any combination, from all sources, Grantee must follow all of the audit requirements in Paragraphs 15.3(c)(i)-(v), above.
- (d) <u>Publicly-Traded Entities</u>. If Grantee is a publicly-traded company, Grantee is not subject to the single audit or program-specific audit requirements, but is required to submit its annual audit conducted in accordance with its regulatory requirements.
- 15.5. Performance of Audits. For those organizations required to submit an independent audit report, the audit is to be conducted by a Certified Public Accountant or Certified Public Accounting Firm licensed in the state of Illinois or in accordance with Section 5.2 of the Illinois Public Accounting Act (225 ILCS 450/5.2). For all audits required to be performed subject to Generally Accepted Government Auditing standards or Generally Accepted Auditing standards, Grantee shall request and maintain on file a copy of the auditor's most recent peer review report and acceptance letter. Grantee shall follow procedures prescribed by Grantor for the preparation and submission of audit reports and any related documents.
- 15.6. <u>Delinquent Reports</u>. Grantee should refer to the State of Illinois Grantee Compliance Enforcement System for the policy and consequences for late reporting. 44 Ill. Admin. Code 7000.80.

ARTICLE XVI TERMINATION; SUSPENSION; NON-COMPLIANCE

16.1. Termination.

- (a) This Agreement may be terminated, in whole or in part, by either Party for any or no reason upon thirty (30) calendar days' prior written notice to the other Party. If terminated by the Grantee, Grantee must include the reasons for such termination, the effective date, and, in the case of a partial termination, the portion to be terminated. If Grantor determines in the case of a partial termination that the reduced or modified portion of the Award will not accomplish the purposes for which the Award was made, Grantor may terminate the Agreement in its entirety. 2 CFR 200.340(a)(4).
- (b) This Agreement may be terminated, in whole or in part, by Grantor without advance notice:
 - (i) Pursuant to a funding failure under Paragraph 4.1;
 - (ii) If Grantee fails to comply with the terms and conditions of this or any Award, application or proposal, including any applicable rules or regulations, or has made a false representation in connection with the receipt of this or any Grant;
 - (iii) If the Award no longer effectuates the program goals or agency priorities as set forth in Exhibit A, PART TWO or PART THREE; or
 - (iv) If Grantee breaches this Agreement and either (1) fails to cure such breach within 15 calendar days' written notice thereof, or (2) if such cure would require longer than 15 calendar days and the Grantee has failed to commence such cure within 15 calendar days' written notice thereof. In the event that Grantor terminates this Agreement as a result of the breach of the Agreement by Grantee, Grantee shall be paid for work satisfactorily performed

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- 16.2. <u>Suspension</u>. Grantor may suspend this Agreement, in whole or in part, pursuant to a funding failure under Paragraph 4.1 or if the Grantee fails to comply with terms and conditions of this or any Award. If suspension is due to Grantee's failure to comply, Grantor may withhold further payment and prohibit Grantee from incurring additional obligations pending corrective action by Grantee or a decision to terminate this Agreement by Grantor. Grantor may determine to allow necessary and proper costs that Grantee could not reasonably avoid during the period of suspension.
- 16.3. <u>Non-compliance</u>. If Grantee fails to comply with the U.S. Constitution, applicable statutes, regulations or the terms and conditions of this or any Award, Grantor may impose additional conditions on Grantee, as described in 2 CFR 200.208. If Grantor determines that non-compliance cannot be remedied by imposing additional conditions, Grantor may take one or more of the actions described in 2 CFR 200.339. The Parties shall follow all Grantor policies and procedures regarding non-compliance, including, but not limited to, the procedures set forth in the State of Illinois Grantee Compliance Enforcement System. 44 Ill. Admin. Code 7000.80 and 7000.260.
- 16.4. <u>Objection</u>. If Grantor suspends or terminates this Agreement, in whole or in part, for cause, or takes any other action in response to Grantee's non-compliance, Grantee may avail itself of any opportunities to object and challenge such suspension, termination or other action by Grantor in accordance with any applicable processes and procedures, including, but not limited to, the procedures set forth in the State of Illinois Grantee Compliance Enforcement System. 2 CFR 200.342; 44 Ill. Admin. Code 7000.80 and 7000.260.

16.5. Effects of Suspension and Termination.

- (a) Grantor may credit Grantee for expenditures incurred in the performance of authorized services under this Agreement prior to the effective date of a suspension or termination.
- (b) Grantee shall not incur any costs or obligations that require the use of these Grant Funds after the effective date of a suspension or termination, and shall cancel as many outstanding obligations as possible.
- (c) Costs to Grantee resulting from obligations incurred by Grantee during a suspension or after termination of the Agreement are not allowable unless:
 - (i) Grantor expressly authorizes them in the notice of suspension or termination;
 and
 - (ii) The costs result from obligations properly incurred before the effective date of suspension or termination, are not in anticipation of the suspension or termination, and the costs would be allowable if the Agreement was not suspended or terminated. 2 CFR 200.343.
- 16.6. <u>Close-out of Terminated Agreements</u>. If this Agreement is terminated, in whole or in part, the Parties shall comply with all close-out and post-termination requirements of this Agreement. 2 CFR 200.340(d).

ARTICLE XVII
SUBCONTRACTS/SUB-GRANTS

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- 17.1. <u>Sub-recipients/Delegation</u>. Grantee may not subcontract nor sub-grant any portion of this Agreement nor delegate any duties hereunder without Prior Approval of Grantor. The requirement for Prior Approval is satisfied if the subcontractor or sub-grantee has been identified in the Uniform Grant Application, such as, without limitation, a Project Description, and Grantor has approved. Grantee must notify any potential sub-recipient that the sub-recipient shall obtain and provide to the Grantee a Unique Entity Identifier prior to receiving a subaward. 2 CFR 25.300.
- 17.2. Application of Terms. Grantee shall advise any sub-grantee of funds awarded through this Agreement of the requirements imposed on them by federal and state laws and regulations, and the provisions of this Agreement. The terms of this Agreement shall apply to all subawards authorized in accordance with Paragraph 17.1. 2 CFR 200.101(b)(2).
- 17.3. <u>Liability as Guaranty</u>. Grantee shall be liable as guarantor for any Grant Funds it obligates to a sub-grantee or sub-contractor pursuant to Paragraph 17.1 in the event the Grantor determines the funds were either misspent or are being improperly held and the sub-grantee or sub-contractor is insolvent or otherwise fails to return the funds. 2 CFR 200.345; 30 ILCS 705/6; 44 Ill. Admin. Code 7000.450(a).

ARTICLE XVIII NOTICE OF CHANGE

- 18.1. Notice of Change. Grantee shall notify the Grantor if there is a change in Grantee's legal status, federal employer identification number (FEIN), DUNS Number, UEI, SAM registration status, Related Parties, senior management or address. See 30 ILCS 708/60(a). If the change is anticipated, Grantee shall give thirty (30) days' prior written notice to Grantor. If the change is unanticipated, Grantee shall give notice as soon as practicable thereafter. Grantor reserves the right to take any and all appropriate action as a result of such change(s).
- 18.2. <u>Failure to Provide Notification</u>. Grantee shall hold harmless Grantor for any acts or omissions of Grantor resulting from Grantee's failure to notify Grantor of these changes.
- 18.3. <u>Notice of Impact</u>. Grantee shall immediately notify Grantor of any event that may have a material impact on Grantee's ability to perform this Agreement.
- 18.4. <u>Circumstances Affecting Performance; Notice</u>. In the event Grantee becomes a party to any litigation, investigation or transaction that may reasonably be considered to have a material impact on Grantee's ability to perform under this Agreement, Grantee shall notify Grantor, in writing, within five (5) calendar days of determining such litigation or transaction may reasonably be considered to have a material impact on the Grantee's ability to perform under this Agreement.
- 18.5. <u>Effect of Failure to Provide Notice</u>. Failure to provide the notice described in Paragraph 18.4 shall be grounds for immediate termination of this Agreement and any costs incurred after notice should have been given shall be disallowed.

ARTICLE XIX STRUCTURAL REORGANIZATION AND RECONSTITUTION OF BOARD MEMBERSHIP

19.1. Effect of Reorganization. Grantee acknowledges that this Agreement is made by and between

State of Illinois GRANT AGREEMENT FISCAL YEAR 2022 / 3/4/21 Page 21 of 39 Grantor and Grantee, as Grantee is currently organized and constituted. No promise or undertaking made hereunder is an assurance that Grantor agrees to continue this Agreement, or any license related thereto, should Grantee significantly reorganize or otherwise substantially change the character of its corporate structure, business structure or governance structure. Grantee agrees that it will give Grantor prior notice of any such action or changes significantly affecting its overall structure or management makeup (for example, a merger or a corporate restructuring), and will provide any and all reasonable documentation necessary for Grantor to review the proposed transaction including financial records and corporate and shareholder minutes of any corporation which may be involved. This ARTICLE XIX does not require Grantee to report on minor changes in the makeup of its board membership. Nevertheless, PART TWO or PART THREE may impose further restrictions. Failure to comply with this ARTICLE XIX shall constitute a material breach of this Agreement.

ARTICLE XX AGREEMENTS WITH OTHER STATE AGENCIES

20.1. <u>Copies upon Request</u>. Grantee shall, upon request by Grantor, provide Grantor with copies of contracts or other agreements to which Grantee is a party with any other State agency.

ARTICLE XXI CONFLICT OF INTEREST

- 21.1. Required Disclosures. Grantee must immediately disclose in writing any potential or actual Conflict of Interest to the Grantor. 2 CFR 200.113 and 30 ILCS 708/35.
- 21.2. <u>Prohibited Payments</u>. Grantee agrees that payments made by Grantor under this Agreement will not be used to compensate, directly or indirectly, any person: (1) currently holding an elective office in this State including, but not limited to, a seat in the General Assembly, or (2) employed by an office or agency of the state of Illinois whose annual compensation is in excess of sixty percent (60%) of the Governor's annual salary, or \$106,447.20 (30 ILCS 500/50-13).
- 21.3. Request for Exemption. Grantee may request written approval from Grantor for an exemption from Paragraph 21.2. Grantee acknowledges that Grantor is under no obligation to provide such exemption and that Grantor may, if an exemption is granted, grant such exemption subject to such additional terms and conditions as Grantor may require.

ARTICLE XXII EQUIPMENT OR PROPERTY

- 22.1. <u>Transfer of Equipment</u>. Grantor shall have the right to require that Grantee transfer to Grantor any equipment, including title thereto, purchased in whole or in part with Grantor funds, if Grantor determines that Grantee has not met the conditions of 2 CFR 200.439. Grantor shall notify Grantee in writing should Grantor require the transfer of such equipment. Upon such notification by Grantor, and upon receipt or delivery of such equipment by Grantor, Grantee will be deemed to have transferred the equipment to Grantor as if Grantee had executed a bill of sale therefor.
- 22.2. <u>Prohibition against Disposition/Encumbrance</u>. The Grantee is prohibited from, and may not sell, transfer, encumber (other than original financing) or otherwise dispose of said equipment, material, or real

State of Illinois GRANT AGREEMENT FISCAL YEAR 2022 / 3/4/21 Page 22 of 39 property during the Grant Term without Prior Approval of Grantor. Any real property acquired using Grant Funds must comply with the requirements of 2 CFR 200.311.

- 22.3. Equipment and Procurement. Grantee must comply with the uniform standards set forth in 2 CFR 200.310–200.316 governing the management and disposition of property which cost was supported by Grant Funds. Any waiver from such compliance must be granted by either the President's Office of Management and Budget, the Governor's Office of Management and Budget, or both, depending on the source of the Grant Funds used. Additionally, Grantee must comply with the standards set forth in 2 CFR 200.317-200.326 for use in establishing procedures for the procurement of supplies and other expendable property, equipment, real property and other services with Grant Funds. These standards are furnished to ensure that such materials and services are obtained in an effective manner and in compliance with the provisions of applicable federal and state statutes and executive orders.
- 22.4. <u>Equipment Instructions</u>. Grantee must obtain disposition instructions from Grantor when equipment, purchased in whole or in part with Grant Funds, are no longer needed for their original purpose. Notwithstanding anything to the contrary contained within this Agreement, Grantor may require transfer of any equipment to Grantor or a third party for any reason, including, without limitation, if Grantor terminates the Award or Grantee no longer conducts Award activities. The Grantee shall properly maintain, track, use, store and insure the equipment according to applicable best practices, manufacturer's guidelines, federal and state laws or rules, and Grantor requirements stated herein.
- 22.5. <u>Domestic Preferences for Procurements</u>. In accordance with 2 CFR 200.322, as appropriate and to the extent consistent with law, the Grantee should, to the greatest extent practicable under this Award, provide a preference for the purchase, acquisition, or use of goods, products, or materials produced in the United States (including but not limited to iron, aluminum, steel, cement, and other manufactured products). The requirements of this paragraph must be included in all subawards and in all contracts and purchase orders for work or products under this Award.

ARTICLE XXIII PROMOTIONAL MATERIALS; PRIOR NOTIFICATION

- 23.1. <u>Publications, Announcements, etc.</u> Use of Grant Funds for promotions is subject to the prohibitions for advertising or public relations costs in 2 CFR 200.421(e). In the event that Grantor funds are used in whole or in part to produce any written publications, announcements, reports, flyers, brochures or other written materials, Grantee shall obtain Prior Approval for the use of those funds (2 CFR 200.467) and agrees to include in these publications, announcements, reports, flyers, brochures and all other such material, the phrase "Funding provided in whole or in part by the [Grantor]." Exceptions to this requirement must be requested, in writing, from Grantor and will be considered authorized only upon written notice thereof to Grantee.
- 23.2. <u>Prior Notification/Release of Information</u>. Grantee agrees to notify Grantor ten (10) days prior to issuing public announcements or press releases concerning work performed pursuant to this Agreement, or funded in whole or in part by this Agreement, and to cooperate with Grantor in joint or coordinated releases of information.

ARTICLE XXIV
INSURANCE

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- 24.1. <u>Purchase and Maintenance of Insurance</u>. Grantee shall maintain in full force and effect during the Term of this Agreement casualty and bodily injury insurance, as well as insurance sufficient to cover the replacement cost of any and all real or personal property, or both, purchased or, otherwise acquired, or improved in whole or in part, with funds disbursed pursuant to this Agreement. 2 CFR 200.310. Additional insurance requirements may be detailed in <u>PART TWO</u> or <u>PART THREE</u>.
- 24.2. <u>Claims</u>. If a claim is submitted for real or personal property, or both, purchased in whole with funds from this Agreement and such claim results in the recovery of money, such money recovered shall be surrendered to Grantor.

ARTICLE XXV LAWSUITS AND INDEMNIFICATION

- 25.1. Independent Contractor. Grantee is an independent contractor under this Agreement and neither Grantee nor any employee or agent of Grantee is an employee of Grantor and do not acquire any employment rights with Grantor or the state of Illinois by virtue of this Agreement. Grantee will provide the agreed services and achieve the specified results free from the direction or control of Grantor as to the means and methods of performance. Grantee will be required to provide its own equipment and supplies necessary to conduct its business; provided, however, that in the event, for its convenience or otherwise, Grantor makes any such equipment or supplies available to Grantee, Grantee's use of such equipment or supplies provided by Grantor pursuant to this Agreement shall be strictly limited to official Grantor or state of Illinois business and not for any other purpose, including any personal benefit or gain.
- 25.2. <u>Indemnification</u>. To the extent permitted by law, Grantee agrees to hold harmless Grantor against any and all liability, loss, damage, cost or expenses, including attorneys' fees, arising from the intentional torts, negligence or breach of contract of Grantee, with the exception of acts performed in conformance with an explicit, written directive of Grantor. Indemnification by Grantor will be governed by the State Employee Indemnification Act (5 ILCS 350/1 et seq.) as interpreted by the Illinois Attorney General. Grantor makes no representation that Grantee, an independent contractor, will qualify or be eligible for indemnification under said Act.

ARTICLE XXVI MISCELLANEOUS

- 26.1. <u>Gift Ban</u>. Grantee is prohibited from giving gifts to State employees pursuant to the State Officials and Employees Ethics Act (5 ILCS 430/10-10) and Executive Order 15-09.
- 26.2. Access to Internet. Grantee must have Internet access. Internet access may be either dial-up or high-speed. Grantee must maintain, at a minimum, one business e-mail address that will be the primary receiving point for all e-mail correspondence from Grantor. Grantee may list additional e-mail addresses at any time during the Term of this Agreement. The additional addresses may be for a specific department or division of Grantee or for specific employees of Grantee. Grantee must notify Grantor of any e-mail address changes within five (5) business days from the effective date of the change.
- 26.3. <u>Exhibits and Attachments</u>. <u>Exhibits A</u> through <u>G</u>, <u>PART TWO PART THREE</u> if applicable, and all other exhibits and attachments hereto are incorporated herein in their entirety.

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- 26.4. Assignment Prohibited. Grantee acknowledges that this Agreement may not be sold, assigned, or transferred in any manner by Grantee, to include an assignment of Grantee's rights to receive payment hereunder, and that any actual or attempted sale, assignment, or transfer by Grantee without the Prior Approval of Grantor in writing shall render this Agreement null, void and of no further effect.
- 26.5. <u>Amendments</u>. This Agreement may be modified or amended at any time during its Term by mutual consent of the Parties, expressed in writing and signed by the Parties.
- 26.6. <u>Severability</u>. If any provision of this Agreement is declared invalid, its other provisions shall not be affected thereby.
- 26.7. <u>No Waiver</u>. No failure of Grantor to assert any right or remedy hereunder will act as a waiver of right to assert such right or remedy at a later time or constitute a course of business upon which Grantee may rely for the purpose of denial of such a right or remedy to Grantor.
- 26.8. <u>Applicable Law; Claims</u>. This Agreement and all subsequent amendments thereto, if any, shall be governed and construed in accordance with the laws of the state of Illinois. Any claim against Grantor arising out of this Agreement must be filed exclusively with the Illinois Court of Claims. 705 ILCS 505/1 et seq. Grantor does not waive sovereign immunity by entering into this Agreement.
- 26.9. <u>Compliance with Law.</u> This Agreement and Grantee's obligations and services hereunder are hereby made and must be performed in compliance with all applicable federal and State laws, including, without limitation, federal regulations, State administrative rules, including 44 III. Admin. Code 7000, and any and all license requirements or professional certification provisions.
- 26.10. Compliance with Confidentiality Laws. If applicable, Grantee shall comply with applicable state and federal statutes, federal regulations and Grantor administrative rules regarding confidential records or other information obtained by Grantee concerning persons served under this Agreement. The records and information shall be protected by Grantee from unauthorized disclosure.
- 26.11. Compliance with Freedom of Information Act. Upon request, Grantee shall make available to Grantor all documents in its possession that Grantor deems necessary to comply with requests made under the Freedom of Information Act. (5 ILCS 140/7(2)).

26.12. Precedence.

- (a) Except as set forth in subparagraph (b), below, the following rules of precedence are controlling for this Agreement: In the event there is a conflict between this Agreement and any of the exhibits or attachments hereto, this Agreement shall control. In the event there is a conflict between **PART TWO** or **PART THREE** of this Agreement, **PART ONE** shall control. In the event there is a conflict between **PART TWO** and **PART THREE** of this Agreement, **PART TWO** shall control. In the event there is a conflict between this Agreement and relevant statute(s) or rule(s), the relevant statute(s) or rule(s) shall control.
- (b) Notwithstanding the provisions in subparagraph (a), above, if a relevant federal or state statute(s) or rule(s) requires an exception to this Agreement's provisions, or an exception to a requirement in this Agreement is granted by GATU, such exceptions must be noted in **PART TWO** or **PART THREE**, and in such cases, those requirements control.

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- 26.13. Illinois Grant Funds Recovery Act. In the event of a conflict between the Illinois Grant Funds Recovery Act and the Grant Accountability and Transparency Act, the provisions of the Grant Accountability and Transparency Act shall control. 30 ILCS 708/80.
- 26.14. <u>Headings</u>. Article and other headings contained in this Agreement are for reference purposes only and are not intended to define or limit the scope, extent or intent of this Agreement or any provision hereof.
- 26.15. <u>Entire Agreement</u>. Grantee and Grantor acknowledge that this Agreement constitutes the entire agreement between them and that no promises, terms, or conditions not recited, incorporated or referenced herein, including prior agreements or oral discussions, shall be binding upon either Grantee or Grantor.
- 26.16. <u>Counterparts</u>. This Agreement may be executed in one or more counterparts, each of which shall be considered to be one and the same agreement, binding on all Parties hereto, notwithstanding that all Parties are not signatories to the same counterpart. Duplicated signatures, signatures transmitted via facsimile, or signatures contained in a Portable Document Format (PDF) document shall be deemed original for all purposes.
- 26.17. Attorney Fees and Costs. If Grantor prevails in any proceeding to enforce the terms of this Agreement, including any administrative hearing pursuant to the Grant Funds Recovery Act or the Grant Accountability and Transparency Act, the Grantor has the right to recover reasonable attorneys' fees, costs and expenses associated with such proceedings.
- 26.18. Continuing Responsibilities. The termination or expiration of this Agreement does not affect: (a) the right of the Grantor to disallow costs and recover funds based on a later audit or other review; (b) the obligation of the Grantee to return any funds due as a result of later refunds, corrections or other transactions, including, without limitation, final Indirect Cost Rate adjustments and those funds obligated pursuant to ARTICLE XVII; (c) the Consolidated Year-End Financial Report; (d) audit requirements established in ARTICLE XV; (e) property management and disposition requirements established in 2 CFR 200.310 through 2 CFR 200.316 and ARTICLE XXII; or (f) records related requirements pursuant to ARTICLE XIII. 44 III. Admin. Code 7000.450.

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EXHIBIT A

PROJECT DESCRIPTION

Pickerill-Pigott Estate House Public Access Improvements Project

The purpose of this Agreement is to enable IDNR to provide financial assistance to the Grantee for the Pickerill-Pigott Estate House Public Access Improvements.

This grant is funded through the Build Illinois Bond Fund. It is funded 75% through state funding and 25% by the project sponsor (Grantee). Local governments defined as "disadvantaged" in the administrative rule shall be eligible for up to 90% funding assistance, provided that no more than 10% of the amount so appropriated in any fiscal year is made available for such governments.

Kendall County Forest Preserve District acquired the Pickerill-Pigott Forest Preserve (99.85 total acres) in 2008. The acquisition included a fee-simple purchase of 69.31-acres for \$4.23M, and donation of 29.54-acres from the late Ken Pickerill, including his estate house. Pickerill Pigott Forest Preserve is centrally located near the Village of Oswego and United City of Yorkville, Kendall County's main population centers. The design of the Pickerill estate house (Total Sq. Ft.: 10,578. Estimated Present-Day Value: \$1.5-2M) is well-suited for cost-effective conversion to a multi-use indoor recreational facility. The Pickerill estate house first-floor features large rooms (4,975 total sq. ft), vaulted ceilings, 2quarried stone fire places, with bitternut hickory wall and ceiling paneling throughout. The main gathering room overlooks a brick patio courtyard area with entryways on three sides. The estate house is not currently ADA accessible. This IDNR PARC grant project will complete estate house ADA and life safety building code renovations needed to open the facility's first floor to the public, and includes construction of an adjoining open-air pavilion for use by the general public. The District is taking a cooperative program approach for use of the facility through engagement of other public agencies and local community partners. Kendall County Forest Preserve District is working to complete initial preserve public access improvements with support from a FY19 IDNR-OSLAD grant (\$633,000) including an entrance drive; ADA parking and trail improvements; a trail head welcome center including washrooms, and a picnic shelter. Improvements will be completed by mid-summer 2020.

EXHIBIT B

DELIVERABLES OR MILESTONES

The Implementation and Billing Requirement Packet is incorporated into this Exhibit B1 as a separate attachment (labeled Exhibit B1).

Project billings shall be submitted to at project completion unless otherwise approved by IDNR.

Deliverables/Milestones will be the completed project components as presented in the original application (attachment labeled Exhibit B2*) or an approved project scope change. They will be reported as directed in the Implementation and Billing Packet (Exhibit B1).

If the approved PARC project includes the use of Force Account labor, the Grantee shall ensure that any audits required will include an internal control evaluation and opinion on the grantee's time and attendance allocation system. In addition, if the grantee uses materials purchased by means of a Central Procurement System and allocates a portion of those materials to the project (Force Account material claim), the audit must include testing and reporting on the allocation system used for tracking material use.

The Grantee is required to file quarterly Periodic Performance Report on the grant project describing the progress of the program, project, or use and expenditure of the grant funds related thereto, if the grant amount is over \$25,000.00 (30 ILCS 705/4(b)(2)). The grantee must submit Periodic Performance Report on the first day of the next existing quarterly schedule (Jan, April, July & Oct) beginning with the quarter immediately following the project effective date.

The Grantee shall be responsible for developing the project site in general accordance with the site development plan approved by IDNR and attached hereto as part of Grantee's Application. Grantee shall make all development plans and specifications available for review by IDNR upon request.

It is agreed and understood by the Grantee that an IDNR representative shall have access to the project site to make periodic inspections as work progresses. It is further agreed and understood by the Grantee that IDNR reserves the right to inspect the completed project prior to project acceptance and grant reimbursement to the Grantee.

The Grants Manual, a copy of which the IDNR [or Department or Grantor] previously provided to the Grantee, is hereby incorporated herein by reference and made a material and binding part of this Agreement. The undersigned acknowledges that he or she (1) has reviewed the Grants Manual and (2) agrees to comply with same. ______(initials of undersigned).

*Exhibit B2 also includes the approved project budget.

EXHIBIT C

PAYMENT

Grantee shall receive up to \$828,200.00 under this Agreement.

Enter specific terms of payment here:

- 1. A project shall be deemed completed for grant payment when the Grantee submits a development project billing form seeking grant reimbursement which is approved for payment by IDNR. Failure by the Grantee to submit required billing forms and substantiating documentation within a one (1) year period following the project expiration date will result in the Grantee forfeiting all project reimbursements and relieves IDNR from further payment obligations on the grant. Any grant funds remaining after final reimbursement to the Grantee may be reprogrammed at the discretion of IDNR.
- 2. Project costs eligible for assistance shall be determined upon the basis of criteria set forth for the PARC Grant Program, as set out in 17 III. Adm. Code 3070.50 et seq.
- 3. Payment to the Grantee will be made as reimbursement for eligible expenses following submission to IDNR of a certified billing request listing all funds expended, and including any other documentation required by the administrative rules (see 17 IL ADC 3070.70).
- 4. Only costs incurred during the specified project period dates indicated on this Agreement and necessary to complete the approved project components are eligible for grant reimbursement payment. Project costs for which reimbursement is sought cannot be incurred by the project applicant prior to grant approval notification. Costs incurred prior to Department approval are ineligible for grant assistance with the exception of architectural and engineering fees. For acquisition projects, costs are considered incurred when a property deed, lease or other conveyance is accepted by the local sponsor or first payment is made on the project property or to an escrow account for the property. Development project costs are considered incurred on the date construction contracts are signed or actual physical work begins on the project site or project materials are delivered. 17 Ill. Adm. Code 3070.50.
- 5. Except for reimbursement grants where this does not apply, all funds advanced to the Grantee that remain at the end of the grant agreement or at the expiration of the expenditure or obligation period stipulated in the grant agreement, shall be returned to the State within 45 days (30 ILCS 705/4(b)(5)).
- 6. Upon project completion, Grantee must submit a certified project billing request listing and verifying all funds expended on the project for which grant reimbursement is sought, as well as required billing documentation, as follows (17 III. Adm. Code 3070.70):
- a) Acquisition Project: Proof of good faith negotiations or fair market value offer to land seller, copy of property deed and title insurance policy (Judgment Order in case of condemnation) showing ownership transferred to the local Grantee, and copies of canceled checks showing proof of payment to seller.
- b) Development Projects: Copy of construction as-built drawings (no larger than 11" x 17") and verification of actual project costs.

EXHIBIT D

CONTACT INFORMATION

CONTACT FOR NOTIFICATION:

GRANTOR CONTACT

Unless specified elsewhere, all notices required or desired to be sent by either Party shall be sent to the persons listed below.

| Name: | Ann Fletcher | |
|-------------|---|---|
| Title: | Grant Administrator | |
| Address: | One Natural Resources Way, Springfield, IL 62702-1271 | |
| Phone: | (217) 557-7815 | |
| TTY#: | | |
| Fax#: | (217) 558-4192 | |
| E-mail Addr | ress: ann.fletcher@illinois.gov | |
| PRIMARY G | FRANTEE CONTACT | |
| Name: | Dave Guritz | |
| Title: | Director | |
| Address: | 110 W. Madison Street, Yorkville, IL 60560 | |
| Phone: | (630) 553-4131 | |
| TTY#: | | |
| Fax#: | | |
| E-mail Addr | ess: dguritz@co.kendall.il.us | 1 |
| SECONDAR | Y GRANTEE CONTACT | |
| Name: | | |
| Title: | | |
| Address: | | |
| Phone: | | |
| TTY#: | | |
| Fax#: | | |
| E-mail Addr | ess: | |

EXHIBIT E

PERFORMANCE MEASURES

Following all methods and standards as required by state and federal laws and regulations, this project will make outdoor recreation available to members of the general public by accomplishing the following items:

Providing community members with the <u>Pickerill-Pigott Estate House Public Access Improvements</u>, an area that can be utilized by members of the general public for recreational use.

EXHIBIT F

PERFORMANCE STANDARDS

Completion of this project will allow citizens of <u>Kendall</u> County and others from the general public outdoor recreational opportunities by providing <u>Pickerill-Pigott Estate House Public Access Improvements</u> for use.

Timely completion of this project will satisfy the requirements of the IDNR.

Periodic Performance Reports & Periodic Financial Report:

Periodic Performance Reports: Is due every January 1, April 1, July 1, and October 1 throughout the duration of project implementation (i.e., until the project's FINAL billing is submitted to the Illinois IDNR). Failure to submit a status report will result in no reimbursement (for projects over \$2SK). A copy is provided below you may also find it in Exhibit BI of the Bike Implementation & Billing Requirements.

Periodic Financial Report: Is due when requesting reimbursement. A copy is provided in Exhibit B1 of the PARC Implementation & Billing Requirements.

EXHIBIT G

SPECIFIC CONDITIONS

Grantor may remove (or reduce) a Specific Condition included in this **Exhibit G** by providing written notice to the Grantee, in accordance with established procedures for removing a Specific Condition.

ANY CONDITIONS from Internal Control Questionnaire (ICQ) OR Programmatic Risk Assessment (PRA):

No Conditions

PART TWO - THE GRANTOR-SPECIFIC TERMS

In addition to the uniform requirements in <u>PART ONE</u>, the Grantor has the following additional requirements for its Grantee:

- 27.1 The Cash Management requirements and Interest requirements listed in Paragraphs 4.4 and 4.7 of **PART ONE** respectively do not apply as there are no federal funds as part of this agreement and the Grantee, and it is paid as reimbursement of prior project expenditures.
- 27.2 Grantee is solely responsible for the design and implementation of the project described in its Project Application, the terms and conditions of which are hereby incorporated by reference and made a part of this Agreement. Failure by the Grantee to comply with any of the Agreement terms or the terms of the Project Application shall be cause for the suspension of all grant assistance obligations thereunder and may result in debarment for two grant cycles. 30 ILCS §705/6.
- 27.3 This Agreement shall further the objective of the Park and Recreational Facility Construction Grant Program ("PARC"), which is to provide grant assistance to projects that will reflect the useful life of existing facilities and improvements, address public health and safety needs, correct accessibility deficiencies, and reflect outdoor recreation needs and priorities identified through the Department's Statewide Comprehensive Outdoor Recreation Plan (SCORP) Program. 30 ILCS §764/10-20.
- 27.4 The Grantee agrees to implement and complete the approved project pursuant to the time schedule and plans set forth in the Project Application. Failure to render satisfactory progress or to complete the approved project to the satisfaction of IDNR per the terms of this Agreement is cause for suspension and/or termination of all obligations of IDNR under this Agreement.
- 27.5 Environmental and Cultural Resource Compliance: Approved grant project construction shall not commence and no payment shall be made under this grant until the Grantee, as set forth under IDNR's Comprehensive Environmental Review Process (CERP), has initiated and completed all necessary project review and consultation with IDNR as required by section 11 of the Endangered Species Protection Act, 520 ILCS 10/11; section 17 of the Illinois Natural Areas Preservation Act, 525 ILCS 30/17; the mitigation or compensation determinations required by the Interagency Wetland Policy Act, 20 ILCS 830/1 et seq.; and the environmental and economic impact determination required by the Historic Preservation Act, 20 ILCS 3420/4.
- 27.6 The sponsoring agency must permanently post a PARC grant acknowledgment sign at the project site entrance. The acknowledgement shall incorporate the following language: "PARK AND RECREATIONAL FACILITY CONSTRUCTION GRANT PROGRAM" ILLINOIS DEPARTMENT OF NATURAL RESOURCES
- 27.7 Any property acquired or developed through assistance from the Illinois PARC grant program must be open to the public for indoor/outdoor recreation use without regard to race or color, creed, national origin, sex or disability. 17 Ill. Adm. Code 3070.70.
- 27.8 All development projects receiving grant assistance shall be bound by the terms of this program for a period of 20 years. All properties acquired with PARC assistance are required to have a covenant placed on the deed at the time of recording that stipulates the property must be used, in perpetuity, solely for indoor/outdoor recreation purposes and cannot be sold or exchanged, in whole or part, to

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- another party without approval from the Department. 17 III. Adm. Code 3070.70
- 27.9 No significant deviations from the approved Agreement (development plan) or control of property interests in the project site shall be made without prior written approval from IDNR. Specific actions regarded as significant deviations, although not inclusive, are as follows:
 - a. The granting of an easement, right-of-way, or other such encumbrance on title which divests control of the project site from the Project Sponsor to another individual, group, agency, or entity.
 - b. Any significant modification to the approved project site plan or usage OR the change, alteration or disposition of the project site to other than public recreation use unless otherwise approved in this Agreement or by the DNR.
- 27.10 Grantee may enter into a contract or agreement with responsible concessionaires to operate and/or construct facilities for dispersing food to the public and/or any other services as may be desired by the public and the sponsoring agency for enjoyable and convenient use of the PARC assisted site. Any and all concession revenue in excess of the costs of operation and maintenance of the PARC lands and/or facilities shall be used for the improvement of those lands or facilities or similar nearby public facilities. All sub-leases or licenses entered into by the sponsoring agency with third persons relating to accommodations or concessions to be provided for or at the PARC facility for benefit of the public shall be submitted to the Department, upon request, for its approval prior to the sublease or license being entered into or granted by the sponsoring agency. 17 Ill. Adm. Code 3070.70.
- 27.11 For projects receiving development assistance, the sponsoring agency must possess either fee simple title or other means of legal control and tenure (easement, lease, etc.) over the property being improved for a period of 20 years. The Department will consider, on a case-by-case basis, lease arrangements for shorter periods when State statute prohibits a unit of local government from entering into such a long-term agreement, or other circumstances beyond the control of the unit of local government prohibit such arrangements. The sponsor must also adhere to applicable local bidding and procurement requirements and make available to the Department, upon request, all working plans, specifications, contract documents and cost estimates for review prior to commencing work. The format for any advertisement or prospectus soliciting and inviting bids, indicating submission deadlines, must also be presented, upon request, to the Department for review prior to publication. 17 III. Adm. Code 3070.70.
- 27.12 Operation and Maintenance: all lands and facilities assisted with PARC funds shall be continuously operated and maintained by Grantee in a safe and attractive manner at no cost to the Department and be operated and utilized in such a manner as to maximize the intended benefits to the public. The Department shall have access to PARC assisted facilities at all times for inspection purposes to ensure the project sponsor's continued compliance with this Part. 17 Ill. Adm. Code 3070.70.
- 27.13 Property acquired or developed with PARC funds may not be converted to a use other than public outdoor recreation use as provided in this Part without prior Department approval. Approval for property conversion will be granted only if the project sponsor substitutes replacement property of at least equal fair market value and comparable outdoor recreation usefulness, quality and location. 17 III. Adm. Code 3070.70.

State of Illinois GRANT AGREEMENT FISCAL YEAR 2022 / 3/4/21 Page 35 of 39 27.14 Illinois Works Jobs Program Act (30 ILCS 559/20-1 et seq.): For grants with an estimated total project cost of \$500,000 or more, the grantee will be required to comply with the Illinois Works Apprenticeship Initiative (30 ILCS 559/20-20 to 20-25) and all applicable administrative rules. The "estimated total project cost" is a good faith approximation of the costs of an entire project being paid for in whole or in part by appropriated capital funds to construct a public work. The goal of the Illinois Apprenticeship Initiative is that apprentices will perform either 10% of the total labor hours actually worked in each prevailing wage classification or 10% of the estimated labor hours in each prevailing wage classification, whichever is less. Grantees will be permitted to seek from the Department a waiver or reduction of this goal in certain circumstances pursuant to 30 ILCS 559/20-20(b). The grantee must ensure compliance for the life of the entire project, including during the term of the grant and after the term ends, if applicable, and will be required to report on and certify its compliance.

PART THREE - THE PROJECT-SPECIFIC TERMS

In addition to the uniform requirements in <u>PART ONE</u> and the Grantor-Specific Terms in <u>PART TWO</u>, the Grantor has the following additional requirements for this Project:

- 28.1 The purpose of this Agreement is to enable IDNR to provide financial assistance to the Grantee to acquire and/or develop land for outdoor recreation facilities that are open and accessible to the public in Illinois.
- 28.2 IDNR and the Grantee agree to perform this Agreement in accordance with the PARC Grant Program (30 ILCS §764/10-1 et seq.); with the rules promulgated pursuant to that Act (17 III. Adm. Code 3070.10 et seq.); and, with the terms, promises, conditions, plans, specifications, maps, and assurances contained in the approved Project Application, each of which is hereby incorporated by reference and made a part of this Agreement.
- 28.3 The agreement is subject to the Illinois Grant Funds Recovery Act (30 ILCS 705/1 et seq.).
- 28.4 The Grantee agrees to complete the project in accordance with all applicable federal, state and local laws, ordinances and regulations.
- 28.5 In connection with project construction, and the subsequent operation and maintenance of the facilities, the Grantee agrees that it shall be responsible for and obtain all permits, licenses, or forms of consent required to complete the project. Failure to obtain any required permit or approval may jeopardize grant reimbursement and/or cause debarment.
- 28.6 Grantee will complete project construction in accordance with all laws of the State of Illinois governing purchases and procurement by the local Grantee.
- 28.7 Conflict of Interests (17 III. Adm. Code 3070.70):
 - a. No official or employee of the local political subdivision who is authorized in his or her official capacity to negotiate, make, accept, or approve or to take part in decisions regarding a contract or subcontract in connection with an approved PARC grant project shall have any financial or other personal interest in any such contract or subcontract.
 - b. No person performing services for the local political subdivision in connection with an approved PARC grant project shall have a financial or other personal interest other than his or her employment or retention by the local political subdivision in any contract or subcontract in connection with an approved PARC grant project. No officer or employee of such person retained by the local political subdivision shall have any financial or other personal interest in any real property acquired under an approved PARC grant project unless that interest is openly disclosed upon the public records of the local political subdivision and the officer, employee or person has not participated in the acquisition for or on behalf of the local political subdivision.

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- 28.8 If any provision of this Agreement is held to be invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining provisions shall not be affected. No course of dealing or failure of IDNR to enforce strictly any term, right or condition of this Agreement shall be construed as a waiver of such term, right or condition. No express waiver of any term, right or condition of this Agreement shall operate as a waiver of any other term, right or condition.
- 28.9 No assignment of grant provisions or duties is allowed.
- 28.10 This Agreement, including the project application by reference, any attachments, and the General Provisions and Certifications attached hereto, constitutes the entirety of the grant agreement between the Grantee and IDNR and supersedes all other agreements, whether written or oral, and may not be amended or modified except by a written instrument executed by both parties.
- 28.11 This Agreement shall be governed by and construed only in accordance with the laws of the State of Illinois. Any liability of IDNR under this Agreement shall be limited to the amount of the grant. Any dispute relating to this Agreement shall be adjudicated in the Illinois Court of Claims and shall be governed by the Court of Claims Act (705 ILCS 505/1 et seq.).
- 28.12 All facilities constructed with PARC assistance must be designed and developed to fully accommodate accessibility standards as per the Illinois Accessibility Code Standards, the Americans with Disabilities Act (ADA) (42 U.S.C. 12101 et seq.) and the regulations thereunder (28 CFR 35.130).
- 28.13 The sponsoring agency must observe and comply with the provisions of the Prevailing Wage Act (820 ILCS 130/4), which apply to the wages of laborers, mechanics and other workers employed in any public works, and with the prevailing wage requirements of the Illinois Procurement Code (30 ILCS 500/25-60) (see 17 III. Adm. Code 3070.70(k)).
- 28.14 Grantee agrees to comply with the provisions of the Business Enterprise for Minorities, Females, and Persons with Disabilities Act (30 ILCS 575/) and the equal employment practices of Section 2-105 of the Illinois Human Rights Act (775 ILCS 5/2-105) (see 30 ILCS 105/45).

28.15 Intellectual Property

- a. All intellectual property ("IP") rights (including copyright, patent, and any other rights) in materials arising out of or resulting from Grantee's use of the grant funds or any earning thereon (the "Public Materials"), including by way of example but not as limitation, any posters and promotional materials, photographs, maps, drawings, charts, reports, brochures, blueprints, website pages, and source code, shall be owned by Grantee unless Grantee materially breaches the terms of this Agreement.
- b. To ensure that the Public Materials are widely and generally available to the public who has paid for their production, Grantee hereby grants to IDNR a non-exclusive, perpetual, irrevocable, royaltyfree, paid-up, worldwide, sublicensable license to use, copy, or publish the Public Materials by any means or media in connection with any activity of IDNR.

State of Illinois GRANT AGREEMENT FISCAL YEAR 2022 / 3/4/21 Page 38 of 39 c. Grantee shall provide to all employees and agents of Grantee who might otherwise be entitled to claim any authorship or ownership or IP interest in such Public Materials (including but not limited to architects, photographers, graphic designers, web designers, sculptors, and contractors) a copy of this clause and shall obtain such employees' and agents' acknowledgment and agreement (1) that any employee or non-employee's contributions to any such Public Materials shall be considered Grantee property and/or works for hire, and (2) that to the extent that the Public Materials are not so considered, that all such contributors assign their rights therein, whether under patent, copyright, trade secret, or trademark law, and including moral rights, in perpetuity or for the longest period otherwise permitted by law, to Grantee such that Grantee can effectively grant the above-described license. Grantee shall allow IDNR full access to the project site and materials, both during the grant term and after completion, for documentation, inspection, publicity, photography, promotion, or similar purposes.

28.16 Financial Records and Audit Requirements

- a. The Grantee shall maintain, for a minimum of 3 years following project completion, satisfactory financial accounts, documents, and records associated with the project and the disbursement of grant funds pursuant to this Agreement, and shall make them available to IDNR and the State of Illinois, Auditor General or Attorney General, for auditing at reasonable times. Failure by the Grantee to maintain such accounts, documents, and records as required herein shall establish a presumption in favor of the State of Illinois for recovery of any funds paid by the State per this Agreement for which adequate records are not available to support their purported disbursement.
- b. Grantees receiving a cumulative total of \$500,000 or more in PARC assistance in a given year are required to have an agency-wide annual financial and compliance audit conducted, as is generally required by 1) state law (65 ILCS 5/8-8-1 et seq. or 55 ILCS 5/6-31001 et seq.) or 2) by the Grantee's own governing body, as applicable. A copy of the audit must be provided to IDNR, upon request, OR if any findings (irregularities) involving the PARC grant are reported in the audit.
- c. All required audits must be conducted by an independent certified public accountant, licensed by the State of Illinois, and must be performed in accordance with generally accepted auditing standards adopted by the American Institute of Certified Public Accountants (AICPA). The Grantee shall be responsible for procuring all required audits in accordance with its normal procurement rules, providing that these rules promote open competitive procurement.
- d. The Grantee shall be responsible for timely action in resolving any audit findings or questioned project costs. In the event that questioned costs are ultimately deemed disallowed as determined by IDNR or its representative, the Grantee shall be responsible for repayment of such costs.

State of Illinois GRANT AGREEMENT FISCAL YEAR 2022 / 3/4/21 Page 39 of 39



Illinois Department of Natural Resources

PARK AND RECREATIONAL FACILITY CONSTRUCTION

GRANT PROGRAM

Development Project Implementation & Billing Requirements

IL Dept. of Natural Resources Office of Grant Management & Assistance

One Natural Resources Way Springfield, IL 62702-1271 Tele: 217/782-7481

Fax: 217/782-9599

IMPLEMENTATION & BILLING PACKET-PARC

REVISED 11/19/20

DEVELOPMENT PROJECT COMPLIANCE INSTRUCTIONS AND BILLING PACKET INFORMATION

In this packet, you will find necessary information and instructions to properly implement an approved development project involving the Park and Recreational Facility Construction Grant Program (PARC) from the Illinois Department of Natural Resources (DNR). PLEASE READ THOROUGHLY! Failure to comply with these instructions can jeopardize grant reimbursement.

GENERAL

- To maintain eligibility for grant reimbursement, the local project sponsor must satisfactorily complete ALL approved project components as specified in the approved project application and the signed Project Agreement. Any changes (additions or deletions) to the project scope must be approved by DNR in order to maintain overall eligibility for grant reimbursement. Any Change Order +/- \$10,000 not approved by IDNR will not be eligible for grant reimbursement (see page 4, #6).
- 2) Unless otherwise approved by DNR, the local agency shall retain the services of a registered professional architect and/or engineer to make necessary field surveys, prepare detailed plans and specifications, and contract agreements; furnish necessary field supervision of the project construction; and provide other services that may be necessary for the proper design and construction of the approved project. These professional services shall be obtained pursuant to the Local Government Professional Services Selection Act (50 ILCS 510/1 et seq.)
- In-house engineering and administration, force account labor and force account materials are NOT reimbursable under the PARC grant program.
- 4) As a reminder, no other state or federal funds may be used as match for a PARC grant.
- 5) For all development projects, the local sponsor must comply with applicable state statutes and applicable local ordinances concerning bidding requirements for construction contracts. DNR may request documentation from the local agency to verify compliance with applicable state statutes.
- 6) All PARC-assisted facilities must be designed and constructed to accommodate full accessibility as per the Illinois Accessibility Code standards and the "Americans with Disabilities Act" Accessibility Guidelines (ADAAG).
- The approved PARC development project must be completed AND all project costs for which reimbursement is expected must be incurred no later than the expiration date specified on the signed Project Agreement, unless otherwise approved by Illinois DNR Grant staff. Failure to have approved project components completed by the specified project expiration date could jeopardize approved grant reimbursement on the project.
- All development projects receiving grant assistance shall be bound by the terms of the PARC Grant Program for a period of 20 years.
- 9) The <u>maximum</u> allowable claim for hired "contracted" Architectural/Engineering (A/E) services used for proper project design and construction supervision/administration of an approved PARC development project shall be based upon the following percentages of actual PARC-assisted construction costs:
 - 15.25% Initial analysis, design & bid work, on site construction supervision and final project close-out work

- In connection with and prior to the construction, and thereafter the subsequent operation and maintenance of the PARC-assisted facilities, the Local Agency agrees that it shall be responsible for and obtain all necessary permits, licenses or forms of consent, from, but not limited to, the following agencies. (Failure to do so can jeopardize grant reimbursement.)
 - U.S. Department of the Army, Corps of Engineers.
 - IL Dept. of Transportation (Division of Highways).
 - IL Environmental Protection Agency
 For development sites that are one (1) acre or more, a "Storm Water Pollution Prevention Control Plan" and a permit are required from IEPA. Contact (217) 782-0610.
 - IL Dept. of Natural Resources regarding "Interagency Wetlands Policy Act" (20 ILCS 830); "State Endangered Species Act" (520 ILCS 10/11); cultural resource impacts (20 ILCS 34/20, coordinated with the IL Historic Preservation Agency); and through the Office of Water Resources at IDNR, impacts to state waterways (615 ILCS 5/5).
 - IL Dept. of Public Health (Campground Licensing & Recreational Area Act, 210 ILCS 95/1).
 - Local Building or Zoning Agencies or Boards, where applicable.
- Periodic Performance Report of project progress should be reported to the DNR Grant Administrator assigned to the project each January 1, April 1, July 1, and October 1 throughout the duration of project implementation (i.e., until the project's FINAL billing is submitted to the Illinois DNR). The enclosed "Periodic Performance Report" form is provided for your convenience. Failure to submit a Periodic Performance Report may result in forfeiture of reimbursement.
- Please contact the DNR Grants staff for assistance at 217-782-7481 if you have any questions as you
 proceed with project implementation regarding program requirements.

SPECIAL CONDITIONS FOR POOL PROJECTS

The local project sponsor must provide evidence that the Pool Manager and/or Assistant Pool Manager has attended a Certified Pool/Spa Operators course once every five years for the duration of the agreement.

The local project sponsor will provide a copy of the letter from the Illinois Department of Public Health authorizing the sponsor to renovate/construct the sponsor's swimming pool prior to commencement of the project. Upon completion of the project the sponsor will also submit to IDNR a copy of the IDPH license to operate the facility prior to receiving grant reimbursement.

ACCESSIBILITY SPECIFICATIONS GUIDE

All facilities constructed with State PARC assistance <u>must</u> be developed and designed to accommodate full accessibility standards as per the Illinois Accessibility Code (April 1997) and the "Americans with Disabilities Act" Accessibility Guidelines (ADAAG).

Although the Illinois Accessibility Code standards do not address specific criteria for accessibility involving all recreational facilities, there is a reference to "Recreational Facilities" on Pg. 87 of the Code. A copy of the Illinois Accessibility Code may be obtained by calling 217/782-2864 (Springfield) or 312/814-6000 (Chicago).

To supplement this information, the U. S. Architectural and Transportation Barriers Compliance Board pursuant to ADA has developed final guidelines for recreation facilities and outdoor developed areas. Copies of the guidelines can be obtained by writing to: Access Board, Recreation Report, 1331 "F" Street, N.W., Suite 1000, Washington, D.C. 2004-1111. Tele: 202/272-5434 or 800/514-0301 or contact their web site at www.access-board.gov.

OPEN COMPETITIVE BIDDING

- 1) EXCEPT as noted below in items #2 #6, all open competitive bidding for PARC projects shall be done in accordance with the local agency's statutory requirements governing public procurement.
- 2) Language similar to the following should appear in all bid advertisements relative to the approved PARC grant project:

This project is being financed, in part, with funds from the Illinois Department of Natural Resources, "Park and Recreational Facility Construction Grant Program" (PARC) grant program.

- The local sponsor shall encourage 1) "minority" business firms to submit bids on the approved project and 2) successful contract bidders to utilize minority businesses as sub-contractors for supplies, equipment, services, and construction. This stipulation should be noted in all bid solicitation notices.
- 4) Contract award(s) shall be made to the lowest responsible bidder whose bid properly addresses and complies with the invitation and is most advantageous to the local sponsor; price and other factors considered. (Factors such as discounts, transportation costs, and taxes may be considered in determining the lowest bid.) Justification for acceptance of a no-bid contract or awarding of contracts to other than the lowest bidder is subject to Illinois DNR approval.
- 5) The bid proposal forms should be structured for flexibility. This can usually be done most effectively with add/deduct alternates and bidding the work by specific/distinct work elements.

The instructions to bidders in the bid specifications should clearly indicate what constitutes a valid bid and how the contract/bid will be awarded (lowest responsible/qualified bidder for all work items versus individual work items; base bid and selected bid alternates versus base bid separate from bid alternates, etc.). It should also specify whether substitutes will be accepted and if scope of individual work elements can be modified.

In general, the *Instructions and General Conditions* section of the Bid Specifications should, at a minimum, address the following items:

- Bid Opening Date
- Number of days Bid must be held
- Bid, Performance and Payment Bond/Security Requirements (*)
- Contractor Insurance Requirements
- Completion date and if liquidated damages occur for late completion
- Terms of Payment to Contractor
- Schedule of quantities/material list and Unit Costs
- If Bid is for <u>material or product supply</u>, do not specify specific name brand WITHOUT also accepting "or approved equal". Also, specifications for a particular product or material being bid cannot be written in such detail so as to prevent an open and competitive bidding situation.

Bid specifications must include the requirement that contractors and subcontractors pay State Prevailing wages. For the specific requirements, review the Prevailing Wage Act (820 ILCS 130/0.01-12).

In addition, 50% of the labor hours on the project must be performed by actual residents of the State of Illinois. Article 80 of the FY 10 Budget Implementation (Capital) Act, P.A. 96-37 (HB 2424.)

(*) Bonding requirements for all public works projects in Illinois are stipulated in the "Public Construction Bond Act" (30 ILCS 550/1 et.seq.) which states that every contractor on a public works project must provide to PARC implementation & Billing 2021 DEV the satisfaction of the public agency good and sufficient bond with adequate sureties to guarantee construction performance and payment of materials and labor used in such work.

REMEMBER, the approved PARC grant project must be completed by the date specified in the Project Agreement. The local agency should ensure that all bidders are aware of the expected completion date by specifying the expected project construction start date and completion date in the bid specifications package.

In certain instances, conditions may arise after work has begun that are beyond the control of the local agency and contractor which necessitate a change in specification and/or price. To maintain grant eligibility, such changes must be done by formal change orders. If the change order involves 1) the total deletion of an identified project component, 2) the addition of a new component for which reimbursement will be sought or 3) exceeds \$10,000 in value, the local agency must receive Illinois DNR approval in order to ensure grant eligibility is maintained. For change orders in excess of \$10,000 (pursuant to Section 33E-9 of the Criminal Code of 1961, as amended) written assurance MUST BE provided to the Illinois DNR by the local agency that such a change order was not reasonably foreseen at the time of initial construction contract execution.

BILLING REQUIREMENTS

- 1) Billing requests for grant reimbursement will be processed at the 50% and 100% completion levels. Only costs incurred during the specified "project period" indicated on the Project Agreement and necessary to complete approved project components are eligible for grant reimbursement.
- 2) The following documentation is required for project billings at 50% and 100%:
 - A) Completed "Development Project Billing Form" containing original signatures. (form enclosed)
 - B) Completed "Project Performance Report". (form enclosed)
 - Agreed Upon Procedures Report from CPA firm (including applicable schedules as shown on Attachments B & C). Costs claimed on the Development Project Billing Form must be reviewed and attested to by an independent CPA in accordance with the Statement on Standards for Attestation Engagements as established by the American Institute of Certified Public Accountants. The independent Attestation will be based on the Agreed Upon Procedures developed by IDNR and identified on Attachment A. If charging this expense to the grant, you must also submit an invoice and cancelled check.
 - (1) It is recommended that the CPA firm that conducts the Grantee's regular agency-wide audit be used for this purpose
 - D) Periodic Performance Report must have all submitted before billing will be processed. In addition to the documents listed above, the following documentation is required for the 100% project billing:
 - E) One photo of a sign acknowledging PARC grant assistance posted at the project site. Sign fabrication is the responsibility of the project sponsor. The acknowledgement shall incorporate the following language:

"PARK AND RECREATIONAL FACILITY CONSTRUCTION GRANT PROGRAM"
ILLINOIS DEPARTMENT OF NATURAL RESOURCES

- F) One copy of record (as-built) drawings (drawings must be no larger than 11 X 17). Drawings should be marked "as-built" and must be signed by the project manager or local official.
- G) Periodic Financial Report

Information the Project Sponsor (grantee) will need to provide the CPA (auditor) in order to have the independent Billing Attestation efficiently completed according to the established "Agreed Upon Procedures":

Copy of the signed Project Agreement and any amendments executed thereto;

A "spread sheet or schedules B & C" of all professional services (A/E) contracts and publicly bid construction contracts and associated contract change orders (if applicable) issued pursuant to the approved PARC project for which grant reimbursement is claimed.

The schedule should list the following: contractor or A/E firm name, project element completed by contract, contract amount and, if applicable, an itemized listing of any contract changes orders.

(See Attachment B & C for example):

Proof of bid advertisement for all publicly bid construction contracts;

Copy of "Bid Tabulation" for each publicly bid construction contract;

(If applicable) Justification for, and proof of Board action and IDNR approval, approving the awarding of any project construction contract to someone other than a low bidder;

A "Schedule of Project Expenditures" incurred pursuant to the approved PARC grant project for which grant reimbursement is claimed. The schedules shall list, at a minimum, information shown in the example on Attachment B & C. The "schedule/spread sheet" must contain a "certification statement" signed by the Grantee's chief fiscal officer and chief administrator / elected officer attesting to the accuracy of the information;

Copy of applicable state statutes and applicable local ordinances concerning bidding requirements for construction contracts.

Attachment A

"Agreed Upon Procedures" for PARC Project Billing Attestation

Costs claimed for PARC grant reimbursement on the Development Cost Summary Statement (Development Project Billing Form) must be attested to by an independent CPA licensed in the State of Illinois. The attestation shall be completed in general accordance with the Statement on Standards for Attestation Engagements as established by the American Institute of Certified Public Accountants and based on the following "Agreed Upon Procedures" developed by the Illinois Department of Natural Resources.

Recommended "Agreed Upon Procedures" for attesting to the eligibility of the costs claimed on the Development Project Billing Form signed and attested to by the local project sponsor (grantee):

- Based on both 1) the "Schedule of Professional Services (A/E) and publicly bid Project Contracts" and 2) the "Schedule of Project Expenditures" provided by the local project sponsor (grantee) as supporting documentation for the Development Project Billing Form, perform the following procedures and provide a report detailing the results. The report should include copies of the aforementioned schedules and the signed Development Billing Form provided by the local project sponsor (grantee).
 - A. Verify that all contracts listed on the schedule were for work germane to the scope of the approved PARC project as described on the signed Project Agreement and any amendments thereto, and, with the exception of project professional services (A/E) contracts, were executed after the project start date indicated on the signed Project Agreement. Identify and report any exceptions.
 - B. With the exception of Professional Services (A/E) contracts, verify that the local project sponsor (grantee) has complied with applicable state statutes and applicable local ordinances concerning bidding requirements for construction contracts. Identify and report any exceptions.
 - C. Verify that all publicly bid construction contracts executed for the project were awarded to the low bidder. Identify and report any exceptions and attach written justification from local project sponsor (grantee) for their awarding any contract to someone other than the low bidder.
 - D. Verify that all change orders to the construction contracts are germane to the approved PARC project scope and that any change orders of \$10,000 or more were approved by DNR. Identify and report any noted exceptions and attach a copy of any change order noted as an exception.
 - E. Sample a minimum of 25% of the project expenditures listed on the "Schedule of Expenditures" (sample shall represent at least 50% of total project expenditure value) and trace to the local project sponsor's accounting record system and verify the costs are germane to the project scope and, with the exception of project professional services (A/E fees), were incurred during the project period specified on the signed Project Agreement. Identify and report any noted exceptions.
 - F. Verify that "Prevailing Wage" language was included in any/all construction contract(s). Identify and report any exceptions.
 - G. Verify that local matching dollars for the project did not include federal or other state funds.

PARC

Billing Checklist

Project Billing Form

Project Billing Report

One copy of record (as-built) drawings no larger than 11x17

Agreed Upon Procedures Report from CPA firm (including applicable schedules on Attachments B & C)

Photo of PARC sign at site

Periodic Performance Report

Periodic Financial Report

Picture of PARC acknowledging sign at the site (if a final billing)

If you request reimbursement for the below, then you must also submit the following

*Force Account Materials

*Force Account Labor

CPA cost - front and back of canceled check

^{*}In-house engineering and administration, force account labor and forceaccount materials are NOT reimbursable under the PARC grant program.

ATTACHMENT B

Schedule of Professional Services (A/E) and Publicly Bid Project Contracts

[Example Format]

| Grant Project #: |
|------------------|
|------------------|

| Firm Name | Project Element | Base Contract Amt | C. O. # and Amt | Total |
|--------------------------|---|--------------------|--------------------|--------------|
| John Doe & Associates | A/E services | \$10,500.00 | | \$10,500.00 |
| | | Professional Servi | ces (A/E) Subtotal | \$10,500.00 |
| Acme Paving Co. | Parking let / Tennis & BB Court, & pathway paving | \$98,500.00 | #1 - \$11,500.00 | |
| | | | #2 - \$3,100.00 | \$113,100.00 |
| Fun Time Park Supply Co. | Playground Equipment, park benches, bleachers, etc | \$39,000.00 | | \$39,000.00 |
| Park Structures, Inc. | (2) Pre-fab Park Shelters | \$28,000.00 | | \$28,000.00 |
| MCDL Construction Co. | Install Playground & Shelter | \$32,500.00 | #1 - \$ 900.00 | |
| | | | #2 - \$1,800.00 | |
| | | | #3 - \$2,300.00 | \$37,500.00 |
| Springdale Landscaping | Park Landscaping | \$24,200.00 | #1 - \$1,200.00 | \$25,400.00 |
| SGS Concrete | Shelter Pad & walkways, etc. | \$9,500.00 | | \$9,500.00 |
| | | Publicly Bid C | ontracts Subtotal | \$252,500.00 |
| | | (| GRAND TOTAL: | \$263,000.00 |

Note: This form should only show the professional services contracts and the publicly bid contracts; any change orders to those contracts; and the total contract amount.

- Subtotal the Professional Services
- Subtotal the Publicly Bid Contract
- · Provide a Grand Total of all contracts shown

ATTACHMENT C

Schedule of Project Expenditures

[Example Format]

| Grant Project #: | |
|------------------|--|
|------------------|--|

| Vendor/Contractor Name | Project Element | Invoice Date and Number | Invoice Amount | Payment Check# | Amount | Amount Claimed for Grant Reimbursement | Total Reimbursement Amount |
|---|---|---|----------------|-------------------|------------------|--|----------------------------------|
| John Doe & Associates | A/E services | 11111 | \$5,000.00 | 12123 | \$5,000.00 | \$5,000.00 | |
| | | -111 | | | Professional | Services (A/E) Subtotal | \$5,000.0 |
| Acme Paving Co. | Tennis/BB court | 22222 | \$72,000.00 | 12122 | \$64,800.00 | \$64,800.00 | |
| Fun Time Park Supply Co. | Bleachers | 33333 | \$8,841.00 | 12123 | \$8,841.00 | \$8,841.00 | |
| | *************************************** | *************************************** | | | Publicly Bi | d Contracts Subtotal: | \$73,641.0 |
| Coyote Plumbing | Drinking fountains | 44444 | \$1,256.78 | 12124 | \$1,256.78 | \$1,256.78 | |
| | | | | | Non-Bi | d Contracts Subtotal: | \$1,256.7 |
| | | | | | | | |
| Lowes | Lumber | 900876 | \$8,000.00 | 0001 | \$8,000.00 | | |
| | | | In-H | ouse "Force | Account" Mater | ial/Supplies Subtotal: | \$8,000.0 |
| ABC Accounting | Agreed Upon Procedures | 55555 | \$800.00 | 12425 | \$800,00 | \$800.00 | |
| | | | | | | CPA Subtotal: | \$800.0 |
| | | | | | | | |
| | | | | | "Other | r" Category Subtotal: | \$0.00 |
| (Force Account Labor) Employee Name or Code # | Job Description | Hours Claimed | Hourty Wage | | Total Wages | Amount Claimed for Grant Reimbursement | |
| Jane Doc (Employee #18) | Laborer | 10 | 10 | | \$100.00 | \$100.00 | |
| Parket House Control of the Control | | | | In-H | ouse "Force Acco | unt" Labor Subtotal: | \$100.00 |
| | | TOT | AL PROJECT | EXPEND | ITURE FOR | THIS BILLING: | \$88,797.78 |

⁽¹⁾ Taken from Project Sponsor's time allocation records (time sheets)

I hereby certify that the costs shown on this "Schedule of Project Expenditures" are true and correct and based on actual expenditures by the Project Sponsor for the referenced PARC project; and that the costs are in accordance with provisions of the Illinois PARC grant program (17 IL Adm Code 3070).

| CERT | 1171 | ED | DV. |
|------|------|----|-----|
| CERT | 11.1 | | DI. |

Name, Title & Date

Signature of Chief Administrator/Elected Official

ATTESTED BY:

(Signature of local agency's chief fiscal officer)

Note: This form should show **all project expenditures** including ones for the professional services contracts and the publicly bid contracts shown on Attachment B. The billing total on this page should match the "Total" amount on the Project Billing Form.

Optional PARC Billing Documentation Procedure

This billing method can only be used with prior written approval by the IDNR.

An optional project billing documentation procedure must be authorized by IDNR, on a case-by-case basis, for some rural communities and small-scale projects. However, this alternate method of documenting a project billing may result in a delay in grant payment. If the optional billing documentation procedure is approved by IDNR for a project, the following project cost support documents must be submitted, as applicable, in addition to items listed under the Billings Requirements on page 6, specifically #3 (A) (B) (C) & (E).

- 1) (Billing Claim for PUBLICLY BID and NON-BID construction contracts)
 - · Proof of bid advertisement from local newspapers (Bid contracts only); and
 - Bid Tabulation for each project bid request.
 - · Signed contract (*) and change orders, if any, for each contractor;
 - Copies of each contractor pay requests along with canceled check(s) or final lien waivers verifying proof of payment;
- (*) only require cover page, signature page(s), and contract amount/description page(s).
- 2) (Billing Claim for non-bid small procurement material costs and in-house "Force Account" labor)
 - Form FA: DOC-1 (In-House "Force Account" Material Cost Summary). [Form attached]

This form should be used if you purchased materials to be used on this project that were not purchased under a "non-bid" contract. Itemize all material purchases/costs by major project components, such as tennis court(s), picnic shelter(s), playground, ball fields, restroom buildings, parking, etc. For each major component list:

- a) the company/business for each material purchase;
- b) description of materials purchased, quantity, & the purchase order number; and
- payment check number and check amount. (Do not submit canceled check purchase orders, etc. to IDNR. These items should be kept with the grantees project file.)
- Form FA: DOC-2 (In-House "Force Account" Labor Summary). [Form attached]

For in-house "Force Account" labor claims, the sponsor must determine by corresponding time sheet records the amount of local agency labor attributed to each project component and tabulate on this form.

- Contracted Project Design Work (architectural/engineering services)
 - Copy of signed contract/agreement
 - · Copy of each pay request and canceled check verifying proof of payment

RECORD RETENTION / AUDIT REQUIREMENTS

A. Record Retention

As stipulated in the General Provisions of the grant Project Agreement, the local project sponsor (grantee) must maintain, for a minimum three (3) year period following project completion, satisfactory financial accounts, documents, and records associated with the project and the disbursement of grant funds pursuant to this Agreement, and shall make them available to the Illinois IDNR and/or the State of Illinois, Auditor General, and the Attorney General for auditing at reasonable times. Failure by the grantee to maintain such accounts, documents, and records as required herein shall establish a presumption in favor of the State of Illinois for recovery of any funds paid by the State per this Agreement for which adequate records are not available to support their purported disbursement.

B. Audit Requirements

Local agencies receiving a cumulative total of \$500,000 or more in state PARC assistance in a given year are required to have an agency-wide annual financial and compliance audit conducted as is generally required by 1) state law (65 ILCS 5/8-8-1 et seq. Or 55 ILCS 5/6-31001 et seq.) 2) by the grantee's own governing body, as applicable. A copy of the audit must be provided to IDNR, upon request, OR if any findings (irregularities) involving the PARC grant are reported in the audit.

The audit must be conducted by an independent public accountant, certified and licensed by authority of the State of Illinois and conducted in accordance with generally accepted auditing standards adopted by the American Institute of Certified Public Accountants (AICPA, 1985) Procurement of the necessary audit(s) is the responsibility of the local agency and can follow established local procurement procedures, provided those procedure promotes an open and competitive environment.

C. Audit Resolution

The grantee shall be responsible for timely action in resolving any audit findings or questioned project costs. In the event that questioned costs are ultimately deemed disallowed as determined by the Illinois IDNR or its representative, the grantee shall be responsible for repayment of such costs.

How to complete a project billing:

- Provide Attachments A, B, & C to your CPA to perform the Agreed Upon Procedures
- Once Agreed Upon Procedures are complete do the following:
 - a. Complete the Project Billing Form (top):
 - i. Project Number = your grant number
 - Project Billing # = is based on the number of times you have billed 1, 2, 3 etc. You
 must indicate if it is the final billing.
 - iii. Awarded Grant Amount = the total amount you were awarded at the beginning of the grant period
 - iv. Grantee Name = your organization name
 - v. Project Title = the project name as indicated on the original application
 - b. Project Billing Form Costs Summary Statement:
 - i. Construction Cost Publicly Bid Construction Costs
 - Bid contracts = Should equal total from Attachment B
 - Hired (Non-Bid) = Should equal total from Attachment C
 - Force Account Labor = Should equal total from Attachment C
 - 4. Force Account Materials/Supplies = Should equal total from Attachment C
 - A/E Fees = Should equal total from Attachment B
 - iii. Other = Should equal total from Attachment C
 - iv. CPA Costs = Should equal total from Attachment C
 - TOTAL = total of all items above
 - d. Local Cost Share = is any amount remaining after subtracting c. from e.
 - e. Current Costs Allowable for Reimbursement = the remaining amount can then be divided depending on the funding level (75% or 90%) and that is the amount that should be shown here.
- 3) Project Performance Report
 - Completely fill in the top 4 lines using the information from the Project Billing Form
 - b. Concise / Quantified Description of Completed Project or Portions Completed to Date = using the original project narrative provided in the application, explain what on the project has been worked on and how much of it is finished. Completely describe the work done under this billing.
 - General Cost Breakdown of Completed Major Project Components = using the DOC-4 from your original application:
 - i. Approved Project Component = #4 from the DOC-4
 - Quantity = how many did you use
 - iii. Estimated Costs = #6 from the DOC-4
 - iv. Actual Costs = what did this actually cost
 - v. Totals = Estimated Costs should total what was on the DOC-4 while Actual Costs may vary from that figure, add the column.

- 4) In-House "Force Account" Project Material Cost Summary
 - a. Separate forms should be created for each major project component
 - b. This form should show all supplies and materials purchased by you the Project Sponsor to be used on this project either by Bid Contracted Labor, Non-Bid Contracted Labor, or your own In-House "Force Account" labor.
 - c. The forms should total the amount shown on the Project Billing Form under In-House "Force Account" Material/Supplies
- 5) In-House "Force Account" Project Labor Summary
 - This form should show all employee labor provided by you the Project Sponsor that was used on this project to complete it.
 - b. The form should include the following information:
 - i. Employee Name
 - ii. Employee Number
 - iii. Job Description/Title
 - iv. Number of Hours Worked on this project
 - v. Hourly Wage
 - vi. Total Wages Claimed
- 6) What to send to IDNR:
 - a. Project Billing Form (2 pages)
 - b. Project Performance Report
 - c. CPA Agreed Upon Procedures
 - d. Attachment A
 - e. Attachment B
 - f. Attachment C
 - g. In-House "Force Account" Project Material Cost Summary (if needed)
 - h. In-House "Force Account" Project Labor Summary (if needed)
 - CPA Invoice and copy of canceled check (front & back) if reimbursement requested
 - j. Photo of PARC acknowledging sign at the site (if a final billing)

STATE OF ILLINOIS DEPARTMENT OF NATURAL RESOURCES

DEVELOPMENT PROJECT BILLING STATEMENT

Project #: _____ Project Billing #: _____ Awarded Grant Amount \$_____

(ILLINOIS PARK AND RECREATIONAL FACILITY CONSTRUCTIONGRANT PROGRAM)

| Cost Category | Expenditure | |
|--|--|---|
| Construction Costs (Contracted) | bid contracts | |
| | Non-bid contracts | |
| | In-House labor | |
| Construction Costs (Force Account) | Material Supplies | |
| Architectural/Engineering Fees | | |
| Other (specify) | | |
| CPA Attestation Fee, if applicable (attach copy of invoi | e & proof of payment) | |
| | 0 | |
| | TOTAL | |
| Less Local Agency Share | TOTAL | |
| Less Local Agency Share Amount of Grant Reimbursement Claimed FICATION STATEMENT: reby certify that this Billing is correct and just and b | used on actual payment(s) of record by the Project Sp | 0 oonsor, that payment from the S |
| Less Local Agency Share Amount of Grant Reimbursement Claimed FICATION STATEMENT: reby certify that this Billing is correct and just and behas not been received for these costs; and that | ised on actual payment(s) of record by the Project Sy he completed work and services or purchases are rogram (17 IL adm Code 3070) and the signed Proje | oonsor, that payment from the S |
| Less Local Agency Share Amount of Grant Reimbursement Claimed FICATION STATEMENT: reby certify that this Billing is correct and just and b has not been received for these costs; and that Park and Recreational Facility Construction Grant I | used on actual payment(s) of record by the Project Sp the completed work and services or purchases are trogram (17 IL adm Code 3070) and the signed Project | oonsor, that payment from the S |
| Less Local Agency Share Amount of Grant Reimbursement Claimed FICATION STATEMENT: reby certify that this Billing is correct and just and b has not been received for these costs; and that Park and Recreational Facility Construction Grant I | ised on actual payment(s) of record by the Project Space completed work and services or purchases are rogram (17 IL adm Code 3070) and the signed Project Space (17 IL adm Code 3070) and the signed Project Space (17 IL adm Code 3070) and the signed Project Space (17 IL adm Code 3070) and the signed Project Space (17 IL adm Code 3070) and the signed Project Space (18 IL adm Code 3070) and the signed Project Space (18 IL adm Code 3070) and the signed Project Space (18 IL adm Code 3070) and the signed Project Space (18 IL adm Code 3070) and the signed Project Space (18 IL adm Code 3070) and the signed Project Space (18 IL adm Code 3070) and the signed Project Space (18 IL adm Code 3070) and the signed Project Space (18 IL adm Code 3070) and the signed Project Space (18 IL adm Code 3070) and the signed Project Space (18 IL adm Code 3070) and the signed Project Space (18 IL adm Code 3070) and the signed Project Space (18 IL adm Code 3070) and the signed Project Space (18 IL adm Code 3070) and the signed S | oonsor, that payment from the S in accordance with provisions act Agreement, including amend |
| Less Local Agency Share Amount of Grant Reimbursement Claimed FICATION STATEMENT: reby certify that this Billing is correct and just and b has not been received for these costs; and that Park and Recreational Facility Construction Grant I | ised on actual payment(s) of record by the Project Spine completed work and services or purchases are rogram (17 IL adm Code 3070) and the signed Project BY: NAME: | oonsor, that payment from the Sin accordance with provisions act Agreement, including amend |
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| Less Local Agency Share Amount of Grant Reimbursement Claimed FICATION STATEMENT: reby certify that this Billing is correct and just and b has not been received for these costs; and that Park and Recreational Facility Construction Grant I , with the Illinois Department of Natural Resources. | ised on actual payment(s) of record by the Project Spine completed work and services or purchases are rogram (17 IL adm Code 3070) and the signed Project BY: NAME: TITLE: AGENCY: | oonsor, that payment from the S in accordance with provisions act Agreement, including amend (Signature) |
| Less Local Agency Share Amount of Grant Reimbursement Claimed FICATION STATEMENT: The property of the second pust and by the second pust and by the second pust and the second pust and the second pust and the second pust and second park and Recreational Facility Construction Grant I with the Illinois Department of Natural Resources. The second pust are second pust and the second pust and the second pust and the second pust are second pust are second pust and the second pust are second pust and the second pust are secon | ised on actual payment(s) of record by the Project Syne completed work and services or purchases are rogram (17 IL adm Code 3070) and the signed Proje BY: NAME: TITLE: AGENCY: | oonsor, that payment from the S in accordance with provisions act Agreement, including amend (Signature) |
| Less Local Agency Share Amount of Grant Reimbursement Claimed FICATION STATEMENT: reby certify that this Billing is correct and just and behas not been received for these costs; and that Park and Recreational Facility Construction Grant I, with the Illinois Department of Natural Resources. | ised on actual payment(s) of record by the Project Spine completed work and services or purchases are rogram (17 IL adm Code 3070) and the signed Project BY: NAME: TITLE: AGENCY: | oonsor, that payment from the S in accordance with provisions act Agreement, including amend (Signature) |

FINAL PROJECT PERFORMANCE REPORT LLINOIS PARK AND RECREATIONAL FACILITY CONSTRUCTIONGRANT PROGRAM

| roject#: | Date | |
|--|-----------------------------|----------------------|
| | Date; | |
| (CONCISE / QUANTIFIED DESCRIPTION OF C | OMBI ETED BRO JECTI. | |
| CONCISE / GUANTIFIED DESCRIPTION OF C | OWFLETED PROJECT). | |
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| (GENERAL COST BREAKDOWN OF COMPLET | ED MAJOR PROJECT COMPONENTS | Ŕ |
| | | |
| Approved Project Component | Quantity Actual Costs | Budgeted Cost |
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| Prepared by: | | |

IL Department of Natural Resources

FA/DOC-1

FORCE ACCOUNT PROJECT MATERIAL COST SUMMARY

(Itemized by major project components) (MATERIALS ITEMIZATION SHEET)

| PROJECT SPONSO PROJECT TITLE: | R: | | | | PROJECT #: | |
|----------------------------------|--------------------------|------------------|--------------------------|-------------------|----------------------|------------------------------------|
| FIRM | MATERIAL ITEM | QUANTITY | PURCHASE ORDER NUMBER | CHECK NUMBER | CHECK AMOUNT | AMOUNT CLAIMED FOR REIMBURSEMEN |
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| | | | | | 5 | 5 |
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| | | | | | \$ | \$ |
| | | | | | TOTAL | |
| ereby certify that | the above is true and co | orrect and all m | | exclusively on th | e referenced project | |
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IL Department of Natural Resources

FA/DOC-2

PROJECT FORCE ACCOUNT IN-HOUSE LABOR SUMMARY

| PROJECT SPONSOR: | | | PROJECT #: | A | | |
|---------------------------------------|---|--------------------------------|----------------------------|-------------|--|--|
| ROJECT TITLE: | | | | | | |
| ay Periods from | to | | | | | |
| EMPLOYEE'S NAME | JOB DESCRIPTION | HOURS WORKED ON PROJECT (*) | WAGES (HOURLY) | TOTAL WAGES | | |
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| om local agency time allocat | tion records ve is true and correct and all mater | rials were used exclusive | ly on the referenced proje | ct. | | |
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Periodic Performance Report (PPR) Instructions

The Periodic Performance Report (PPR) is a standard, uniform statewide performance progress reporting format used by all state agencies to collect performance information from recipients of state grant awards. Unless statutorily exempt as documented in the Catalog of State Financial Assistance and the Grant Agreement (UGA), all grant awards are subject to periodic performance reporting.

General instructions for completing the PPR are contained below. **PLEASE READ ALL INSTRUCTIONS BEFORE COMPLETING THE PPR.** Please contact the state agency's points of contact specified in the "State Agency Contacts" section of your UGA if additional support is needed completing the PPR.

If the UGA specifies an alternative file or external database for grant performance reporting, the grantee should mark the shaded box in the PPR accordingly. In the *File Name or Database Source* field, enter the name of the alternative file or database utilized. The grantee is not required to complete Sections 14 - 22 if the information is provided in an alternative format specified in the UGA.

Report Submission

- The grantee must submit the PPR cover page and any forms required by the awarding state agency as specified in the UGA.
- 2. The PPR must be submitted to the attention of the state agency's points of contact specified in the "State Agency Contacts" section of your UGA in accordance with the requirements established in the award document.
- 3. If additional space is needed to support the PPR, supplemental pages should be attached. As indicated on the PPR, responses to Sections 14 22 may be provided in a separate format. If additional pages are provided, the pages should be numbered and must reference:
 - a. Grant number
 - b. Grantee organization
 - c. DUNS number
 - d. FEIN
 - e. Period covered by the PPR

Reporting Requirements

- 1. Unless statutorily exempt as documented in the Catalog of State Financial Assistance and the UGA, all grant awards are required to submit a PPR in accordance with the terms established in the UGA.
- 2. The frequency of the PPR is specified in the Notice of Funding Opportunity (NOFO) and the UGA. The PPR must be submitted within the specified time frames. A submittal will be considered "late" if it is more than 15 calendar days past the due date or the date specified by the State agency's JCAR Rules (including approved extensions.)
- 3. Under the terms of the Grant Funds Recovery Act (30 ILCS 705/4.1), "Grantor agencies may withhold or suspend the distribution of grant funds for failure to file requirement reports." If the report of more than 30 calendar days delinquent, without any approved written explanation by the grantee, the entity will be placed on the Illinois Stop Payment List. (Refer to the Grantee Compliance Enforcement System for detail about the Illinois Stop Payment List: https://www.illinois.gov/sites/GATA/Pages/ResourceLibrary.aspx.)
- 4. A final PPR shall be required at the completion of the grant award. For final PPRs, the reporting period end date shall be the end date of the project / grant period.



| Section | Data Element | Section Instruction for PPR |
|---------|-----------------------------|--|
| 1 | Grantee Name (per UGA) | Enter the name of the grantee exactly as stated in the UGA. |
| 2 | Grant Number | Enter the number assigned by the awarding state agency; Grant Number specified in the UGA. |
| 3 | Grantee DUNS | Enter the grantee's Dun and Bradstreet number. |
| 4 | CSFA Number | Enter the number assigned to the program through the Catalog of State Financial Assistance. |
| 5 | Grantee FEIN | Enter the grantee's Federal Employer Identification Number provided by the Internal Revenue Service. |
| 6 | Program Name (per UGA) | Enter the program name exactly as stated in the UGA. |
| 7 | CFDA Number(s) | Enter the Catalog of Federal Domestic Assistance (CFDA) number(s) a stated in the UGA. If the program is funded by more than one CFDA, lise each CFDA number. |
| 8 | State Agency (Grantor) | Enter the name of the state agency awarding the grant as identified in the UGA. |
| 9 | Agreement Period | Enter the agreement period established in the Grant Agreement. This may span multiple years, based on the terms of the UGA. |
| 10 | Report Period End Date | Enter the ending date of the reporting period. The reporting periods are specified in the UGA. |
| 11 | Final Report? | Mark appropriate box. Check "yes" only if this is the final or last PPR for the Agreement Period specified in Section 9. |
| 12 | Report Frequency | Select the appropriate term corresponding to the requirements specified in the UGA. "Other" may be used when a different reporting schedule is required due to Specific Conditions. State the frequency as state in the UGA Specific Conditions. |
| 13 | Prepared Date: | Enter date the PPR was prepared by the grantee. |
| | | ections 14 - 22 may be provided in a separate format. I grantees must complete Section 23. |
| 14 | Deliverable (if applicable) | List all high-level deliverables required under the current, approved UGA Enter one Deliverable per row. |
| | | - Examples of Deliverables could include: |
| | | o Provide IT training o Purchase equipment |
| | | o Hire contractors |
| | | o Conduct workshop o Submit document |
| | | As delineated in the UGA, "Deliverables" are not "Performance Measures." Performance Measures are addressed in Section 18 - 22. |
| | | Grantees are not required to report on deliverables that were due and completed in prior reporting periods. |
| 15 | Due Date | Per the current, approved UGA, enter the Due Date for the corresponding Deliverable. This date may fall outside the time frame of the current PPR. |
| | | The state of the s |



| Section | Data Element | Section Instruction for PPR |
|---------|--|---|
| 17 | Deliverable Explanation | Briefly explain progress towards meeting the Deliverable to inform the awarding agency of challenges and successes. If additional space is needed, attach a supporting narrative. |
| | | A description of the challenges and plans for overcome must be provided if: |
| | | Deliverable was completed after the Due Date, Deliverable is not completed and the Due Date has passed, or Grantee anticipates the Deliverable will not be completed by a future Due Date. |
| | | If the grantee is on pace to complete a Deliverable that comes due after the reporting period, the grantee should, at a minimum, enter "On schedule" in Section 17. |
| 18 | Performance Measures | Enter all Performance Measures required in Exhibit E of under the current approved UGA. Enter one Performance Measure per row. |
| 19 | Performance Standard/Frequency | Based on the <u>current, approved</u> UGA, enter the Performance Standard (or target) for the corresponding Performance Measure and the reporting frequency (annual/quarterly/monthly/etc.) based on Exhibit F of the Grant Agreement. |
| | | - Examples of Performance Standards/Frequency could include: o 1,000 Persons Trained/quarter o \$250,000 capital leveraged/year |
| | | o 500 Patients Rehabilitated/month |
| | | If the Performance Standard fluctuates over time per the UGA, the Standard listed should apply to the specific report period. |
| 20 | Results/Accomplishments in Reporting Period | Based on the <u>current</u> , <u>approved</u> UGA, enter the actual results for the corresponding Performance Measure for the specific report period. |
| 21 | Required (R) or Inform Only (IO) | Based on the <u>current, approved</u> UGA, indicate whether the performance standard in Section 19 is a grant "requirement." |
| | | - Enter "R" if meeting or exceeding the Performance Standard is necessary to satisfy grant terms. Failure to meet the Standard may indicate that the grantee in not in compliance. |
| | | - Enter "IO" if the data is collected for programmatic or assessment purposes. Failure to meet an "IO" Performance Standard may not imply that the grantee is out of compliance. |
| 22 | Performance Explanation - Award to Date | Mark the appropriate check box based on whether or not ALL performance accomplishments are on schedule with performance standards. |
| | | Section 22 is not limited to the reporting period. Responses are award to date |
| | | If any performance measure results / accomplishments (Section 20) are below the required standards (Section 19), an explanation must be provided to inform the awarding agency about the deviation. Consider internal and external factors that impact performance. Attach a supporting narrative if additional space is needed. |
| | PARC Implementation & Billing 2021 DEV | Grantees are encouraged to highlight factors that enable grant performance to exceed performance standards. |



| Section | Data Element | Section Instructions for PPR |
|---------|---|---|
| | All grante | es must complete Section 23. |
| 23 | Performance Accomplishment Correlated to Reported Expenses | Federal Uniform Guidance requires periodic reporting to correlate performance and expenses within a report period. Correlation reporting focuses on the degree to which expended resources are effectively achieving anticipated outcomes. |
| | | Determine if grant performance (service / outcomes) is on schedule with the anticipated timing of incurred grant expenditures / earnings per the terms of the UGA. Mark the appropriate box. Per the UGA, the award may have services / outcomes that occur at a different time than the expense. The award may be on schedule because it is expected that expenses and services / outcomes occur at different intervals. |
| | | Grantees must provide an explanation if grant performance to-date does not correlate to the timing of incurred expenses / earnings per UGA terms Attach a supporting narrative if additional space is needed. |
| | | Grantees are <i>encouraged</i> to inform the awarding agency if internal or external factors are causing a better than anticipated correlation. |
| | Grantee Certifi | cation / State Agency Acceptance |
| | Iniform Guidance (2 CFR 200.415) requires a n the PPR. | Grantee Certification an authorized grantee representative certify the accuracy of the information |
| | Name and Title of Authorized Individual | Enter the name and title of the grantee representative certifying the PPR. |
| | from Grantee Organization | This individual must be authorized to represent the grantee in this capacity. |
| 25 | Phone Number | Enter the phone number of the grantee representative certifying the PPR. |
| 26 | Email Address | Enter the email address of the grantee representative certifying the PPR. |
| 27 | Name and Title of State Agency PPR Approver | Enter the name and title of the state agency representative authorized to approve the PPR. |
| | Date Received | Enter the date the state agency representative received the PPR. |
| 28 | Date Neceived | |

| | 3. Grantee DUNS: | 5. Grantee FEIN: | 7. CFDA Number(s): N/A | | | riod End Date: | riod End Date: ear): | | riod End Date: ear): 13. Prepared Date: | End Date: | End Date: | End Date: separate format. are not applicable. | separate format. are not applicable. anation: | separate format. are not applicable. anation: | separate format. anation: |
|------------------|---|-----------------------------|---|----------------------------|-----------------------------|------------------------------|-------------------------|-------------------------------|--|--------------------------------------|---|--|---|---|--|
| 2. Grant Number: | | 4. CSFA Number: 422-11-1165 | | | 10. Report Period End Date: | ıy/Year): (Month/Day/Year): | | Quarterly Other (specify): | to Sections 14 - 22 may be provided in a separate format. All grantees must complete Section 23. | ☐ Alternative file or database used. | or 14-17 below, entering N/A for items that are not applicable. | 16. Date Completed 17. Deliverable Explanation: | | | |
| | | | Park and Recreational Facility Construction | | | End Date (Month/Day/Year): | 12. Report Frequency: | ☐ Monthly ☐ Quart | Responses to | | File Name or Database Source: Alternative formats are not allowed. Please complete all fields for | 15. Due Date 3A) (based on UGA) | eRP A in | lired | t Date rable |
| 21 | 1. Grantee Name (per UGA): all manual de la composition (per UGA): | entation | 6. Program Name (per UGA): | 8. State Agency (Grantor): | 9. Agreement Period: | Start Date (Month/Day/Year): | 11. Final Report? | Ves | | | File Name or Database Source: Alternative formats are not allowe | 14. Deliverable (if applicable): (Separate line for each based on UGA) | Archaeological survey submitted (Include only if required by CERP form. If not required, enter N/A in 17. Deliverable Explanation) | Wetland development plans submitted (Include only if required by CERP form) | Anticipated Bid Advertisement Date set (Include date in 17. Deliverable Explanation) |

Page 1 of 3

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STATE OF ILLINOIS PERIODIC PERFORMANCE REPORT

| Add - Delete | ADD | ADD | ADD | ADD | ADD | ADD | ADD | ADD | ADD | I (R) Add - Delete (IO) | ADD |
|---------------------------------------|---|--|---|--|--|------------------------|---|---|--|---|----------------------------------|
| | | | | | | | | | | 21. Required (R) or Inform Only (IO) | 2 |
| Celly classe Lybialianoli. | | | | | | | | | | 20. Results - Accomplishments in Reporting Period | |
| | | | | | | | | | | | |
| (based on UGA) | | | | | | | | | | 19. Performance Standard- Frequency (Based on UGA Exhibit F) | Final Report only |
| (Separate line for each based on UGA) | Consguction permitting in progress (Describe what permits are still needed and their status in 17. Deliv雖able Explanation) | All negessary construction permits secured | Playgeound plans submitted to DNR for regiew and approval (If applicable) | Form #A DOC-1 submitted to DNR (Required if Force Account Labor is being used) | Draff Bid Documents completed and include compliance requirement that contractors pay State Prevailing Wage (Prevailing Wage Act 820 ILCS 130/0.01-12) | Project put out to bid | Project bids received, contractor selection in progress (Include anticipated construction start and end dates in 17. Deliverable Explanation) | Project under construction (Include percent complete in 17. Deliverable Explanation. Please use only the following percentages: <25%, 25%, 56%, 75%, 90%, 100%) | Project construction complete (Include anticipated final billing submittal date in 17. Deliverable Explanation) | 18. Performance Measures: (Separate line for each based on UGA Exhibit E) | Recreational Amenities completed |

| 22. Performance Explanation - Award to Date: | |
|--|---|
| All performance accomplishments are on schedule with performance standards. | - 504 |
| Solution all performance accomplishments are on schedule with performance standards. Explanation(s) required below: | |
| (Sৰুparate lines as appropriate.) | |
| n & Bi | ADD |
| 23. Parformance Accomplishments Correlated to Reported Expenses: | |
| Performance is consistent with grant-to-date expected services and expenditures/earnings. | - Add - |
| (Separate lines as appropriate.) | |
| | ADD |
| GRANTEE CERTIFICATION (2 CFR 200.415) | |
| By signing [authorizing] this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the [related] expenditures, disbursements, cash receipts and reported performance are for the purposes and objectives set forth in the terms and conditions of the award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812). | nowledge and belief that the report is true, complete, and accurate, and the [related] expenditures, purposes and objectives set forth in the terms and conditions of the award. I am aware that any false, fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims 3729-3730 and 3801-3812). |
| 24. Name and Title of Authorized Individual from Grantee Organization: | 25. Phone Number |
| | 26. Email Address: |
| STATE AGENCY USE ONLY | |
| 27. Name and Title of <u>State Agency</u> PPR Approver: | : 28. Date Approved: |
| | |

Page 3 of 3

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PARC

STATE OF ILLINOIS

PERIODIC FINANCIAL REPORT

Periodic Financial Report (PFR) Instructions

The 语nodic Financial Report (PFR) is a standard, uniform statewide financial reporting format used by all state agencies to collect financial information from recipients of state grant awards. Unless statutorily exempt as documented in the Catalog of State Financial Assistance and the Uniform Grant Agreement (UGA), all grant awards are subject to periodic financial reporting.

Genegal instructions for completing the PFR are contained below. PLEASE READ ALL INSTRUCTIONS BEFORE COMPLETING THE PFR. Please contact the state agency's point of contact specified in the "State Agency Contacts" section of your UGA if additional support is needed completing the PFR.

- The grantee must submit the PFR and any forms required by the awarding state agency as specified in the UGA. State awarding agencies have discretion to add to or supplement the PFR as necessary. Report Submission
 1. The grantee m
- The PFR must be submitted to the attention of the state agency's points of contact specified in the "State Agency Contacts" section of your UGA in accordance with the requirements established in the award document. ٨i

Reporting Requirements 1. Unless statutorily e

- Unless statutorily exempt as documented in the Catalog of State Financial Assistance and the UGA, all grant awards are required to submit a PFR in accordance with the terms established in the UGA.
- The Category / Program Expenses or line items of the PFR template should correspond to the current, approved grant budget. All program-specific line items included in the approved budget should be included in the PFR. κi
- Use "N/A" for Not Applicable if a data field in Sections (a) through (w) is not relevant to the grant agreement (e.g., Program Income). Terms of the UGA dictate if a field is relevant. က
- The frequency of the PFR is specified in the Notice of Funding Opportunity (NOFO) and the UGA. The PFR must be submitted within the specified time frames.. A submittal will be considered "late" if it is more than 15 calendar days past the due date or the date specified by the State agency's JCAR Rules (including approved extensions.) 4
- requirement reports." If the report is more than 30 calendar days delinquent, without any approved written explanation by the grantee, the entity will be placed on Under the terms of the Grant Funds Recovery Act (30 ILCS 705/4.1), "Grantor agencies may withhold or suspend the distribution of grant funds for failure to file the Illinois Stop Payment List. (Refer to the Grantee Compliance Enforcement System for detail about the Illinois Stop Payment List: https://www.illinois.gov/sites/GATA/Pages/ResourceLibrary.aspx.) S
- A final PFR shall be required at the completion of the grant term. For final PFRs, the reporting period end date shall be the end date of the project / grant award. Ö
- inclusive of all State of Illinois funding received by the grantee organization. A separate reporting template and instructions are provided for consolidated year-end A separate consolidated year-end financial report traced to the organization's financial statement is also required. The Consolidated Year-end Financial Report is

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STATE OF ILLINOIS PERIODIC FINANCIAL REPORT

| Section | Data Element | Section Instruction for PFR |
|---------------|---|--|
| mplen | State Agency/Grantor | State Awarding Agency Name. |
| ⊕ a | Grantee Name | Enter the name of the grantee as exactly stated in the UGA. |
| (m) 8 | Grant Number | Number assigned by the state awarding agency to the grant award and specified in the UGA. |
| B | CSFA | Number assigned through the Catalog of State Financial Assistance. |
| £ 2021 | CFDA(s) | Enter the Catalog of Federal Domestic Assistance (CFDA) number(s) as stated in the UGA. If the program is funded by more than one CFDA, list each CFDA number. |
| 10 €∨ | Appropriation Number(s) (State Agency Use Only) | |
| € | FEIN Number | Enter the grantee's Federal Employer Identification Number provided by the Internal Revenue Service. |
| (a) | SNNG | Enter the grantee's Dun and Bradstreet number. |
| (F) | Program Name and/or Code | Enter the program name and / or code exactly stated in the UGA. |
| € | Date Prepared | Enter date PFR was developed and submitted by the grantee to the awarding state agency. |
| 9 | Agreement Period | Indicate the start and end date established in the UGA. This may span multiple years, based on the terms of the UGA. |
| 중 | Report Period | Enter the start and ending date of the reporting period. The reporting frequency is specified in the UGA. |
| € | Final Report for Award Period | Mark the box to identify this PFR is the final report required for the Agreement Period specified in Section (j). |
| (m) | No changes from prior reporting period and/or No new expenses | No changes from prior reporting period and/ Mark the box if there are no changes from the prior reporting period and/or no new expenses. or No new expenses |
| (u) | Indirect Cost Rate | Enter the Indirect Cost Rate percentage (%) as accepted by your State Cognizant Agency for indirect cost reimbursement on this particular award. (Example: 10%) - If no indirect cost reimbursement is requested please enter 0% |
| (0) | Approved Indirect Cost Rate Base | Enter the Indirect Cost Base description as accepted by your State Cognizant Agency for indirect cost reimbursement on this particular award. (Example: Modified Total Direct Costs - MTDC) - If no indirect cost reimbursement is requested please enter N/A. |
| (d) | Program Restrictions | Based on the UGA, select "Yes" or "No" to indicate if there are funding-related program restrictions that will be monitored. |
| (b) | List of Restrictions | Specify the program restriction(s) if Section (p) was marked "Yes". |
| Œ. | Mandatory Match % | If the UGA includes a mandatory match, select "Yes" and identify percentage in the field provided. If the UGA does not include a mandatory match, select "No". |
| (s) | Specify Match | Specify the match percentage if Section (r) was marked "Yes". |

GOMBGATU-4002 (N-08-17) - Periodic Financial Report

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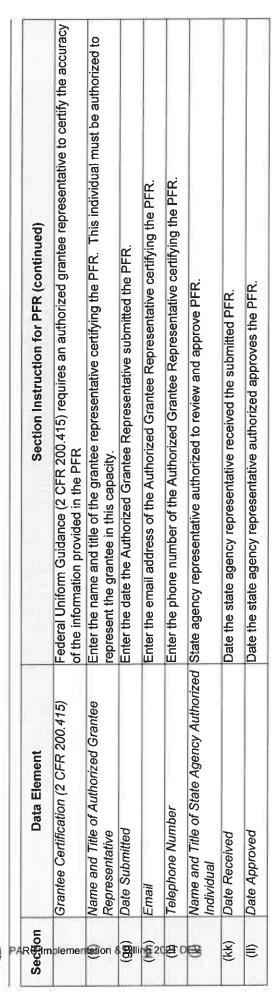
STATE OF ILLINOIS PERIODIC FINANCIAL REPORT

| PA | | |
|-----------------|--|--|
| Section | Data Element | Section Instruction for PFR |
| @lem | Program Income (Award to Date) | Enter the cumulative amount of grant program income earned to date including current reporting period. Apply agency policy if required to include program income under budget to actual reporting. |
| ation | orting | Enter the amount of grant program income earned during the current reporting period. |
| (≩)B | Interest Earned (Award to Date) | Enter the cumulative amount of grant interest earned to date including current reporting period. |
| ® g | Interest Earned (In current reporting period) | Interest Eamed (In current reporting period) Enter the amount of grant interest earned during the current reporting period. |
| 2 9 21 D | Category / Program Expenses | Enter all current and approved line items as exactly stated within the current, approved grant budget. All program expenses must align with specified line items. |
| (3) | Current Approved Budget (Enter this item first for every | for every Category/Program Expense) |
| | Approved Budget | Enter the most current, approved budget amount for each program expense line item. |
| | Remaining Balance Available | AUTO CALCULATED: Approved Budget for the line item minus Post Adjustment Grant Expenses (Award to Date) |
| | Expend % | AUTO CALCULATED: Post Adjustment Grant Expenses (Award to Date) divided by Approved Budget for the line liem. |
| (z) | Grant Expenditures | |
| | Current Period Grant Expense | Enter the amount of expenditures for each line item being reported as expenditures for this award during the period identified in Section (k). |
| | Prior Approved Grant Expenses | Enter the amount of expenses by line item reported and approved for this line item prior to this reporting period. |
| | Grant Expense Adjustment | Enter any adjustments / corrections needed to restate expenditures reported in a prior period. |
| | Post Adjustment Grant Expenses (Award to AUTO CAI Date) | UTO CALCULATED: Sum of Current Period Grant Expenses, Prior Approved Grant Expenses and Adjustments. |
| (aa) | Current Period Match | Enter amount of cash and in-kind contributions to the grant program for the current reporting period's match requirements. See 2 CFR 200.306. |
| | Cash | Enter amount of cash contributed to the grant program for the current reporting period. |
| | In-Kind | Enter value of non-cash contributions to the grant program for the current reporting period. |
| | Total | AUTO CALCULATED: Total of Cash and In-kind contributions to the grant program in the current reporting period. |
| (qq) | Total Match (Award to Date) | Enter prior reporting period Total match based on the Previous PFR (Prior Award to Date) plus Total of Current Period Match for the grant program. |
| (၁၁) | Total Direct Expenses | AUTO CALCULATED: Sum of the line entries in each column for section (y), (z), (aa) and (bb). |
| (pp) | Indirect Costs | Enter computed indirect costs based on Sections (n) and (o). |
| (ee) | Total Expenditures | AUTO CALCULATED: Total Direct Expenses plus Indirect Costs. |
| | | |

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PERIODIC FINANCIAL REPORT STATE OF ILLINOIS





STATE OF ILLINOIS PERIODIC FINANCIAL REPORT

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|---|----|------|--|
| | | a de | The state of the s |

| (b) Grant Number (c) CSFA (d) CFDA(s) (e) Appropriation Number(s) (State Agency Use Only) N/A | er (g) DUNS (h) Program Name and/or Code Park and Recreational Facility Construction - 422-11-1165 | Period (k) Report Period (l) Final Report (m) No changes from prior reporting period for Award Period and/or No new expenses | st Rate: % (o) Approved Indirect Cost Base: | Yes 🗌 No | Match %: Yes ☐ % No ☐ (s) Specify Match: | (t) Program Income (Award to Date) (u) Program Income (In current reporting period) (v) Interest earned (Award to Date) (w) Interest earned (In current reporting period) | \$0.00 |
|---|--|--|---|---------------------------|--|---|--------|
| (a) Gantee Name Sa ≣ | (a) Figure Number (b) I | (j) Agrement Period @ thru | (n) Indirect Cost Rate: | (p) Program Restrictions: | r) Mandatory Match %: |) Program Income (Award t | \$0.00 |

| | (y) curren | (y) Current Approved Budget | adet | | (z) Grant Ex | (z) Grant Expenditures | |) (aa) | (aa) Current Period Match | Match | | |
|---|--------------------|-----------------------------------|----------|---|--|--------------------------------|--|--------|---------------------------|-------|----------------------------------|-----------------------|
| (x) Category/Program Expenses | Approved Budget | Remaining Balance Available | Expend [| Current Expend Period Grant % Expense | Prior Approved Grant Expenses | Grant Expense Adjustment | Post- Adjustment Grant Grant Expense Expenses Adjustment (award to date) | Cash | <u>-</u> | Total | (bb) Total Match (Award to Date) | Add/ Delete Row |
| For July 1 status reports only, dollar amount of incurred cost from July 1 of previous year to present. Incurred costs represent actual payment made by the grantee that have not yet been billed to the State. If you received advance payment, don't include the amount of the advance payment and/or grantee's match of the advance payment. | | | 0.00 | | | | | | | | | ADD |
| (cc) TOTAL DIRECT EXPENSES | | | 00.0 | | | | | | | | | |
| (dd) Indirect Costs | | | 00:00 | | | | | | | | | |
| (cc) TOTAL EXPENDITURES | 10 | | 0.00 | | | | | | | | | |
| | | | | | | | | | | | | |

GOMBGATU-4002 (N-08-17) - Periodic Financial Report

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STATE OF ILLINOIS

PERIODIC FINANCIAL REPORT

GRANTEE CERTIFICATION (2CFR 200.415)

disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812). By signing [authorizing] this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the [related] expenditures,

| (ff) Name and Title of Authorized Grantee Representative: | 3) | (gg) Date Submitted: |
|---|------------------------|----------------------|
| (hh) E-mail: | (ii) Telephone Number: | |
| STATE AGENCY USE ONLY | ONLY | |
| (jj) Name and Title of <u>State Agency</u> Individual Authorized to Approve Report: | (kk) Date Received: | (II) Date Approved: |
| | | |

PARC Grant Program General Project Data

Form PARC/DOC-I

| 1. | Applicant (Sponser) Leg | | | nty Porest Preserve D | | | |
|---|--|--|--|---|--|--|--|
| 2. | _ | | igott Estate House | Public Access Impro | | _ | |
| 3. | Applicant Executive off | | | Applicant Conta | ct Persen | | |
| | Name: David Gur | | | Name: | David | | Guritz |
| | Title: Executive | | | Title: | Executive Dire | _ | |
| | Address: 110 W. Ma | | | Address: | 110 W. Madiso | | |
| | City. State, Zip: Yorkville. | | 560 | City, State, Zip: | Yorkville, Illin | ois 6 | 0560 |
| | Phone #: 630-553-4 | | | Phone #: | 630-553-4131 | | |
| | Email Address: dguntz@c | | l.us | Email Address: | dguritz@co.ke | ndall | .il.us |
| 4. | Project Type (check all that | | | | | | |
| | Construction Rend | abilitatio evation | | y Acquisition creation building | Property A for a park | cqui | sition |
| . (i) | | t clopment | Utility ' | Work | Trail Const | ruct | ion |
| roj | ect Site Information | - | Tr1 | | Liu - | | |
| | 5. County: Kendall Count | | | ip: Kendall Townsl | | ₹Ÿ. | |
| | 6. Federal Congressional | District # | 14 / IL Sena | te Dist. # IL 69975 | IL Representa | tive | Dist. # 75 ✓ |
| _ | | | 177016 | | | | |
| 7. | Population in Applicant's J | | | | -42 6.7 476 / | | · 5/ |
| 43 | | | | | | . i.fl # | 1.0 |
| 8 . | Current "Equalized Assesse | | | ocai Sponsor Jurisai | Cuon: 3 3,437,. | 317,0 | 370 |
| 9, | Applicant's annual operation | nai bu∉g | et S 1,165,425 | | | | |
| 9, | Applicant's annual operation Concise Description of the l | nai bu d g Proposed | et S <u>1.165.425</u> Project:(USE ALL | | | | |
| 9. 10. | Applicant's annual operation Concise Description of the I (Be sure to indicate size/acreag | nai budg Proposed e of proje | et S <u>1,165,425</u> Project:(USE ALL ct site) | OCATED SPACE ONLY | r, do not atta | СН А | DDITIONAL SHEE |
| 9. 10. endali | Applicant's annual operation Concise Description of the I (Be sure to indicate size/acreage County Forest Preserve District ac | nal budg Proposed e of proje quired th | et S 1,165,425 Project:(USE ALL ct site) e Pickerill-Pigott Fo | OCATED SPACE ONLY | r, DO NOT ATTA | CH A | DDITIONAL SHEE |
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PARC Grant Program General Project Data

Form PARC/DOC-1

(Page 2 of 2)

| Non-Referendum Bonds | |
|-------------------------|---|
| Referendum Bonds (date) | 6/15/2007 |
| Donations (specify) | |
| Other (specify) | |
| | Referendum Bonds (date) Donations (specify) Other (specify) |

14. Disadvantaged Community (This will have no effect on scoring the project.)

In accordance with 17 IL Admin Code 3070, IDNR has \$2,500,000 designated to allow project sponsors that are classified as Disadvantaged Communities to receive 90% reimbursement. All projects will be scored on merit and sorted in rank order from the highest to the lowest merit score by IDNR staff. The highest-ranking Disadvantaged Community Projects will be approved to receive 90% reimbursement up to the \$2,500,000 ceiling. Project sponsors should determine if they wish to receive 75% reimbursement if not approved to receive 90% reimbursement.

| Please | make | sele | ction | held | ` |
|---------|--------|------|-------|------|----------|
| 1 10430 | IIIakc | 2010 | CHUII | Den | JVV. |

| If not approved for 90% reimbursement for PARC grant, sponsor does NOT wish to receive a grant. |
|--|
| If not approved for 90% reimbursement for PARC grant, sponsor wishes to receive 75%. |
| Not applicable. Sponsor does not qualify for Disadvantaged Community or doesn't wish to take 90% |

| 15. | Federal I | Emplover | ID | Number | (FEIN) | 36-6006598 |
|-----|-----------|----------|----|--------|--------|------------|
|-----|-----------|----------|----|--------|--------|------------|

| 16. | Have you received PARC funding in the past? | No |
|-----|---|----|
|-----|---|----|

17. Remodel/Rehabilitation/Renovation

Explain what is currently in the space and what will be in the space after the renovation? Example: Current space is a fitness center; will the fitness center be in the same location in the building? If no, what will be done in the area that is currently the fitness center? Include this information for each area of the remodel/rehabilitation/ renovation of the building. (Supply a current floor plan as attachment A-3b along with pictures.). If not applicable, leave blank or fill in N/A.

The Pickerill estate house was designed as a residential home. Both interior and exterior renovation work is needed to meet ADA, public building and accessibility code, and local fire code.

OVERVIEW: This project will renovate the Pickerill estate house first-floor (Total Square Feet: 4,975) to meet ADA and public building code requirements; complete ADA improvements to the building's entryways and approaches, and complete construction of a new open-air courtyard and pavilion area.

ENTRYWAY APPROACHES: Selective demolition and reconstruction of existing sidewalks, steps, and building entrances to meet ADA requirements.

MAIN ENTRANCE: Selective demolition and reconstruction of ADA entry doors and vestibule air-lock improvements.

WASHROOMS: Selective demolition of the NE living quarters for new ADA accessible indoor washrooms. GENERAL ADA ACCESSIBILITY and BUILDING CODE IMPROVEMENTS: Installation of first-floor ADA accessible flooring and life-safety improvements (smoke detection and fire alarm system); interior lighting improvements, and selective demolition of fixtures within interior spaces.

BUILDING EXTERIOR: Exterior lighting improvements to meet life safety and building code requirements and mechanical systems (HVAC) replacements. Exterior trim and fascia replacement.

ADJOINING COURTYARD AREA AND PAVILION: Selective demolition and reconstruction of existing sidewalks, steps, entry doors and thresholds. Construction of a new 3,276 sq. ft. open-air pavilion, including construction of a canopy structure, concrete paver patio, garden walls, and fireplace.

PARC Grant Program

Form PARC/DOC-4

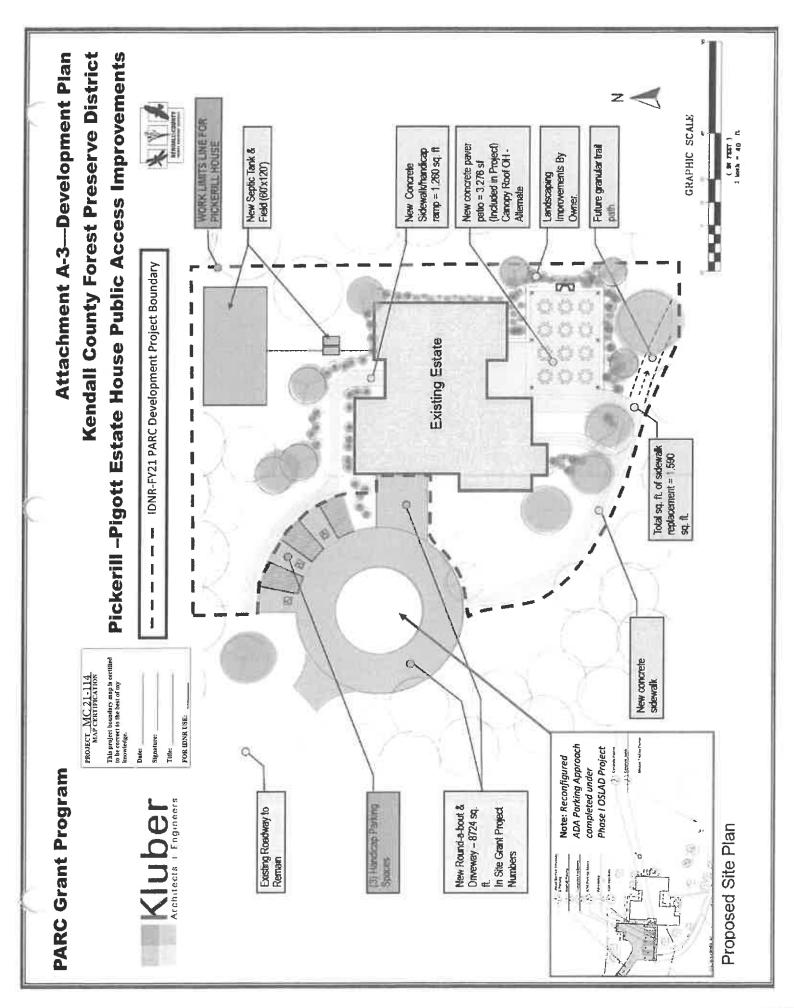
Development Cost Estimate Data

| 1. | Applicant (Sponso | r) Legal Name: Kendall County Forest Preserve District |
|----|----------------------|---|
| 2. | Project Title: | Pickerill-Pigott Estate House Public Access Improvements |
| 3. | Acquisition | Development ✓ |
| | Note: Acquisitions P | rojects - complete items #4 and #6 below as they pertain to future development. |

| 4. DEVELOPMENT PROJECT COMPONENT | 5. UNIT AMT. | 6. ESTIMATED COSTS |
|--|--------------|--------------------|
| Estate House Renovation (TOTAL) | 1 | 452127 |
| Site Construction Costs (TOTAL) | 1 | 190637 |
| Exterior Lighting Improvements | 1 | 7,237 |
| Exterior Siding & Trim Replacement | 1 | 84,830 |
| Mechanical Systems Replacement | 1 | 59,822 |
| South Patio Roof & Fireplace Construction | 1 | 226,350 |
| General Contractor Bonding and Insurance | 1 | 11,561 |
| Permit Fees (local agency responsibility) | 1 | 2,500 |
| CPA Report Cost | 1 | 1,000 |
| A/E Design Fees (<15.25% of construction cost) | 1 | 71,831 |
| Potential Archaeological Survey * | 0 | 0 |
| TOTAL ESTIMATED COST | : | 1,107,895 |

NOTE: Donated labor and material are not eligible for reimbursement.

- (*) Projects approved for OSLAD funding may require the completion of an archaeological reconnaissance survey on the project site. Estimated cost for such a survey may be included in the project budget. The requirement of a survey will not be an allowable reason to extend any project ending date.
- 7. Provide a quarterly expenditure schedule for the grant funds to the best of your knowledge or ability. Use quarterly time increments. Example: Year 1, Quarter 1 = \$10K (engineering fees). The project sponsor is not bound to this schedule and revisions can be made during the course of the project as necessary.



| | | Agency Completed Section | |
|---------------|---|--|--|
| 1. | Type of Submission | □ Pre-application ☑ Application □ Changed / Corrected Application | |
| 2. | Type of Application | ■ New Continuation (i.e. multiple year grant) □ Revision (modification to initial application) | |
| 3. | Date / Time Received by State | | |
| 4. | Name of the Awarding State Agency | Illinois Department of Natural Resources | |
| 5. | Catalog of State Financial Assistance (CSFA) Number | 422-11-1165 | |
| 6. | CSFA Title | Park and Recreational Facility Construction (PARC) | |
| | g of Federal Domestic Assista | ance (CFDA) Dot applicable (No federal funding) | |
| '. | CFDA Number | | |
| 3. | CFDA Title | | |
| 9. | CFDA Number | | |
| 10. | CFDA Title | | |
| Fundin 11. | g Opportunity Information | | |
| | Funding Opportunity Number | | |
| l2. | Funding Opportunity Title | | |
| ompe | tition Identification 🗆 Not a | pplicable | |
| 3. | Competition Identification Number | FY2021PARC | |
| 14. | Competition Identification Title | Park and Recreational Facility Construction (PARC) | |

| | | Applicant Completed Section | |
|-------------|--|--|--|
| Appli | icant Information | | |
| 15. | Legal Name | Kendall County Forest Preserve District | |
| 16. | Common Name (DBA) * | | |
| 17. | Employer / Taxpayer Identification Number (EIN, TIN) | 36-6006598 | |
| 18. | Organizational DUNS number | 079859610 | |
| 19. | SAM Cage Code | 7MJZ2 | |
| 20. | Business Address | Street address 110 W. Madison Street Yorkville City Illinois, Kendall State, County 60560-1465 Zip + 4 | |
| Appli | cant's Organizational Unit [I | fapplicable] | |
| 21. | Department Name ** | Office of the Executive Director | |
| 22. | Division Name ** | Administration | |
| | pplication First Name | formation for Person to be Contacted for <i>Program</i> Matters involving | |
| 24. | Last Name | Guritz | |
| 25. | Suffix ** | Mr. | |
| 26. | Title | Executive Director | |
| 27. | Organizational Affiliation (if different than 15. above) ** | | |
| 28. | Telephone Number | 630-553-4131 | |
| 29. | Fax Number ** | 630-553-4023 | |
| 30. | Email address | dguritz@co.kendall.il.us | |
| | cant's Name and Contact Info Matters involving this Appli | ormation for Person to be Contacted for Business/Administrative ication | |
| 31. | First Name | David | |
| 32. | Last Name | Guritz | |
| 33. | Suffix ** | Mr. | |
| 34. | Title | Executive Director | |
| 35 . | Organizational Affiliation (if different than 15. above) ** | | |
| 16. | Telephone Number | 630-553-4131 | |
| 7. | Fax Number ** | 630-553-4023 | |
| 8. | Email address | kcforest@co.kendall.il.us; dguritz@co.kendall.il.us | |

^{**} Optional

| Areas | Affected | |
|-------|---|---|
| 39. | Areas Affected by the Project (cities, counties, state-wide) | Kendall County, Illinois |
| 40. | Legislative and U.S. Congressional Districts of Applicant | Legislative: IL 38/75 Congressional: 14 |
| 41. | Legislative and U.S. Congressional Districts of Program/Project | Legislative: IL 38/75 Congressional: 14 |
| Appli | cant's Project | |
| 42. | Descriptive Title of Applicant's Project | Pickerill-Pigott Estate House Public Access Improvements |
| 43. | Proposed Project Term | Start Date: <u>07/01/2020</u> End Date: <u>12/31/2022</u> |
| 44. | Estimated Funding (include all that apply) | Amount Requested from the State: \$828,200.00 Applicant Contribution (e.g., in kind, matching): \$279,695 10 |
| | | \$ 1,107,895.1 Total Amount |

Applicant Certification:

By signing this application, I certify (1) to the statements contained in the list of certifications* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil or administrative penalties. (U.S. Code, Title 218, Section 1001)

(*) The list of certification and assurances, or an internet site where you may obtain this list is contained in the Notice of Funding Opportunity.

| agree

| Autho | orized Representative | |
|-------|--|---|
| 45. | First Name | David |
| 46. | Last Name | Guritz |
| 47. | Suffix ** | Mr. |
| 48. | Title | Executive Director |
| 49. | Telephone Number | 630-553-4131 |
| 50. | Fax Number ** | 630-553-4023 |
| 51. | Email Address | kcforest@co.kendalf.il.us; dguritz@co.kendalf.il.us |
| 52. | Signature of Authorized Representative | and Conde |
| 53. | Date Signed | January 11, 2020 |

^{**} Optional

| t of Natural Resources |
|------------------------|
| of Natural |
| Illinois Department |
| Illinois |
| Agency: |
| tate 1 |

Opportunity (NOFO) Number: FY2021PARC Notice of Funding Data Universal Number System (DUNS) Number (enter numbers only): 079859610 Organization Name: Kendail County Forest Preserve District

Catalog of State Financial Assistance (CSFA) Number: 422-11-1165

Section A: State of Illinois Funds

CSFA Short Description: Park & Recreation Facility Construction Act Grant (PARC)

found at end of Instructions document. 828,200.00 828,200.00 828,200.00 **Total Expenditures** Total Revenue Fiscal Year: FY20 69 69 ₩ 49 44 69 49 49 ₩ 4 ₩ 4 4 ₩ 69 69 69 Federal Awards Reference 2 CFR 200 OMB Uniform Guidance 200.318 & 200.92 200.413 (c) 200.414 200,439 200.459 200.465 200.472 200.413 200.474 200,430 200.431 200.94 200.87 MUST EQUAL REVENUE TOTALS ABOVE Contractual Services and Subawards 10. Research and Development (R&D) 16. Total Direct Costs (add lines 1-15) 7. Consultant (Professional Service) 15. A. Grant Exclusive Line Item(s) 15. B. Grant Exclusive Line Item(s) 18. Total Costs State Grant Funds **Budget Expenditure Categories** Personnel (Salary and Wages) 9. Occupancy (Rent and Utilities) State of Illinois Grant Requested 13. Direct Administrative Costs 12. Training and Education 11. Telecommunications 14. Miscellaneous Costs 17. Total Indirect Costs (Lines 16 and 17) Fringe Benefits 8. Construction Equipment REVENUES Supplies Rate %: Travel Base:

GOMBGATU-3002-(R-02-17)

Page 1 of 23



| Organization Name: Kendail County Forest Preserve District | NOFO Number: FY2021PARC |
|---|---|
| SECTION A - Continued - Indirect Cost Rate Information If your organization is requesting reimbursement for indirect costs on line 17 of the Budget Summary, please select one of the following options | e select one of the following options |
| 1. Our Organization receives direct Federal funding and currently has a Negotiated Indirect Cost Rate Agreement (NICRA) with our Federal Cognizant Agency. A copy of this agreement will be provided to the State of Illinois' Indirect Cost Unit for review and documentation before reimbursement is allowed. This NICRA will be accepted by all State of Illinois agencies up to any statutory, rule-based or programmatic restrictions or limitations. NOTE: (If this option is selected, please, provide basic Negotiated Indirect Cost Rate Agreement is agencied. Laborated. | ost Rate Agreement (NICRA) with our Federal Cognizant t for review and documentation before reimbursement is based or programmatic restrictions or limitations. NOTE: |
| Your organization may <u>not</u> have a Federally Negotiated Cost Rate Agreement. Therefore, in order for your organization to be reimbursed Costs from the State of Illinois your organization must either: | ar for your organization to be reimbursed for the Indirect |
| a. Negotiate an indirect Cost Rate with the State of Illinois' Indirect Cost Unit with guidance from your State Cognizant Agency on an annual basis; b. Elect to use the de minimis rate of 10% modified for total direct costs (MTDC) which may be used indefinitely on State of Illinois awards; or c. Use a Restricted Rate designated by programmatic or statutory policy (see Notice of Funding Opportunity for Restricted Rate Programs). | from your State Cognizant Agency on an annual basis; be used indefinitely on State of Illinois awards; or ding Opportunity for Restricted Rate Programs). |
| 2a. Our Organizations currently has a Negotiated Indirect Cost Rate Agreement (NICRA) with the State of Illinois that will be accepted by all State of Illinois agencies up to any statutory, rule-based or programmatic restrictions or limitations. Our Organization is required to submit a new Indirect Cost Rate Proposal to the Indirect Cost Unit within 6 months after the close of each fiscal year [2 CFR 200, Appendix IV(C)(2)(c)]. NOTE: (If this option is selected, please provide basic Indirect Cost Rate information in area designated below.) | ad Indirect Cost Rate Agreement (NICRA) with the State of Illinois that will be accepted by all State of or programmatic restrictions or limitations. Our Organization is required to submit a new Indirect Cost months after the close of each fiscal year [2 CFR 200, Appendix IV(C)(2)(c)]. NOTE: (If this option is tate information in area designated below.) |
| 2b. Our Organization currently does not have a Negotiated Indirect Cost Rate Agreement (NICRA) with the State of Illinois. Our organization will submit our initial Indirect Cost Rate Proposal (ICRP) immediately after our Organization is advised that the State award will be made no later than three (3) months after the effective date of the State award [2 CFR 200 Appendix (C)(2)(b)]. The initial ICRP will be sent to the State of Illinois Indirect Cost unit. Note: (Check with you State of Illinois Agency for Information regarding relmbursement of indirect costs while your proposal is being negotiated.) | (NICRA) with the State of Illinois. Our organization will ised that the State award will be made no later than three littial ICRP will be sent to the State of Illinois Indirect Cost tement of indirect costs while your proposal is being |
| 3. Our Organization has never received a Negotiated Indirect Cost Rate Agreement from either the Federal government or the State or Illinois and elects to charge the de minimis rate of 10% modified total direct cost (MTDC) which may be used indefinitely on State of Illinois awards [2 CFR 200.414 (f), and 200.68.] [Note: Your Organization must be eligible, see 2 CFR 200.414 (f), and submit documentation on the calculation of MTDC within your Budget Narrative under Indirect Costs.] | ither the Federal government or the State or Illinois and sed indefinitely on State of Illinois awards [2 CFR 200,414 and submit documentation on the calculation of MTDC |
| 4. For Restricted Rate Programs, our Organization is using a restricted indirect cost rate that: | |
| Dis included as a "Special Indirect Cost Rate" in the NICRA, pursuant to 2 CFR 200 Appendix IV(5); or | Appendix IV(5); or |
| The Restricted Indirect Cost Rate is: % | |
| S. No reimbursement of Indirect Cost is being requested. (Please consult your program office regarding possible match requirements.) | regarding possible match requirements.) |
| Basic Negotiated Indirect Cost Rate Information (Use only if option 1 or 2(a), above is selected.) | |
| Period Covered by NICRA: From: To: Approving Federal or State Agency: | ate Agency: |
| Indirect Cost Rate: % The Distribution Base Is: | |

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| Section B: Non-State of Illinois Funds | Fiscal Year: FY20 | Y20 | |
|--|--|--|-------------------|
| REVENUES | | Total Revenue | |
| Grantee Match Requirement %: 25 | (Agency to Populate) | | Allo person |
| b) Cash | | 49 | 279,695.00 |
| c) Non-Cash | INTERPRETATION OF THE BUILDINGS OF THE PRICE | \$ | The state of |
| d) other Funding and Contributions | | 40 | 72 |
| Total Non-State Funds (lined to through d) | | \$ | 279,695.00 |
| Budget Expenditure Categories | OMB Uniform Guidance Federal Awards Reference 2 CFR 200 | Total Expenditures | |
| Personnel (Salaries and Wages) | 200.430 | 49 | |
| 2. Fringe Benefits | 200.431 | | |
| 3. Travel | 200.474 | 6 | |
| 4. Equipment | 200.439 | 40 | |
| 5. Supplies | 200.94 | 4 | |
| 6. Contractual Services and Subawards | 200.318 & 200.92 | S. Charles and Control of the Contro | |
| Consultant (Professional Services) | 200.459 | us | 71,831.00 |
| 8. Construction | | | 204,364.00 |
| Occupancy (Rent and Utilities) | 200.465 | 4 | |
| 10. Research and Development (R&D) | 200.87 | S. CHINAS CONTRACTOR OF THE PARTY OF THE PAR | |
| 11. Telecommunications | | 69 | |
| 12. Training and Education | 200.472 | 9 | State of the Park |
| 13. Direct Administrative Costs | 200.413 (c) | 9 | |
| 14. Miscellaneous Costs | | 6 | THE SECOND |
| 15. A. Grant Exclusive Line Item(s) | | 49 | 2,500.00 |
| 15. B. Grant Exclusive Line Hem(s) | | | 1,000.00 |
| 16. Total Direct Costs (add lines 1-15) | 200.413 | • | 279,695.00 |
| 17. Total indirect Costs | 200.414 | S | |
| Rate %: | | | |
| Base: | | | |
| 18. Total Costs State Grant Funds (Lines 16 and 17) | | | 270 605 00 |



| Organization Name: Kendall County Forest Preserve District | NOFO Number: FY2021PARC |
|---|---|
| Data Universal Number System (DUNS) Number (enter numbers only): 079859610 | Fiscal Year, FY20 |
| Catalog of State Financial Assistance (CSFA) Number: 422-11-1165 | CSFA Short Description: Park & Recreation Facility Construction Act Grant (PARC) |
| | |
| By signing this report, I certify to the best of my knowled, that any false, fictitious or fraudulent information or the termination of my grant award(s). | By signing this report, I certify to the best of my knowledge and belief that the report is true, complete and accurate and that any false, fictitious or fraudulent information or the omission of any material fact could result in the immediate termination of my grant award(s). |
| Kendall County Forest Preserve District | Kendall County Forest Preserve District |
| Institution/Organization Name: | Institution/Organization Name: |
| Treasurer, Kendall County and Kendall County Forest Preserve District | Executive Director |
| Title (Chief Financial Officer or equivalent): | Title (Executive Director or equivalent): |
| Jill Ferko | David Guritz |
| Printed Name (Chief Financial Officer or equivalent): | Printed Name (Executive Director or equivalent): |
| Signature Control of the Control of | Dand (- 7) |
| | Signature (Executive Director or equivalent): |
| January 17, 2020 | Jenuary 17, 2020 |
| Date of Execution (Chief Financial Officer): | Date of Execution (Executive Director): |

The State Awarding Agency may change required signers based on the grantee's organizational structure. The required signers must have the authority to enter onto contractual agreements on the behalf of the organization. Note:

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6). Contractual Services (2 CFR 200.318) & Subawards (200.92)

awarding contracts. A separate justification must be provided for sole contracts in excess of \$150,000 (See 2 CFR 200.88). NOTE: this budget category may include subawards. Provide separate budgets for each subaward or contract, regardless of the dollar value and indicate the basis for the cost estimates in the narrative. Describe Provide a description of the product or service to be procured by contract and an estimate of the cost. Applicants are encouraged to promote free and open competition in products or services to be obtained and indicate the applicability or necessity of each to the project.

Please also note the differences between subaward, contract, and contractor (vendor):

1) Subaward (200.92) means an award provided by a pass-through entity to a sub-recipient for the sub-recipient to carry out part of a Federal/State award, including a portion of the scope of work or objectives. It does not include payments to a contractor or payments to an individual that is a beneficiary of a Federal/State program.

award. The term as used in this part does not include a legal instrument, even if the non-Federal entity considers it a contract, when the substance of the transaction 2) Contract (200.22) means a legal instrument by which a non-Federal entity purchases property or services needed to carry out the project or program under a Federal meets the definition of a Federal award or subaward.

3) "Vendor" or "Contractor" is generally a dealer, distributor or other seller that provides supplies, expendable materials, or data processing services in support of the project activities.

| Item | Contractual Services Cost | Add/Delete Rows |
|--|------------------------------|--------------------|
| | | Add |
| | | Delete |
| State Total | | |
| | 700 700 | Add |
| Contractual (Professional) Services - Architect and Engineering Fees | \$71,631.00 | Delete |
| Non-State Total | \$71,831.00 | |
| Total Contractual Services | \$71,831.00 | |

Contractual Services Narrative (State):

Contractual Services Narrative (Non-State): (i.e. "Match" or "Other Funding")

applied towards a portion of the project construction costs, anticipated local permit fees (\$2,500), and completion of a CPA certified audit of grant expenditures (\$1,000). Kendall County Forest Preserve District required matching funds will be applied towards the project Architect and Engineering Fees. Additional matching funds will be

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7). Consultant Services and Expenses (2 CFR 200.459)

Consultant Services (Fees): For each consultant enter the name, if known, service to be provided, hourly or daily fee (8-hour day), and estimated time on the project.
Consultant Expenses: List all expenses to be paid from the grant to the individual consultant in addition to their fees (i.e., travel, meals, lodging, etc.) Consultant—Indicate whether applicant's formal, written Procurement Policy or the Federal Acquisitions Policy is used

| 9 | | | | | | | | | | _ | П | | | | | Т | 7 |
|-----------------------------------|-----|--------|-------------|-----|--------|-----------------|----------------------------------|--|---|--|-----|--------|-------------|-----|-----------------|---------------------------|--|
| Add/Delete Row | Add | Delete | | Add | Delete | | | | | Add/Delete Row | Add | Delete | | Add | | | |
| Consultant Services (Fee) Cost | | | | | | | | | | Number of Consultant Expenses Trips Cost | | | | | | | |
| Quantity | | | State Total | | | NON-State Total | rices (Fees) | | mit fees. | Number of Trips | | | State Total | | NON-State Total | Expenses | |
| | - | | S | | - | NON | Total Consultant Services (Fees) | | rell as all per | Quantity | | | #S | | NON | Total Consultant Expenses | |
| Basis | | | | | | | Total Co | | enditures, as w | Basis | | | | | | Tota | |
| 8 | | | | | | | | | it of grant project exp | Cost Rate | | | | | | | |
| Services Provided | | | | | | | | |): s for an independent audi | Location | | | | | | | |
| | | | | | | | | e (State): | e (Non-State over the cost | tems | | | | | | | ve (State): |
| Consultant Services (Fees) | | | | | | | | Consultant Services Narrative (State): | Consultant Services Narrative (Non-State): Local agency is required to cover the costs for an independent audit of grant project expenditures, as well as all permit fees. | Consultant Expenses - Items | | | | | | | Consultant Expenses Narrative (State): |

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8). Construction

cases, minor repairs or renovations may be allowable. Consult with the program office before budgeting funds in this category. Estimated construction costs must be supported by documentation including drawings and estimates, formal bids, etc. As with all other costs, follow the specific requirements of the program, the terms and Provide a description of the construction project and an estimate of the costs. As a rule, construction costs are not allowable unless with prior written approval. In some conditions of the award, and applicable regulations.

| Purpose | Description of Work | Construction Cost | Add/Delete Rows |
|--|--|----------------------|--------------------|
| Pickerilt-Pigott Estate House Public Access Imp. | Selective demo; interior and exterior construction | \$828,200.00 | Add |
| | State Total | \$828,200.00 | |
| Pickerill-Pigott Estate House Public Access Imp. | Selective demo; interior and exterior construction | \$204,364.10 | Add |
| | Non-State Total | \$204,364.10 | |
| | Total Construction | \$1,032,564.10 | |

Construction Narrative (State):

Represents 75% of total project allowable costs for site and building interior selective demotition; renovation of the estate house first floor; exterior lighting; exterior siding and thin replacement, mechanical systems replacement, and patio and canopy construction including contractor overhead and profit, contractor bond and insurance, and building and site construction contingencies

Construction Narrative (Non-State): (i.e. "Match" or "Other Funding")

Represents a portion of the required 25% local match of allowable costs towards the remaining construction costs as outlined above.

Budget Narrative Summary-When you have completed the budget worksheet, transfer the totals for each category to the spaces below to the uniform template provided (SECTION A & B). Verify the total costs and the total project costs. Indicate the amount of State requested funds and the amount of non-State funds that will support the The State and Non-State Total amounts from Table 15 above, Grant Exclusive Line Item(s), must be entered into this table by hand due to the possibility of there being more than one Grant Exclusive Line Item table. Once the Grant Exclusive Line Item(s) amounts are entered into this table, the State Request amount, Non-State Amount and the Total Project Costs will be calculated automatically. It is imperative that the summary tables be completed accurately for the Budget Narrative Summary to be project.. (Note: The State, Non-State, and Total cost amounts for each line item below are auto-filled based upon the entries in the preceding budget tables 1-14 and 16. accurate.)

| 1. Personnel 2. Fringe Benefits 3. Travel 4. Equipment 5. Supplies 6. Contractual Services 7. Consultant (Professional Services) 8. Construction 9. Occupancy (Rent and Utilities) 10. Research and Development (R & D) 11. Telecommunications 12. Training and Education 13. Direct Administrative Costs 14. Other or Miscellaneous Costs 14. Other or Miscellaneous Costs 14. Other or Miscellaneous Costs 15. Indirect Costs 16. Indirect Costs 16. Indirect Costs 10. Non-State Amount 10. Training Miscellaneous Costs 16. Indirect Costs | Budget Category | | State | Non-State | Total |
|--|---------------------------------------|-------------------|--|--|--|
| Fringe Benefits Fringe Benefits Travel Stavel Equipment \$71,831.00 Contractual Services \$71,831.00 Consultant (Professional Services) \$204,364.10 Construction \$204,364.10 Cocupancy (Rent and Utilities) Research and Development (R & D) Research and Development (R & D) \$204,364.10 Training and Education \$204,364.10 Direct Administrative Costs Chief and Education Other or Miscellaneous Costs Chief Request State Request \$828,200.00 Research and Unified Costs Research and Development (R & D) Training and Education \$35,500.00 GRANT EXCLUSIVE LINE ITEM(S) Non-State Amount \$5279,695.19 TAL PROJECT COSTS State Amount \$2779,695.19 | 1. Personnel | | SO DESCRIPTION OF THE PERSON O | STATE OF STREET STREET | The contract of the second |
| Services \$71,831.00 \$71,831.00 \$71,831.00 \$204,364.10 \$11,65 \$1, | 2. Fringe Benefits | | | | |
| Services \$771,831.00 Services \$828,200.00 \$204,364.10 Initialise \$828,200.00 State Request \$828,200.00 State Amount \$23,500.00 State Amount \$279,695.10 | 3. Travel | | WITH THE STREET SALES | AN CONTRACTOR OF THE | STATE OF THE PARTY |
| Services \$71,831.00 Itilities \$28,200.00 Services \$204,364.10 Inert (R & D) | 4. Equipment | | | | |
| Services \$71,831.00 | | | | | ANTHEIR RUSSILO TOURS |
| Services \$828,200.00 \$204,364.10 | 6. Contractual Services | | | \$71,831.00 | \$71.831.00 |
| ### ### ############################## | 7. Consultant (Professional Services) | | | | |
| Infilities) nent (R & D) sets \$3,500.00 Costs \$3,500.00 NE ITEM(S) \$3,500.00 State Request \$828,200.00 Non-State Amount \$279,695.10 | 8. Construction | | \$828,200.00 | \$204.364.10 | \$1 032 564 10 |
| nent (R & D) nent (R & D) sets \$3,500.00 Costs \$3,500.00 Ne ITEM(S) \$3,500.00 State Request \$828,200.00 State Amount \$279,695.10 | 9. Occupancy (Rent and Utilities) | | The second secon | 1 1000年の大学生の日本 | |
| State Request \$828,200.00 \$1,1 | 10. Research and Development (R & D) | | | | |
| State Request | 11. Telecommunications | | | WITH THE PASSES SECTION AND ADDRESS OF THE | THE CHARLES IN SECURIOR OF |
| Oosts \$3,500.00 NE ITEM(S) \$3,500.00 State Request \$828,200.00 Non-State Amount \$279,695.10 \$1,1 | 12. Training and Education | | | | |
| Costs \$3,500.00 NE ITEM(S) \$3,500.00 State Request \$828,200.00 Non-State Amount \$279,695.10 \$1,1 | 13. Direct Administrative Costs | | AS THE SAME WAS A STATE OF | | THE SAME DESCRIPTION OF THE PARTY OF |
| NE ITEM(S) State Request \$828,200.00 Non-State Amount \$1.1 | 14. Other or Miscellaneous Costs | | | \$3.500.00 | \$3 500 00 |
| State Request \$828,200.00 Non-State Amount \$279,695.10 | 15. GRANT EXCLUSIVE LINE ITEM(S) | Maring Assessment | | | |
| State Request \$828,200.00 Non-State Amount \$279,695.10 | 16. Indirect Costs | | | | |
| Non-State Amount \$279,695.10 | | State Request | \$828,200.00 | | CALCULATION OF THE PROPERTY OF |
| | | Non-State Amount | | \$279,695.10 | |
| | TOTAL PROJECT COSTS | | | | \$1,107,895.10 |

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For State Use Only

UNIFORM GRANT BUDGET TEMPLATE State of Illinois

| Grantee: Kendall County Forest Preserve District | | Notice of Funding |
|--|--|--|
| Data Universal Number System (DUNS) Number (enter numbers only): 079859610 | r numbers only): 079859610 | Opportunity (NOFO) Number: 1 202 if 202 |
| Catalog of State Financial Assistance (CSFA) Number: 422-11-1165 | | CSFA Short Description: Park & Recreation Facility Construction Act Grant (PARC) |
| Initial Budget Request Amount: | 00000 | |
| nse Lin | | |
| Statutory Limits or Restrictions: | | |
| Checklist: | | |
| Final Budget Amount Approved: \$828,30 | 300.006 | |
| Program Approval Name | Program Approval Signature | 12/14/21 Date |
| Fiscal & Administrative Approval Name | Fiscal & Administrative Approval Signature | Signature |
| Budget Revision Approved: | | |
| Program Approval Name | Program Approval Signature | Date |
| Fiscal & Administrative Approval Signature | Fiscal & Administrative Approval Signature | Signature |
| \$200.308 Revision of budget and program plans | | |

(e) The Federal/State awarding agency may, at its option, restrict the transfer of funds among direct cost categories or programs, functions and activities for Federal/State awards in which the Federal/State share of the project exceeds the Simplified Acquisition Threshold and the cumulative amount of such transfers exceeds or is expected to exceed 10 percent or \$1,000 per detail line item, whichever is greater of the total budget as last approved by the Federal/State awarding agency. The Federal/State awarding agency cannot permit a transfer that would cause any Federal/State appropriation to be used for purposes other than those consistent with the appropriation.

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To: Kendall County Forest Preserve District Board of Commissioners

From: David Guritz, Executive Director

RE: Proposed Capital Project Fund 1913 Proposed Fund Balance Policy

Date: June 1, 2021

Following completion of the Pickerill Estate House Conversion Project (IDNR-PARC Grant #21-114), the District will be reimbursed \$828,200.00.

Direction received from the District's Board of Commissioners is to establish a policy for conserving these reimbursed capital funds in order to retain the capital funds necessary for the District to fully-fund the upfront costs for future capital projects.

In order to accomplish this goal, District staff recommends approval of the attached Capital Fund 1913 Fund Balance Policy:

Kendall County Forest Preserve District Capital Fund 1913 Fund Balance Policy

- 1. The District shall establish an initial capital funding balance of \$828,200.00 in Fund 1913 in FY22 representing the total amount reimbursed to the District from the Illinois Department of Natural Resources following completion of PARC Grant Project #21-114).
- 2. The Fund 1913 fund balance may be transferred to other capital project funds so long as the following criteria are met:
 - a. Funds proposed and approved for use or transfer from Fund 1913 to another capital project fund or funds must be fully reimbursed or otherwise received and transferred to Fund 1913 following completion of the capital project(s).
 - i. Example 1 no project match requirement: \$250,000.00 is transferred out of Fund 1913 to complete a grant funded project where the grant amount to be reimbursed is \$250,000.00.
 - ii. Example 2 project matching requirement: The District issues LTGO bonds to cover the required District match to a capital grant project. \$400.000 is transferred out of Fund 1913 to complete a project where the grant amount to be reimbursed is \$400,000.00. Following reimbursement, the \$400,000.00 received is transferred back into Fund 1913.
 - iii. Example 3 match provided through donation: \$750,000.00 is transferred out of Fund 1913 to complete a \$1.5M land acquisition project where the District will receive a donation of property carrying a certified appraised fair market value of \$750,000.00 that provides the required match for a reimbursable \$750,000.00 grant.
 - b. The Board of Commissioners must approve any/all Fund 1913 transfers by approval of a motion that includes sufficient detail to explain the mechanism for replenishing the Fund 1913 principal fund balance of \$828,200.00.
- 3. Interest earnings within this fund may be transferred in accordance with (70 ILCS 805/23) of the Downstate Forest Preserve District Act: (70 ILCS 805/23)

Sec. 23. Transfer of interest income. Each forest preserve district shall have the power to transfer the interest earned from any moneys of the district into the respective fund of the district that is most in need of the interest income, as determined by the board of commissioners. This Section does not apply to any interest earned that has been earmarked or restricted by the board for a designated purpose. This Section does not apply to any interest earned on any funds for purposes of the Illinois Municipal Retirement Fund under the Pension Code or tort immunity under the Local Governmental and Governmental Employees Tort Immunity Act. Interest earned on these exempted funds shall be used only for the purposes authorized for the respective exempted funds from which the interest earnings were derived.

(Source: P.A. 90-176, eff. 1-1-98.)

Policy approval date: 06/01/2021



$\blacksquare AIA^{\circ}$ Document G802 - 2017

Amendment to the Professional Services Agreement

PROJECT: (name and address) 1250 - Kendall County Forest Preserve District - Ken Pickerill Estate House

AGREEMENT INFORMATION: Date: February 25, 2019

AMENDMENT INFORMATION: Amendment Number: 002

Date: April 5, 2021

OWNER: (name and address) Kendall County Forest Preserve 110 W. Madison Street Yorkville, Illinois 60560

ARCHITECT: (name and address) Kluber, Inc. 10 S. Shumway Avenue Batavia, Illinois 60510

The Owner and Architect amend the Agreement as follows:

Provide Basic and Additional Services as defined in the attached Exhibit 'A', for the Ken Pickerill Estate House.

The Architect's compensation and schedule shall be adjusted as follows:

Compensation Adjustment: See attached Exhibit 'A'.

Schedule Adjustment: See attached Exhibit 'A'.

| RES: |
|------|
| |

Kluber, Inc.

ARCHITECT (Firm name)

SIGNATURE

Michael T. Kluber,

President

PRINTED NAME AND TITLE

April 5, 2021

DATE

Kendall County Forest Preserve

OWNER (Firm name)

SIGNATURE

David Guritz, Director

PRINTED NAME AND TITLE

DATE





April 5, 2021

EXHIBIT "A"

OVERVIEW OF PROJECT SCOPE (AMENDMENT 002)

The Project consists of the scope of work identified in the feasibility study entitled, "Kendall County Forest Preserve District – Pickerill Estate House Conversion – Final Report Presentation dated July 10, 2019 with limitations as noted below "

- Public ADA access for the first-floor that include building access ramps, actuated entry doors, widening of interior doors and interior fixtures to meet ADA codes.
- Fire alarm (wireless detectors and monitoring) and public building code improvements including emergency lights, audio/visual alarm indicators; wireless control panel with wireless monitoring.
- Limited replacement of interior first-floor finishes to meet ADA requirements.
- Interior bathroom remodeling to meet ADA requirements.
- Interior electrical lighting fixture improvements (LED fixtures); circuit breaker upgrades, code-required GFI outlets.
- Interior painting in affected areas of work.
- Exterior patio structure and hardscape excluding patio fireplace. Conduit rough-in only for future solar panel installation on shelter roof. No solar panels contemplated with this project.
- Exterior building lighting replacement.
- Exterior wood siding and trim replacement.
- Roof top HVAC equipment replacement.

INITIAL INFORMATION

- The program for the Project is already determined as noted in the previous feasibility study noted above.
- The Owner's budget for the Cost of the Work is \$897,881.00. The Cost of the Work is the total cost to construct
 all elements of the Project designed or specified by Kluber, Inc.; it does not include A/E fees, land acquisition
 costs, permit fees, utility service connection or activation fees, financing costs, contingencies for changes in
 the Work, or other soft costs that are the responsibility of the Owner.
- The anticipated Project schedule is to complete Construction Documents August 1, 2021, Complete Bidding August 26, 2021 and achieve Substantial Completion May 1, 2022.
- The intended Project delivery method is a single contract for General Construction; single bid package.
- The Owner's representative for the Project will be Mr. David Guritz.
- Kluber, Inc.'s representative for the Project will be Mr. Chris Hansen.

The foregoing is based on the feasibility study entitled, "Kendall County Forest Preserve District – Pickerill Estate House Conversion – Final Report Presentation dated July 10, 2019 with limitations as noted above.

BASIC SERVICES

Schematic Design/Design Development Phase:

- Work of this phase was already completed with the Grant Application documents and feasibility study
 Construction Document Phase:
 - Site review to document existing conditions.

- Perform code review as required for work related to Project scope of work.
- Develop technical specifications.
- Develop technical drawings.
- Prepare final estimate of Cost of the Work (Level 3).
- Review final documents with Owner prior to bidding.
- Prepare documents for permit submission by the Contractor and respond to permit comments from the Authority Having Jurisdiction.

Bidding Phase:

- Assist Owner in contacting potential bidders for Project.
- Prepare agenda and attend pre-bid meeting.
- Respond to contractor questions during bidding.
- Issue addenda (if required).
- Attend bid opening.
- Perform contractor bid review evaluations.
- Prepare and issue contractor bid results letter.

Construction Administration Phase:

- Prepare agenda and attend pre-construction meeting.
- Respond to Contractor questions.
- Review progress pay requests if requested.
- Perform a maximum of two (2) site visits per month for progress meetings, to address contractor questions
 and to observe the work is proceeding in general conformance with the contract documents with a maximum
 of 8 visits.
- Perform up to two (2) reviews of each construction submittal.
- Perform one (1) site visit to confirm Substantial Completion and identify punch list items.
- Perform one (1) site visit to verify completion of punch list items and confirm Final Completion of the Work.

ADDITIONAL SERVICES

Additional Services are not included in the Basic Services described above, but may be required for the Project or specifically requested by Kendall County Forest Preserve District. The list below indicates Additional Services that will be provided by Kluber, Owner, To Be Determined (TBD) or Not Provided for the Project.

| Additional Services: | Provided by: | | |
|--|--------------|---|--------------|
| Programming or Validation of Owner's Project Program as described below | Not Provided | | |
| Existing Facilities: | v | | |
| Survey of existing facility(ies) (<u>required</u> if Owner's "as-built" drawings are discovered to be unavailable inaccurate incomplete or otherwise inconsistent with actual existing conditions | Not Provided | | |
| Measured drawings documenting existing conditions of existing facility(ies) | Not Provided | | |
| Other Facility Support Services (as described in AIA B210 – 2007) | Not Provided | | |
| Site Evaluation and Design: | 1 | | |
| Site Evaluation and Planning (in accordance with AIA B203 – 2007) | Not Provided | | |
| Civil Engineering | Not Provided | | |
| Landscape Design | Owner | | |
| Architectural and Interior Design: | | | |
| Multiple preliminary designs/options during Schematic Design Phase | Not Provided | | |
| Building information modeling (BIM) | Not Provided | | |
| Renderings models mockups or other presentation materials requested by Owner Historic Preservation (in accordance with AIA B205 – 2007) Architectural Interior Design, including assistance with selection of interior finish colors, preparation of color boards (in accordance with AIA B252 – 2007) Furniture, Furnishings and Equipment (FF&E) (in accordance with AIA B253 – 2007) | | | |
| | | Green Design: | |
| | | Extensive environmentally responsible design | Not Provided |
| | | LEED Certification (in accordance with AIA B214 – 2007) | Not Provided |
| Engineered Systems: | | | |
| Commissionin of en ineered systems | Not Provided | | |
| Telecommunications/data systems design | | | |
| Cost Control: | | | |
| Value Analysis (in accordance with AIA B204 – 2007) | Not Provided | | |

| Detailed opinions of probable construction cost (beyond conceptual costs as determined by per unit area or unit volume techniques) | Not Provided |
|--|--------------|
| Opinion of total project cost (including incorporation of soft costs such as A/E fees, permit fees, land acquisition costs and costs of Additional Services not provided by Kluber Inc.) | |
| Architect's Instruments of Service: | |
| As-designed record drawings (incorporating Work documented in Supplemental Instructions, Change Orders and Change Directives) | Not Provided |
| As-built record drawings (incorporating conditions as constructed by the Contractor) | Not Provided |
| Measured drawings, Design Documents, Construction Documents, as-designed record drawings or as-built record drawings furnished to Owner in AutoCAD dwg format | |
| Project Delivery, Coordination and Management: | |
| Coordination of design engineering consultants employed directly by Owner | Not Provided |
| Multiple prime construction contracts | Not Provided |
| Fast-track project delivery/design services | Not Provided |
| Construction: | |
| Conformed construction documents (incorporating Addenda and permit revisions) | Not Provided |
| On-site project representation | Not Provided |
| Post-occupancy evaluation | Not Provided |

Well & Septic System Design & Permitting

Kluber Inc. will identify a septic system removal and installation bid allowance in the bid specifications that the
General Contractor shall utilize to contract with the Owner's Well & Septic system provider. The General
Contractor will be responsible for coordination of the new well & septic system installation and improvements
with the well and septic contractor.

COMPENSATION

Kluber, Inc. proposes to provide the Basic Services described above for a lump sum fee of \$71,830.00,

Our billing for Services will be based on progress of the work performed and is outlined as follows:

| Basic Services: \$50,281.00 Construction Documents Phase \$50,281.00 Bidding/Negotiation Phase \$3,590.00 Construction Administration Phase \$17,959.00 Total Basic Services Fee: \$71,830.00 Estimated Reimbursable Expenses: \$1,500.00 Total: \$73,330.00 |
|--|
| Additional Services: As requested |

TIMING

All services contemplated within this proposal shall be completed within twenty four months after the acceptance date. This proposal is valid for a period of up to 45 days from the date noted on this proposal.

Confidentiality Notice: The contents of this proposal are confidential and may not be distributed to persons other than Kendall County Forest Preserve District.





April 30, 2021

Mr. David Guritz
Director
Kendall County Forest Preserve District
110 W. Madison Street
Yorkville, Illinois 60560

Re: Kendall County Forest Preserve – Ken Pickerill Estate House Re-Roofing

Kluber, Inc. Proposal No. 210430.01

Dear Mr. Guritz:

Thank you for the opportunity to present this Proposal for Professional Services for the above referenced Project. It is our understanding that the Kendall County Forest Preserve District would like to replace the flat and shingle roof materials and associated counterflashings for the Ken Pickerill Estate House, located at 6350 Minkler Road in Yorkville, Illinois.

OVERVIEW OF PROJECT SCOPE

The Project consists of the replacement of approximately 5,500 square feet of architectural asphalt shingle roofing and approximately 1,200 square feet of flat roof areas. Work shall also include the replacement of metal roof flashings and counterflashings at chimneys and siding as well as new gutters and downspouts. The re-roofing work shall be coordinated with the Pickerill Estate renovation project being designed by Kluber Inc.

INITIAL INFORMATION

- Kluber's preliminary assumption of the Cost of the Work is \$85,840.00. The Cost of the Work is the total cost
 to construct all elements of the Project designed or specified by Kluber, Inc.; it does not include A/E fees, land
 acquisition costs, permit fees, utility service connection or activation fees, financing costs, contingencies for
 changes in the Work, or other soft costs that are the responsibility of the Owner.
- The anticipated Project schedule is to execute the work in conjunction with the Pickerill Estate Renovation project as mutually agreed.
- The intended Project delivery method is a single contract for General Construction; single bid package.
- The Owner's representative for the Project will be Mr. David Guritz.
- Kluber, Inc.'s representative for the Project will be Mr. Chris Hansen.

The foregoing is based on our conversations and emails over the last several weeks confirming project scope and budgets.

BASIC SERVICES

Kluber, Inc. proposes to provide usual and customary architectural and engineering Basic Services for the Project as set forth in the standardized AIA B101 - 2007 Standard Form of Agreement between Owner and Architect, and in accordance with the general understandings applicable to our relationship with you, with limitations as follows:

Schematic Design/Design Development Phase:

· Services for this Phase are not required.

Construction Document Phase:

- Site review to document existing conditions.
- Perform code review as required for work related to Project scope of work.
- Develop technical specifications.
- Develop technical drawings.
- Prepare final estimate of Cost of the Work (Level 3).
- Review final documents with Owner prior to bidding.
- Prepare documents for permit submission by the Contractor and respond to permit comments from the Authority Having Jurisdiction.

Bidding Phase:

- Assist Owner in contacting potential bidders for Project.
- Prepare agenda and attend pre-bid meeting.
- Respond to contractor questions during bidding.
- Issue addenda (if required).
- Attend bid opening.
- Perform contractor bid review evaluations.
- Prepare and issue contractor bid results letter.

Construction Administration Phase:

- Prepare agenda and attend pre-construction meeting.
- Respond to Contractor questions.
- Review progress pay requests if requested.
- Perform a maximum of two (2) site visits per month for progress meetings, to address contractor questions
 and to observe the work is proceeding in general conformance with the contract documents with a maximum
 of 4 visits.
- Perform up to two (2) reviews of each construction submittal.
- Perform one (1) site visit to confirm Substantial Completion and identify punch list items.
- Perform one (1) site visit to verify completion of punch list items and confirm Final Completion of the Work.

ADDITIONAL SERVICES

Additional Services are not included in the Basic Services described above, but may be required for the Project or specifically requested by Kendall County Forest Preserve District. The list below indicates Additional Services that will be provided by Kluber, Owner, To Be Determined (TBD) or Not Provided for the Project.

| Additional Services: | Provided by: |
|---|--------------|
| Programming or Validation of Owner's Project Program, as described below | Not Provided |
| Existing Facilities: | |
| Survey of existing facility(ies) (<u>required</u> if Owner's "as-built" drawings are discovered to be unavailable, inaccurate, incomplete or otherwise inconsistent with actual existing conditions) | Not Provided |
| Measured drawings documenting existing conditions of existing facility (ies) | Not Provided |
| Other Facility Support Services (as described in AIA B210 – 2007) | Not Provided |
| Site Evaluation and Design: | |
| Site Evaluation and Planning (in accordance with AIA B203 – 2007) | Not Provided |
| Civil Engineering | Not Provided |
| Landscape Design | |
| Architectural and Interior Design: | |
| Multiple preliminary designs/options during Schematic Design Phase | Not Provided |
| Building information modeling (BIM) | Not Provided |
| Renderings, models, mockups, or other presentation materials requested by Owner | Not Provided |
| Historic Preservation (in accordance with AIA B205 – 2007) | |
| Architectural Interior Design, including assistance with selection of interior finish colors, preparation of color boards (in accordance with AIA B252 2007) | |
| Furniture, Furnishings and Equipment (FF&E) (in accordance with AIA B253 - 2007) | Not Provided |
| Green Design: | |
| Extensive environmentally responsible design | Not Provided |
| LEED Certification (in accordance with AIA B214 – 2007) | Not Provided |
| Envineered Systems: | |

| Commissioning of engineered systems | Not Provided |
|--|--------------|
| Telecommunications/data systems design | Not Provided |
| Cost Control: | |
| Value Analysis (in accordance with AIA B204 – 2007) | Not Provided |
| Detailed opinions of probable construction cost (beyond conceptual costs as determined by per unit area or unit volume techniques) | Not Provided |
| Opinion of total project cost (including incorporation of soft costs such as A/E fees, permit fees, land acquisition costs, and costs of Additional Services not provided by Kluber, Inc.) | Not Provided |
| Architect's Instruments of Service: | |
| As-designed record drawings (incorporating Work documented in Supplemental Instructions, Change Orders and Change Directives) | Not Provided |
| As-built record drawings (incorporating conditions as constructed by the Contractor) | Not Provided |
| Measured drawings, Design Documents, Construction Documents, as-designed record drawings or as-built record drawings furnished to Owner in AutoCAD .dwg format | |
| Project Delivery, Coordination and Management: | |
| Coordination of design/engineering consultants employed directly by Owner | Not Provided |
| Multiple prime construction contracts | Not Provided |
| Fast-track project delivery/design services | |
| Construction: | |
| Conformed construction documents incorporating Addenda and permit revisions | Not Provided |
| On-site project representation | Not Provided |
| Post-occurancy evaluation | Not Provided |

COMPENSATION

Kluber, Inc. proposes to provide the Basic Services described above for a lump sum fee of \$8,370.00, provided the Cost of the Work does not exceed \$85,840.00.

Kluber, Inc. proposes to provide the Additional Services described above for the fees scheduled below.

Compensation for Basic and Additional Services does not include the services of other independent professionals, associates, or other consultants. If they are required, our fee will be increased by the direct costs of those services multiplied by a factor of 1.15 for overhead, coordination and management of the delivery of those services.

Our billing for Services will be based on progress of the work performed and is outlined as follows:

| Basic Services: | | |
|-----------------------------|---------------------------|-----------------------|
| Construction Documents Ph | ıase | \$6,475.00 |
| Bidding/Negotiation Phase. | | \$750.00 |
| Construction Administration | Phase | \$1,145.00 |
| | Total Basic Services Fee: | \$8,370.00 |
| Additional Services: | | |
| As requested | At Hour | ly Rates listed below |
| Additional Site Visit | | \$495.00/Visit |

Reimbursable Expenses incurred in connection with our services will be charged on the basis of cost, without additional markup. Anticipated reimbursable expenses for this project include expedited courier services, printing and plotting, document reproduction, premiums for professional liability insurance in excess of usual and customary coverage, and are anticipated not to exceed \$450.00.

Changes in services, when authorized, will be charged on an hourly rate as scheduled hereafter and amended annually in accordance with our hourly rate schedule (2021):

| Kluber Architects + Engineers Staff | Hourly Rate |
|-------------------------------------|-------------|
| Principal | \$225.00 |
| Project Manager | \$175.00 |
| Project Mechanical Engineer III | |

| Project Mechanical Engineer II | \$140.00 |
|---------------------------------|----------|
| Project Mechanical Engineer I | \$115.00 |
| Project Electrical Engineer III | |
| Project Electrical Engineer II | \$140.00 |
| Project Electrical Engineer I | \$115.00 |
| Project Structural Engineer III | |
| Project Structural Engineer II | |
| Project Structural Engineer I | \$115.00 |
| Project Technologist | \$165.00 |
| Project Architect III | \$135.00 |
| Project Architect II | \$115.00 |
| Project Architect I | \$95.00 |
| Interior Designer III | |
| Interior Designer II | |
| Interior Designer I | \$75.00 |
| Construction Observer | \$95.00 |
| Senior Project Coordinator | \$75.00 |
| Project Coordinator | \$55.00 |

Limitation of Liability: Notwithstanding any other provision of this Agreement, and to the fullest extent permitted by law, the total liability, in the aggregate, of Kluber, Inc.'s officers, directors, partners, employees, agents, and Kluber's Consultants, and any of them to Owner/Client and anyone claiming by, through, or under Owner/Client for any and all claims, losses, costs, or damages whatsoever arising out of, resulting from, or in any way related to the Project or the Subagreement from any cause or causes, including but not limited to the negligence, professional errors or omissions, strict liability or breach of contract, or warranty express or implied of Kluber's officers, directors, partners, employees, agents, or Kluber's Consultants, or any of them shall not exceed the total amount of two times fee, excluding reimbursable expenses. The services provided herein are governed solely with regards to permanent building codes only, compliance with OSHA regulations is specifically excluded.

TIMING

All services contemplated within this proposal shall be completed within 12 months after the acceptance date. Services performed after closure of that window will be billed hourly in accordance with the rates set forth above. This proposal is valid for a period of up to 45 days from the date noted on this proposal.

FINAL NOTE

Sincerely

If this proposal satisfactorily sets forth your understanding of our agreement, we would appreciate your authorization to proceed with this Work. We are available to discuss any aspect of this proposal with you at your convenience.

Kluber, Inc. appreciates the interest expressed in our firm and we look forward to serving your needs in the future.

| bl: 10 Kl | | |
|------------------------------------|-----------------------------|------|
| Michael T. Kluber, P.E. Preside it | Accepted (Signature) | Date |
| Kluber, Inc. | By (printed name and title) | |

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